1	Western Amazon was a center of Neotropical fish dispersal, as evidenced by the
2	continental-wide time-stratified biogeographic analysis of the hyper-diverse Hypostomus
3	catfish
4	
5	Running title: Amazon is the center of fish dispersal
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### 26 Abstract

27 The Amazon is probably the most diverse realm on Earth, and is considered to be the primary 28 source of diversity and a center of dispersal for Neotropical terrestrial organisms. Yet, the 29 assumption that the Amazon basin is a primordial place of fish species origination and 30 dispersal into other drainages still need to be tested. We addressed this issue by inferring a 31 time-stratified biogeographic history and reconstructing the ancestral habitat preference of 32 Hypostomus, a continentally widespread and species-rich Neotropical genus. We found that 33 Hypostomus emerged in the Western Amazon (~14.7 Ma), when the Western Amazon River 34 was flowing northwards and disconnected from the Eastern Amazon. We show that dispersal 35 events in the first half of Hypostomus evolution occurred from the Western Amazon into 36 adjacent basins, initiating its Neotropical radiation. The ancestral preferred habitat consisted 37 in small rivers with running waters, a predominant habitat in river headwaters. Because of 38 strong niche conservatism in the early evolution of *Hypostomus*, we suggest that most of the 39 out-of-Western-Amazon dispersal occurred via headwater captures. The radiation of 40 Hypostomus was further promoted by major reconfigurations of river basins, which opened 41 dispersal opportunities into new drainages. Diversification in habitat preference coincided 42 with colonization of basins already occupied by congenerics, indicative of niche shifts 43 triggered by inter-specific competition and species coexistence. By analyzing the evolutionary 44 history of Hypostomus, we show that Western Amazon was the main center of fish dispersal 45 in the Neotropical Region from Middle Miocene to the present, supporting the cradle 46 hypothesis of fish origination and dispersal.

47

48 Keywords: Amazon River, Lake Pebas, Biogeography, Ancestral ecology, Niche
49 conservatism

# 50 Introduction

51 The Neotropical Region, a large biogeographic realm that covers an area from 52 Southern Mexico to Argentina (Cox, 2001; Leroy et al., 2019), harbors a vast variety of 53 tropical biomes and habitats, and an unparalleled biotic diversity (Hughes, Pennington, & 54 Antonelli, 2013). The processes that have given rise to this diversity are complex, and the 55 many hypotheses of tempo and mode of diversification are still controversial (reviewed in 56 Antonelli, Ariza, et al., 2018). Yet, there is a consensus on the importance of the dynamic 57 geology and climatic variations in shaping the diversity observed nowadays (Antonelli, Ariza, 58 et al., 2018; Antonelli & Sanmartín, 2011). Therefore, taking these processes into account is 59 central for a better comprehension of the origin of the Neotropical biodiversity and the 60 geographical patterns of distribution.

61 One of the most striking features along the history of the Neotropical Region was the 62 uplift of the Andes, which was the result of a gradual thickening of the Earth's crust due to 63 the interaction between the Nazca and South American plates (Jordan et al., 1983; Pilger, 64 1984). The Andes is a continuous mountain range along the western edge of South America, 65 extending over more than 7,000 km in length. This mountain range has been uplifting slowly 66 over the last 30 million years, and the modern configuration and elevation were achieved 67 about 14-10 million years ago (Evenstar, Stuart, Hartley, & Tattitch, 2015; C. Hoorn et al., 68 2010; Pilger, 1984). One of the most emblematic landscape reconfigurations driven by the 69 Andes uplift was the modification of the course of the Amazon River (C. Hoorn, Guerrero, 70 Sarmiento, & Lorente, 1995). Until around 10 Ma, the drainages of the current Amazon and 71 Orinoco rivers formed together the proto-Amazon-Orinoco system (PAO), which was the 72 most important basin that existed from the early Oligocene to the middle-Miocene in the 73 Neotropical Region. PAO flowed northwards into the Caribbean Sea through the outlet of the 74 contemporary Lake Maracaibo (C. Hoorn et al., 2010; Jaramillo et al., 2017). During the

75 Miocene, marine transgressions changed the landscape of part of the Neotropical Region, 76 including PAO, where a vast saline swamp-like environment was formed, the Pebasian Sea 77 (Wesselingh, Guerrero, Rasanen, Romero Pittman, & Vonhof, 2006). With the progressive 78 uplift of the Northern Andes, the outlet of PAO was gradually closed, blocking large masses 79 of water in the inland. Concomitantly, the rise of a topographic elevation in Northwestern 80 South America, the Vaupés Arch, caused the division of the POA into the western Amazonian 81 basin and the Orinoco basin (C. Hoorn et al., 2010). Then, with the breach of the Purus Arch, 82 which was the drainage divide separating current western and eastern Amazonian drainages, 83 the Amazon River eventually reached the Atlantic ocean and achieved its modern 84 configuration (James S. Albert et al., 2018; C. Hoorn et al., 1995; Carina Hoorn et al., 2017). 85 Following these drastic modifications of the Neotropical landscape during the 86 Miocene, Amazonian plant and animal lineages experienced an explosive diversification (C. 87 Hoorn et al., 2010). There is strong evidence that the Amazon region is likely the most 88 important source of diversity of terrestrial lineages worldwide (e.g. flowering plants, ferns, 89 birds, mammals and reptiles), playing a pivotal role in shaping the biodiversity across the 90 American continent (Antonelli, Zizka, et al., 2018; Musher, Ferreira, Auerbach, McKay, & 91 Cracraft, 2019). The reasons why Amazonia is a primary source of terrestrial biodiversity are 92 probably multiple, and some interdependent features have been evoked such as the high level 93 of environment heterogeneity spanning over a very large area, a dynamic landscape where 94 diversification has been kept at relatively high levels and constant over time, with low 95 extinction rates, and a high connectivity with neighboring biomes, facilitating dispersal of 96 terrestrial lineages (Musher et al., 2019). 97 As for fish, the Amazon Basin is the biogeographic core of the Neotropical system,

and by far the most species-rich river network (J. S. Albert & Reis, 2011; Böhlke et al., 1978),
with more than 7'000 species estimated (Lévêque, Oberdorff, Paugy, Stiassny, & Tedesco,

100 2008; Reis, Kullander, & Ferraris, 2003). The Amazon Basin has also been suggested to be a 101 cradle of fish diversity, an area where species have originated and immigrated into other 102 basins (J. S. Albert & Reis, 2011; James S. Albert et al., 2018; Fontenelle, Marques, 103 Kolmann, & Lovejoy, 2021; Oberdorff et al., 2019), but this hypothesis still needs further 104 testing. Whether the many and profound landscape changes that occurred in the Amazon 105 Basin were instrumental in fostering the origination, dispersal and diversification of modern 106 Neotropical fish lineages is an assumption that needs to be verified too. 107 The increasing knowledge about the historical modifications of Neotropical basins 108 offers a timely opportunity to infer freshwater fish ancestral distributions and dispersal routes 109 integrating the changes in watershed configurations through time, but this has been explicitly 110 explored in surprisingly few biogeographic reconstructions of Neotropical fish (e.g. 111 Fontenelle et al., 2021; Wendt, Silva, Malabarba, & Carvalho, 2019). To take advantage of 112 the accumulated knowledge of basins connectivity through time, it is important that 113 reconstructions use the finest possible biogeographic partitions of the hydrological systems, 114 but this implies extensive knowledge about species distribution as well as the inclusion of a 115 comprehensive taxonomic sampling. When based on a limited number of biogeographic 116 areas, as in most of the biogeographical studies of Neotropical fish (Mariguela, Roxo, Foresti, 117 & Oliveira, 2016; e.g. Roxo et al., 2014; Silva et al., 2016), ancestral distribution ranges tend 118 to be unrealistically large, some covering half of South America, thus losing credibility and 119 biological relevance. 120 In the present work, we investigated of the role played by the various Neotropical

river basins, integrating their historical changes in connectivity, as centers of origin and diversification of new fish lineages and as dispersal platforms from which neighboring basins were colonized. To this aim, we reconstructed the biogeographic history of one of the most species-rich genera in the Neotropical Region, the armored catfish genus *Hypostomus*  125 (Loricariidae). Hypostomus are bottom-dwelling fishes with an herbivorous, detritivorous or 126 xylophagous diet, and are generally territorial and poor swimmers. By considering a large 127 fraction of the species diversity of this genus and by including representatives from most 128 South American drainages thanks to the comprehensive phylogeny of Hypostomus and 129 closely related genera we recently published [26], we assembled a dataset with one of the 130 finest geographical resolution to date, at the Neotropical level. To work efficiently at the 131 finest spatial resolution, we developed a new tool for the program RevBayes (Höhna et al., 132 2016) which is an interactive environment for Bayesian statistical analyses with a 133 phylogenetic focus. The new tool we present here allows the user to disregard unrealistic 134 distribution ranges when performing biogeographic analyses, accelerating the analyses and 135 allowing the inclusion of more and finer geographical areas. 136 Taking advantage of our comprehensive dataset of the exceptionally diverse and

widespread genus *Hypostomus*, and using it a model Neotropical fish group, we tested
whether (i) the genus *Hypostomus* originated in the Amazon Basin, and (ii) the Amazon Basin
was the most important source of diversity for this lineage in the Neotropical Region.
Furthermore, we investigated how habitat preference evolved along the phylogeny of *Hypostomus* and how it might have influenced the dispersal pattern of this genus across the
Neotropical river basins.

#### 143 Material and Methods

### 144 *Phylogenetic reconstruction and tree calibration*

To reconstruct the phylogenetic tree of *Hypostomus*, we used the dataset published by (Jardim de Queiroz et al., 2020), which includes six loci: the mitochondrial (i) Cytochrome c oxidase subunit I protein-coding gene (*COI*) and (ii) *D-loop*, and the nuclear (iii) Gene encoding Teneurin transmembrane protein 3 (*Hodz3*), (iv) a *Hypostomus* anonymous marker, 149 possible gene ZBTB10 intron 3 (HAM-ZBTB10-3), (v) the Recombination activating gene 1 150 (RAG1) and (vi) the Fish Reticulon 4 (RTN4). This dataset contained 206 Hypostomus 151 individuals organized in 108 putative species and 49 species belonging to closely related 152 genera (outgroups). We completed this dataset with three additional species: two samples of 153 Hypostomus sp. 'Araguaia' (Tocantins-Araguaia Basin, Brazil), one of Hypostomus sp. 154 'Aqua75' (Paraguay River, Brazil) and one of Hypostomus annectens (Rio Dagua, a Pacific 155 coastal river of Colombia). The primers listed in (Jardim de Queiroz et al., 2020) were used to 156 amplify the desired six loci for the new samples. Genbank accession numbers of the 157 additional samples can be found in Supporting file 3 (Table S3).

158 The tree inference and calibration was performed using BEAST 1.8.1 (Drummond & 159 Rambaut, 2007), while the input file (an Extensible Markup Language, XML, file) was built 160 in BEAUTi 1 (Drummond, Suchard, Xie, & Rambaut, 2012a). We partitioned the data by gene (six partitions), and substitution models and clock models were unlinked among the 161 162 partitions. A Yule process model was used as a species tree prior. We ran PartitionFinder 2 163 (Lanfear, Frandsen, Wright, Senfeld, & Calcott, 2016) to identify the best nucleotide 164 substitution model based on Bayesian information criterion (BIC): TRN+I+G for COI and D-165 loop, HKY+G for Hodz3 and HAM-ZBTB10-3, HKY+G+I for RTN4, and K80+G for RAG1. 166 For the six partitions, we assumed a lognormal relaxed clock (Drummond, Ho, Phillips, & 167 Rambaut, 2006) using a lognormal distribution model with log mean of 0.01 and log standard 168 deviation of 1. We ran five independent runs ( $15 \times 10^7$  generations), sampling the parameters 169 values and trees every 10,000 generations (Supporting file 1).

To time-calibrate the tree, we overcame the absence of *Hypostomus* fossils by applying an approach based on biogeographic calibrations. Ho and colleagues (Ho et al., 2015) listed two key assumptions for the application of such calibrations: i) a significant impact on population or species, and ii) available information on the age. We used four dated

174 hydrogeological changes as calibration points that meet these assumptions: (1) Uplift of the 175 Cordillera de Mérida (mean age of  $8.0 \text{ Ma} \pm 0.08$  at the crown age of *H. hondae* + *H.* 176 plecostomoides); (2) Uplift of the Ecuadorian Andes (10 Ma  $\pm$  0.07 at the crown age of 177 Aphanotorulus + Isorineloricaria); (3) Disconnection of the Tocantins-Araguaia River from 178 the Amazon Basin (2.6 Ma  $\pm$  1 at the crown age of *Hypostomus* sp. 'gr. cochliodon-Tar' + 179 Hypostomus. sp. 'gr. cochliodon-Xin2') (4) Boundary displacement between the Amazon and 180 the Rio Paraguay systems (1 Ma  $\pm$  0.6 at the crown age of *Hypostomus* sp. 'Rio Grande' + 181 Hypostomus sp. cf. borelli,). For more details regarding the calibration points, refer to the 182 Supporting file 3. The inclusion of multiple calibrations may reduce the influence of eventual 183 erroneous calibration and improve the robustness of the time tree (Duchêne, Lanfear, & Ho, 184 2014).

185 To evaluate the effect of the use of the aforementioned biogeographic events as 186 calibration points in the Hypostomus time-tree, we performed three additional inferences 187 (Supporting file 1). In each of these new reconstructions, one of the new calibration points 188 was not used. We then assessed if important disparities in the time estimates among the 189 distinct reconstruction are observed. Furthermore, assuming that the use of calibration points 190 during phylogenetic reconstructions may improve accuracy of the phylogenetic 191 reconstructions (Drummond et al., 2006), we also verified if major changes in the topology of 192 the tree were observed. For these alternative time-tree reconstructions, we used the same 193 parameters and priors as described before.

194 Ancestral distribution range

To infer the biogeographic history of *Hypostomus*, we estimated the ancestral distribution range of all the nodes of the phylogenetic tree using the Dispersal–Extinction– Cladogenesis (DEC) model (Ree et al., 2005; Ree & Smith, 2008) implemented in RevBayes (Höhna et al., 2016) (Supporting file 2). The DEC model was originally described — and has
been often used — as a maximum likelihood approach (Ree et al., 2005; Ree & Smith, 2008),
but RevBayes offers a Bayesian implementation, It allows one to assess parameter uncertainty
and to calculate Bayes Factor to compare competitive hypotheses (Landis, Freyman, &
Baldwin, 2018).

203 Discrete biohydrogeographic regions (BHG) were defined based on the areas proposed 204 by Abell et al. (2008), and on the areas of Neotropical freshwater fish endemism (Hubert & 205 Renno, 2006; Montoya-Burgos, 2003; Vari, 1988). These areas were adapted to fit with the 206 BHG regions that remained unfragmented during the historical changes in basin connectivity 207 from the Miocene to the present. In this way, we defined 12 BHG regions (Figure 7A): (BHG 208 region A) Western Amazon covers the upper portion of the Amazon Basin to the Middle 209 Amazon River, including the sub-basins of Madeira and Negro rivers; (B) Eastern Amazon 210 includes the lower portion of the Amazon River and tributaries draining in the Guiana and 211 Brazilian shields, such as the Tapajós, Xingu and Trombetas rivers; (C) Tocantins-Araguaia 212 River Basin; (D) Orinoco River Basin and the island of Trinidad; (E) Guianas-Essequibo 213 includes the Guianese coastal rivers draining the Guyana Shield and flowing into the Atlantic 214 Ocean; (F) Paraguay River System covers the Pilcomayo and Paraguay rivers and the lower 215 section of the Paraná River; (G) Upper Paraná River covers the upper portion of the Paraná 216 River; (H) Uruguay River Basin and Lagoa dos Patos System; (I) Coastal Atlantic drainages 217 of the South- and Northeastern Brazil from the south of Santa Catarina state in Brazil to the, 218 but not including, São Francisco River mouth; (J) São Francisco River Basin; (K) Coastal 219 Atlantic drainages of Northern Brazil includes rivers flowing into the Atlantic Ocean between 220 the Tocantins-Araguaia and São Francisco mouths; (L) trans-Andean drainages includes the 221 Lake Maracaibo, Magdalena River Basin (which drain into the Caribbean Sea) and Pacific 222 drainages of Ecuador and Colombia.

223 We defined two stationary biogeographic models, with constant constraints in 224 dispersal possibilities for the complete time period of our study (25 Ma to present). The first 225 model (M1) assumes full permeability between all pairs of BHG regions (connectivity value = 226 1), irrespective of whether they are adjacent to each other or not. The second model (M2) is 227 semi-permeable, in which dispersion is possible but constrained between adjacent BHG 228 regions (connectivity value = 0.1), and dispersion is not allowed between non-adjacent BHG 229 regions (connectivity value = 0). One of the advantages of the DEC model is the possibility of 230 combining a priori different temporal connectivity patterns among BHG regions by using a 231 pairwise matrix of connectivity. Hence, we defined a third time-stratified model (M3) by 232 taking into account historical changes in connectivity across BHG regions. For this aim, we 233 used the following connectivity values: (i) a value of 1 was given to a pair of BHG regions for 234 the period of time in which they displayed a full hydrological continuity (i.e. they were parts 235 of a same basin); (ii) a value of 0.5 to a pair of BHG regions for the period of time in which 236 they displayed partial hydrological continuity, for example through a permanent local 237 connection such as the Casiquiare River currently connecting the Western Amazon with the 238 Orinoco basins; (iii) a value of 0.1 to a pair of adjacent BHG regions showing no particular 239 long standing water connection; and (iv) 0 to non adjacent BHG regions. We established these 240 rules because fish, being limited to the aquatic environment, cannot disperse from non-241 adjacent regions in a single step. The M3 considered four time windows (Supporting file 3, 242 Table S2), each one with a determined connectivity matrix according to the known paleo-243 landscape changes. For details, see Supporting file 3.

To run the time-stratified (M3) and stationary models (M1 and M2), we estimated a single average value of allopatry and subset sympatry rates. Moreover, the maximum ancestral range was set to three BHG regions, which corresponds to the maximum number of regions occupied by extant *Hypostomus* species included in our study. The original functions 248 in RevBayes were originally designed to explore all the combinations of discrete BHG 249 regions (Höhna et al., 2016). In our case, with a total of 12 BHG regions and setting the limit 250 of ancestral areas to combinations of at most three BHG regions, we would have 298 possible 251 combinations to compute. To optimize the analyses and reduce computation time, we 252 modified the RevBayes script to disregard combinations of areas that have no biological 253 meaning in terms of species distribution range, such as very distant areas with no present or 254 past connectivity (e.g. ABK, AGH or CDE), reducing the number of possible ranges to 67. 255 This new feature was key to this study since the biogeographic analyses defined using default 256 RevBayes functionalities could not be successfully run for our dataset due to their excessive 257 computational cost (i.e., requiring more than 32GB of RAM and several minutes per 258 iteration). With our modification, the analyses did not suffer from memory limitations and ran 259 faster (i.e., 17 seconds per iteration). A version of the helper script for biogeographic 260 reconstructions in RevBayes can be found in the Supporting file 2 as well as in the GIT 261 https://bitbucket.org/XavMeyer/biogeographyrevscript/src/master/, repository where 262 improvements may be available. The results obtained with the different biogeographic 263 models were compared and ranked by calculating the Bayes Factor, which is a typical model 264 selection approach in the Bayesian framework (Baele, Lemey, & Vansteelandt, 2013). To do 265 so, we ran 40'000 iterations sampling every 10 iterations. Convergence was assessed by 266 plotting log parameters in Tracer.

#### 267 *Habitat preference evolution*

In order to investigate how ancestral ecology played a role on the biogeographic history of *Hypostomus*, we evaluated the pattern of evolution of the habitat preference across

270 the phylogenetic tree of *Hypostomus*. We reconstructed the ancestral habitat preference by

defining the nine categories of habitats that were modified from those according to (2011), aslisted below (Figure 6):

- i) Slow flowing small streams (SFSS): streams with maximum 50 m width, such as
- headwater streams or small tributaries, being localized either in uplands or in lowlands,

275 generally muddy, with substrate comprising mostly of clay and sand.

276 ii) Medium to fast flowing small streams (FFSS): as the previous category, but

277 substrate comprised mainly of rocks or small stones.

278 iii) Slow flowing medium-sized rivers (SFMR): rivers with c. 50–1,000 m width, either

279 placed in uplands or lowlands, with muddy and/or sandy substrate.

- 280 iv) Medium to fast flowing medium-sized rivers (FFMR): as in SFMR, but substrate
- 281 mainly composed of gravel, stones and rocks. Medium-sized rapids and waterfalls are282 included in this category.
- v) *Slow flowing large rivers* (SFLR): large rivers with more than 1 km width, which
  include all the rivers or portions of them with very slow flowing waters, with substrate
  composed mainly of clay and sand.
- vi) *Medium to fast flowing large rivers* (FFLR): as in SFLR, but with gravel, rocky and
  stony substrates, including large rapids and waterfalls.
- vii) *Floodplains and ponds* (FLPO): areas that are seasonally inundated by the main

rivers, including várzea and igapó lakes, ponds, flooded forests, flooded savannahs and

- flooded grasslands.
- 291 viii) Freshwater estuarine systems (FRES): areas of estuary of very large rivers, where
- the salinity is still very low. In this category we included only the Río de La Plata,
- 293 comprising specifically the mouth of Uruguay and Paraguay rivers.

ix) *Brackish water* (BRWA): estuary and coastal regions characterized by relatively
high salinity. A single *Hypostomus* species lives in this very atypical habitat in the coast
of the Guyanas.

297 We used BEAST 1.8.1 (Drummond, Suchard, Xie, & Rambaut, 2012b) to reconstruct 298 the ancestral habitat state (Supporting file 1). We employed the aforementioned time-299 calibrated tree, by fixing topology and branch lengths. We assumed a symmetric model for 300 discrete state reconstructions. A strict clock was used, thereby enforcing a homogeneous rate 301 of trait evolution across all branches, and the prior on mean rate of habitat evolution was set 302 as a gamma distribution. Three independent runs of  $5 \times 10^7$  generations, sampled every 303 5,000<sup>th</sup> generation, were ran. Convergence of the runs and ESS >200 were confirmed with 304 Tracer 1.6. The post-burn-in samples from the three runs were combined using LogCombiner 305 2.4.8 to produce a consensus tree in TreeAnnotator 2.4.8.

# 306 Results

# 307 A robust calibrated Phylogeny of Hypostomus

We inferred a time-calibrated phylogeny of *Hypostomus* using four calibration points (calibration scenario 1) indicating that the *Hypostomus* lineage trace back to 14.7 Ma (HPD 95% = 17.8–11.4 Ma), which corresponds to the split between *Hypostomus* and its closest relatives *Hemiancistrus fuliginosus* + *Hemiancistrus punctulatus* (Figure 1). The estimated age of the Most Recent Common Ancestor (MRCA) of *Hypostomus* is 12.1 Ma (14.3–10.1 Ma) while the ages of divergence between the main *Hypostomus* lineages are presented in our calibrated phylogeny (Figure 1).

To evaluate the robustness of our calibrated phylogeny, we assessed the compatibility of each calibration point with regards to the three others by running three new calibrated phylogenetic inferences with the exclusion of one of the four calibrations at a time

318 (calibration scenarios 2 to 4 in Table 1). The resulting topologies were very similar among 319 each other and also with the results obtained with the full set of calibration points (Supporting 320 file 1). The super-groups of Hypostomus, as previously defined in the literature (Jardim de 321 Queiroz et al., 2020), where reconstructed with strong posterior probability (PP  $\ge$  0.97) in all 322 calibration scenarios (Supporting file 1). The only noticeable difference was the age retrieved 323 for the calibration point 2 consisting in the split between *Isorineloricaria* and *Aphanotorulus* 324 (IA split) attributed to the uplift of the Ecuadorian Andes. When this calibration point was not 325 used as a prior (calibration scenario 4), the age of this split was estimated to be 19.6 Ma 326 (27.3–13 Ma), that is, older than the uplift of the Ecuadorian Andes, the hypothesized 327 vicariant event causing the split. We also noticed that the splitting ages at the deepest nodes 328 were in general more ancient when the IA split was not considered as a calibration point 329 (calibration scenario 4). However, in all these cases, there is an important overlap of the HPD 330 95% across the different calibration scenarios (Table 1). Therefore, we used the scenario with 331 four calibration points (calibration scenario 1) in the downstream analyses.

# 332 Western Amazon was a centre of origin and dispersal

333 We reconstructed the biogeographic history of the genus Hypostomus using three 334 biogeographic models in RevBayes. The first two were stationary models in which the 335 connectivity between biohydrogeographic (BHG) regions remained unchained along time: the 336 first with full permeability between all pairs of BHG regions (Model 1, M1), and the second 337 combining (i) limited permeability between adjacent BHG regions and (ii) no permeability 338 between non-adjacent ones (Model 2, M2). The third model (M3) assumed a time-stratified 339 scenario with connectivity patterns adapted to four time windows, which were defined based 340 on the most important hydrological changes documented for South America. The time-341 stratified model M3 showed the highest score relative to the two stationary models (Bayes

342	Factor difference > 19; Supporting file 3, Table S1), providing the best approximation of
343	ancestral ranges throughout the radiation of Hypostomus, which we used in our further
344	analyses. Accordingly, the most recent common ancestor (MRCA) of the lineage comprising
345	Hypostomus and its sister Hemiancistrus group lived in the Western Amazon + Paraguay
346	(start state = region AF; posterior probability = 0.44; Supporting file 1a). This ancestor then
347	experienced a vicariant event (AF $\rightarrow$ A F), by which the ancestral population isolated in the
348	Western Amazon (A) gave origin to the first ancestral Hypostomus. While living in Western
349	Amazon, this ancestral Hypostomus split into consecutive lineages being at the origin of the
350	four recognized super-groups of Hypostomus (Hyp. cochliodon super-group, Hyp. hemiurus
351	super-group, Hyp. auroguttatus super-group and Hyp. plecostomus super-group). The
352	divergences among these super-groups followed a pattern where one of the descendants
353	remained in Western Amazon, while its sister descendent colonized a new BHG region
354	(Figure 1). In this way, a population from Western Amazon colonized the Guianas-Essequibo
355	region (A $\rightarrow$ AE) giving rise to the ancestor of the <i>Hyp. hemiurus</i> super-group (HHsg).
356	Subsequently, stemming from the ancestral species that remained in Western Amazon, a
357	population entered into the Paraguay System (A $\rightarrow$ AF), initiating the <i>Hyp. nematopterus</i> +
358	Hyp. auroguttatus super-group (HAsg). Finally, the ancestral species still residing in Western
359	Amazon gave rise to the Hyp. plecostomus super-group (HPsg).
360	Similarly, other Neotropical main basins where gradually colonized by Hypostomus
361	representatives stemming from Western Amazon. The first colonization of the Orinoco Basin
362	(BHG region D) took place around 10.5 Ma with the arrival from Western Amazon (A $\rightarrow$ D) of
363	the ancestor of Hyp. sculpodon (a member of the HCsg lineage; Figure 2). The Lower
364	Amazon Basin (Eastern Amazon, BHG region B) was also colonized first by emigrants
365	stemming from Western Amazon at 9.9 Ma (A→BC; Figure 2). The <i>trans</i> -Andean area (BHG
366	region L) was the only region adjacent to the Western Amazon that was not initially colonized

by Western Amazon ancestors, but by *Hypostomus* stemming from the Orinoco Basin (D →
DL; Figure 2).

369	According to our biogeographic reconstructions, the Lower Amazon Basin (BHG
370	region B), the Orinoco Basin (BHG region D), and the Guianas-Essequibo System (BHG
371	region E) were repeatedly colonized by Hypostomus species coming from Western Amazon.
372	For example, the Orinoco Basin was independently colonized by Western Amazon ancestors
373	at least in three instances: once in the lineage leading to <i>Hyp. hemicochliodon</i> (A $\rightarrow$ ABD; 1.3
374	Ma, Figure 2), once in the lineage leading to <i>Hyp. hondae</i> + <i>Hyp. plecostomoides</i> (A $\rightarrow$ AD;
375	8.8 Ma, Figure 2), and once at the root of the <i>Hyp. robinii</i> group (A $\rightarrow$ AD; 7.1 Ma, Figure 5).
376	The Guianas-Essequibo System, besides the early colonization by the ancestor of the HHsg,
377	was independently colonized two additional times by Western Amazon ancestors, the first
378	leading to the monotypic lineage of <i>Hyp. nematopterus</i> (A $\rightarrow$ E; 9.2 Ma, Figure 4) and the
379	second giving rise to the <i>Hyp. watwata</i> group (A $\rightarrow$ AE; 7.3 Ma, Figure 5). The re-colonization
380	of the Lower Amazon by Western Amazon emigrants can be exemplified by the arrival of the
381	ancestor of today's <i>Hyp.</i> sp. 'Paru' ( $A \rightarrow AB$ ; 5 Ma, Figure 3).

# 382 Hypostomus radiation into Southern and Eastern South American basins

The colonization of the Upper Paraná (region G) started with the ancestor of the HAsg at 9.2 Ma (Figure 4), stemming from the pre-existing Paraguayan lineage ( $F \rightarrow FG$ ). Subsequently, also within the HAsg, the first colonization of the Uruguay System/Lagoa dos Patos (region H) took place at about 4.6 Ma, with colonists emigrating from the Paraguay System ( $F \rightarrow FH$ , Figure 4). Moreover, we recovered two independent colonization of the São Francisco River (region J), both within the *Hyp. auroguttatus* super-group (HAsg). The first

389 gave origin to the *Hyp. asperatus* group ( $C \rightarrow CJ$ ; 6.4 Ma,), while the second was the ancestor

of todays *Hyp*. sp. 'BR98751' ( $G \rightarrow GJ$ ; 1.9–1.4 Ma), a member of the *Hyp*. *regani* group (Figure 4).

392 A single colonization of the coastal Atlantic drainages of Northern Brazil (region K) 393 was inferred and involved the ancestor of Hyp. pusarum + Hyp. sp. "Pindaré" (B $\rightarrow$ BCK; 4.2 394 Ma, Figure 5). On the other hand, the coastal Atlantic rivers (region I) were colonized four 395 independent times. In two cases the ancestral colonists stemmed from the Upper Paraná: (i) 396 the ancestor of *Hyp. auroguttatus* (belonging to the HAsg) at 5.7 Ma (G $\rightarrow$ I, Figure 4) and (ii) 397 the ancestor of Hyp. sp. "gr. ancistroides 4" (HPsg) ( $G \rightarrow GI$ ) at 3.6 Ma (Figure 5); while in 398 the third case (iii) the colonists came from a region composed of the Paraguay plus the Upper 399 Paraná, at about 3.2 Ma, which led to a small monophyletic lineage comprising five species of 400 our dataset, including *Hyp. affinis* and relatives (FG  $\rightarrow$  GI, Figure 5); and in the fourth case 401 (iv) the ancestor stemmed from the São Francisco Basin at around 1.8 Ma and led to the 402 group of species Hyp. sp. "AZ4" + Hyp. sp. "Contas" + Hyp. aff. francisci (J $\rightarrow$ JI, Figure 4).

# 403 Habitat preference conservatism with recent shifts

404 The ancestral habitat preference reconstructed with BEAST revealed that the MRCA 405 of Hypostomus was living in small to medium size streams and rivers with medium-fast 406 flowing waters (EF, Figure 6). This type of habitat remained the preferred one along most of 407 the evolutionary history of the genus and still persists in many representatives of all main 408 lineages. This result is indicative of marked niche conservatism in this genus. Among the 409 changes in habitat preference, the most ancient shift is observed in the stem branch of the 410 lineage including Hyp. hoplonites + Hyp. carinatus about 7.9 Ma, as this lineage adapted to 411 more lentic environments (floodplains and slow flow medium-large rivers, ACD). The next 412 shift in habitat preference occurred at about 6.1 Ma within the HCsg lineage, with a change 413 towards more lentic environments (floodplains + slow flow medium-large rivers, ACD; and

floodplains + slow flow small-medium rivers, ABC). All the remaining shifts in habitat
preference occurred quite recently, starting at about 4.5 Ma. Today, the proportion of species
inhabiting lentic habitats is approximately equal to the proportion living in lotic habitats
(Figure 6).

#### 418 **Discussion**

419 Although the Amazon region has been recognized as the major source of diversity of 420 terrestrial organisms in the Neotropical realm [e.g. 15, 16], an equivalent role for freshwater 421 lineages has not been thoroughly investigated. In the present study, we have addressed this 422 issue by analyzing *Hypostomus* as a model group due to its remarkable species-richness, its 423 continental-wide distribution, and because its species have colonized all Neotropical 424 freshwater habitats. We assembled information about the distribution, ecology and habitat 425 preference of 111 Hypostomus species from the main basins of South America and we 426 calibrated a large phylogenetic tree. We also took advantage of the growing knowledge 427 related to the history of river basins and landscape changes in Tropical South America (J. S. 428 Albert & Carvalho, 2011; J. S. Albert & Reis, 2011; Carvalho & Albert, 2011; e.g. C. Hoorn 429 et al., 2010; John G. Lundberg, Pérez, Dahdul, & Aguilera, 2011; Tagliacollo, Roxo, Duke-430 Sylvester, Oliveira, & Albert, 2015) to define time windows for each configuration of river 431 network and connectivity, leading to more realistic biogeographic reconstructions. To 432 improve our biogeographic reconstructions, we developed a new tool for RevBayes allowing for computationally tractable analyses with more precise geographic divisions by exploiting *a* 433 434 priori biologically meaningful distribution ranges.

435 *Western Amazon was the centre of origin of* Hypostomus

436 Deciphering the precise distribution range of a distant ancestor based on its current437 day descendants depends largely on the completeness of the species sampling, the knowledge

438 of the species distribution range and the size and number of the geographic areas considered 439 in the analysis. Previous attempts to infer the ancestral range of *Hypostomus* used restricted 440 taxonomic sampling, and resulted in excessively large ancestral distribution range for the first 441 ancestral Hypostomus, encompassing the entire proto Amazon-Orinoco System (Cardoso et 442 al., 2021) or even the proto-Amazon-Orinoco plus Upper Paraná (Silva et al., 2016). With our 443 comprehensive taxonomic sampling of Hypostomus and close relatives, we found that the 444 MRCA of Hypostomus inhabited the Western Amazon, an area that nowadays corresponds to 445 the Upper Amazon Basin including some important tributaries, such as the Madeira, Negro, 446 Purus and Japurá rivers. Our calibrated phylogeny based on four cross-tested calibration 447 points (Figs. 1-5; Supporting file 1: Time tree 1) indicates that the first ancestral Hypostomus 448 emerged in the Middle-Miocene, approximately from 14.7 Ma, and started to diversify at 449 approximately 12.1 Ma. These temporal results are in agreement with previous hypotheses (J. 450 I. Montoya-Burgos, 2003; Silva et al., 2016), but contrast with the Oligo-Miocene origin (~25 451 Ma) proposed recently (Cardoso et al., 2021). 452 Our findings indicate that the first ancestral *Hypostomus* originated and lived in the 453 Western Amazon Region, at a time where this region was mostly occupied by the Lake Pebas.

454 This mega basin was composed of a mosaic of lentic water bodies and wetlands that

dominated the lowlands of western Amazonia, bordered by the slopes of the Andes on the

456 western side and of the Brazilian and Guyana shields on the eastern side (C. Hoorn et al.,

457 2010; Lovejoy, Bermingham, & Martin, 1998; Wesselingh et al., 2006).

Due to marine transgressions, the lentic waters of the Lake Pebas basin are suggested to have been influenced by the sea, increasing its salinity (Lovejoy et al., 1998; Wesselingh et al., 2006). It is unlikely that the ancestral *Hypostomus* lived in this brackish-like lentic habitat, as we found that adaptation to brackish waters occurred only once and recently in the evolutionary history of *Hypostomus*, with *Hypostomus watwata* inhabiting the estuaries of

Guianese rivers. Moreover, our habitat preference reconstructions indicate that the ancestral *Hypostomus* was adapted to small rivers with medium-to-fast flowing waters. It is therefore
most likely that the first *Hypostomus* was distributed in small rivers draining the slopes of the
mountains surrounding Lake Pebas, either on the Andean side or on the slopes of the Purus
Arch.

According to our biogeographic analysis, the Western Amazon is the main biohydrogeographic region from which most of the dispersal events originated during the early radiation of *Hypostomus* (Figure 7). In total, the Western Amazon accounted for 30% of all the reconstructed dispersal events along the evolutionary history of *Hypostomus*, a proportion only reached by the Paraguay System (~31%). However, when we consider only the first half of the *Hypostomus* radiation period (12.1–6 Ma), the Western Amazon was the dominant center of dispersal, accounting for 60% of these events.

475 We uncovered that Western Amazon was the center of origin of *Hypostomus*, a result 476 that is in agreement with the few available findings on Neotropical fish. In a recent study of 477 Amazonian fish biodiversity, the Western Amazon area has been suggested to be the main 478 geographic region of origination of the Amazonian fish fauna, with a downstream 479 colonization of the lower Amazon basin (Oberdorff et al., 2019). However, this study was 480 geographically restricted to the Amazon basin only. At a wider spatial scale, detailed fish 481 biogeographic analyses revealed that the entire Amazon basin, taken as a single BHG region, 482 was the center of origin and dispersal into other Neotropical basins for the catfish subfamily 483 Hypoptopomatinae (Chiachio, Oliveira, & Montoya-Burgos, 2008) and for the characiform 484 genus Triportheus (Mariguela et al., 2016). Recently, a more refined biogeographic reconstruction also revealed the Western Amazon as the origin of marine-derived Neotropical 485 486 freshwater stingrays (Fontenelle et al., 2021), yet the marine origin of this group may not be 487 representative of other primary freshwater lineages. Therefore, our results bring new evidence in support of the hypothesis that Western Amazon was a primary center of freshwater fishorigination.

490 *The role of ecology in* Hypostomus *dispersal* 

491 The preferred ancestral habitat of *Hypostomus* consisted in small-to-medium streams 492 with medium-to-fast flowing waters (Figure 6). This habitat preference predominated in the 493 early evolution of Hypostomus, while the colonization of new habitats, such as lakes, 494 floodplains, large rivers and estuaries occurred more recently. According to this eco-495 evolutionary hypothesis, the first half of the Hypostomus radiation was characterized by a 496 strong niche conservatism, which may have played a role on the dispersal and speciation 497 modes of this genus. The preferred ancestral habitat of *Hypostomus* is very common in river 498 headwaters, which are portions of drainages prone to be captured by adjacent basins through 499 evolutionary time. Indeed, the geomorphological history of South America has been marked 500 by many events of headwater captures (James S. Albert et al., 2018; Lavarini, Magalhães 501 Júnior, Oliveira, & Carvalho, 2016; Stokes, Goldberg, & Perron, 2018), their incidence being 502 increased by the active tectonics of this continent and by river meandering and erosion in 503 relatively flat relief in several watershed divides.

504 Relying on our findings of Hypostomus ancestral habitat preference and strong niche 505 conservatism along most of their early radiation, we propose that much of the dispersal events 506 into new drainages occurred through the process of headwater captures, promoting range 507 expansion and subsequent allopatric speciation due to geographic isolation. In support of this 508 hypothesis, the results of the ancestral habitat reconstructions indicate that ~61% of dispersal 509 events along the evolution of *Hypostomus* took place in species preferring small or medium 510 rivers with fast-flowing waters (lotic habitats). This is an expected outcome of dispersal by 511 headwater captures, and could hardly be explained by dispersal through alternative

512 environments, such as river delta connections during low sea-level periods (Cardoso & 513 Montoya-Burgos, 2009; A. T. Thomaz, Malabarba, & Knowles, 2017), as the habitat 514 preference of the dispersing species does not match the size of the water body or the stillness 515 of the water found in such environments. The role of headwater captures in dispersal and 516 speciation in South American freshwater fishes has been often suggested, and the 517 hydrogeological hypothesis of diversification (J. I. Montoya-Burgos, 2003) was coined to 518 incorporate this phenomenon. Evidence of headwater captures have been found in drainage 519 boundaries all over Tropical South America, such as among Guianese rivers (e.g. Cardoso & 520 Montoya-Burgos, 2009), between the Amazon Basin and Guianese rivers (Hubert & Renno, 521 2006), between the Amazon and the Orinoco basins (J. G. Lundberg et al., 1998), between the 522 Amazon Basin and the Paraguay System (Hubert & Renno, 2006; J. G. Lundberg et al., 1998; 523 J. I. Montoya-Burgos, 2003; Tagliacollo et al., 2015), between the Upper Paraná and the São 524 Francisco river (Machado, Galetti, & Carnaval, 2018), among Atlantic coastal rivers (M. C. S. 525 L. Malabarba, 1998; Ribeiro, 2006; Roxo et al., 2012), and among many others. 526 However, river headwaters are not always located in mountainous landscapes and 527 temporary connections in basin divides located in flatlands might have also been dispersal 528 routes. For instance, the headwaters of the Guaporé River (Amazon Basin) and Paraguay are 529 located in a flat landscape (around 500 m in altitude) and are regularly interconnected during 530 rainy seasons (Carvalho & Albert, 2011). However, this hypothesis fits better with dispersals 531 later in the radiation of Hypostomus, when species shifted their habitat preference for more 532 lentic environments.

Every dispersal event into a new basin might have been an ecological opportunity for *Hypostomus* to occupy its preferred habitat, with no congeneric competitors. Although more distant relatives were likely present in the newly colonized basins, we can speculate that a perfect niche overlap between the residing distant relatives and the new *Hypostomus* colonists

is unlikely, although our data did not allow us to test this hypothesis. The hypothetical
absence of strong competitors in basins colonized for the first time by *Hypostomus* would
explain the marked niche conservatism characterizing the early evolutionary history of this
genus, a hypothesis that can be tested in future studies.

541 We hypothesize that when basins were colonized for the second time, *Hypostomus* 542 started to shift habitat preference, possibly due to competition between resident and colonist 543 congeneric species. This hypothesis is supported by the finding that *Hypostomus* species 544 started to diversify their habitat preference relatively recently along their evolutionary history, 545 when the new dispersers where invading basins already occupied by congenerics. We suggest 546 that the diversity in habitat preference among extant Hypostomus species (Figure 6) is most 547 likely the outcome of growing intra-generic competition triggered by the repeated 548 colonization of occupied rivers. According to radiation theory, niche shift is a response to 549 inter-specific competition that allows the co-existence of closely related species, increasing 550 local species-richness (Brew, 1982; Schluter, 2000). Consequently, our findings provide 551 instrumental evidence for explaining the remarkable species richness, species coexistence and 552 the wide distribution range of *Hypostomus* in the Neotropics. The eco-evolution of 553 Hypostomus diversity, ecology and distribution may serve as evolutionary model for the 554 entire fish community to which Hypostomus belongs, and our results may be informative for 555 understanding the evolutionary history of fish taxa with comparable biological and ecological 556 traits.

### 557 Western Amazon as a fish center of dispersal

Elaborating on our findings, we propose that Western Amazon is a center of fish dispersal for five main reasons. (I) It is the center of origin of *Hypostomus*, and this is probably the case for many other species-rich Neotropical fish lineages. (II) Western Amazon

561 is geographically located at the heart of Tropical South America, sharing drainage divides 562 with almost all other main river basins with numerous episodic ichthyologic interchanges 563 through headwater captures. (III) During the Miocene to the present, Western Amazon was 564 the BHG region that had the largest amount of long lasting hydrological interchanged with neighboring basins (Supporting file 3, Table S2). (IV) Western Amazon encompasses the 565 566 largest Neotropical freshwater system, and is the most fish species-rich BHG region 567 nowadays and was already remarkably rich during the Neogene (John G. Lundberg et al., 568 2011). Together, these characteristics help to explain why Western Amazon exported 569 repeatedly colonists into its neighboring biogeographic areas all along the evolutionary 570 history of Hypostomus. We argue that these characteristics may also be valid for many other 571 Tropical South American fish lineages that had Miocene representative in Western Amazon, 572 as this region acted as a distribution platform, boosting their dispersion throughout 573 Neotropical freshwaters.

#### 574 Conclusions

575 In the present work, we assessed the assumption that the Amazon basin was a major 576 center of fish dispersal, spreading new species into neighboring Neotropical river basins from 577 the Miocene to the present. Our detailed time-stratified biogeographic reconstruction of Hypostomus, one of the most species-rich genera in the Neotropical Region, indicated that 578 579 this group emerged in the Western Amazon in the Middle Miocene, when the palaeolandscape 580 was completely different from what we observe nowadays. While new Hypostomus species 581 were gradually accumulating in Western Amazon, their ancestral habitat preference enabled 582 them to colonize niches devoid of closely related competitors, and through headwater 583 captures, multiplied the ecological opportunities to spread and diversify into newly colonized 584 basins. Dispersal out of Western Amazon was also boosted by the reconfiguration of the

585 paleo-Amazon-Orinoco watershed, with the disconnection of the Orinoco basin and the 586 junction with Eastern Amazon. The central geographic location of Western Amazon, its 587 longstanding connectivity with other basins, its large extension composed of many sub-basins 588 hosting growing number of species, and the headwater captures spreading Hypostomus 589 species in adjacent basins, altogether designate Western Amazon as a center of origin and 590 dissemination for *Hypostomus*. As these characteristics might hold true for many fish lineages 591 with Miocene representatives in Western Amazon, the pattern we observed here for 592 *Hypostomus* may also be valid for a significant fraction of the Neotropical fish diversity. 593 This scenario is supported by the fact that the Western Amazon is an extensive area located at 594 the heart of Tropical South America, with long lasting hydrological connections with 595 neighboring basins, as well as multiple episodic fish exchanges via headwater captures.

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# 825 Data Availability Statemanet

826 The new DNA sequences used in this study are available from the NCBI

- 827 (Supporting file 3, Table S3). Complete concatenated alignment (except new sequences
- generated in the present work) is available from Jardim de Queiroz and colleagues (Jardim de
- 829 Queiroz et al., 2020) DOI: 10.17632/wccvm8p5gx.1. XML files to reconstruct and calibrate
- the phylogeny, and to reconstruct habitat preference are available from Supporting file 1.
- 831 Custom codes used to reconstruct the biogeography with RevBayes are available from
- 832 Supporting file 2 and from the GIT repository
- 833 https://bitbucket.org/XavMeyer/biogeographyrevscript/src/master/.

## 834 Author Contribution

- 835 LJQ and JIMB designed the research, analyzed and interpreted the results and drafted the
- 836 manuscript. LJQ assembled the data and ran the analyses. IAB conducted part of the wet-
- 837 laboratory routine. XM wrote the helper script specifying RevBayes analyses, helped to run
- the biogeographic analyses and to interpret the results. YC, RC, GTV, TEP and PAB
- 839 provided samples and/or laboratorial infrastructure to generate part of the data. All authors
- read, contributed to the content and approved the final version.

Table 1. Retrieved ages and Highest Posterior Density (HPD) 95% (between brackets) of six representative

843 nodes of the *Hypostomus* phylogenetic tree. Four calibration analyses were performed (Supporting file 1). In

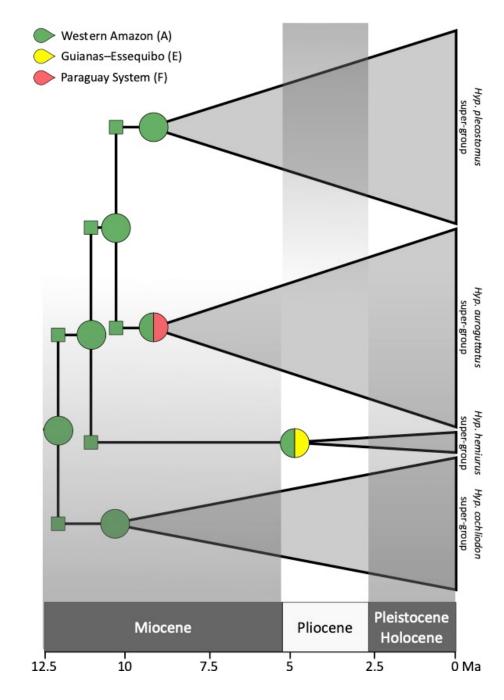
844 scenario 1, from which the results of this work are based on, all four-calibration points were used as priors; in

845 scenario 2, the calibration point 3 (Tocantins–Amazon disconnection) was not included; in scenario 3, the

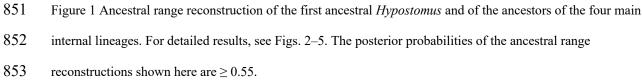
846 calibration point 4 (Amazon–Pilcomayo headwater capture) was not included; and in *scenario 4*, calibration

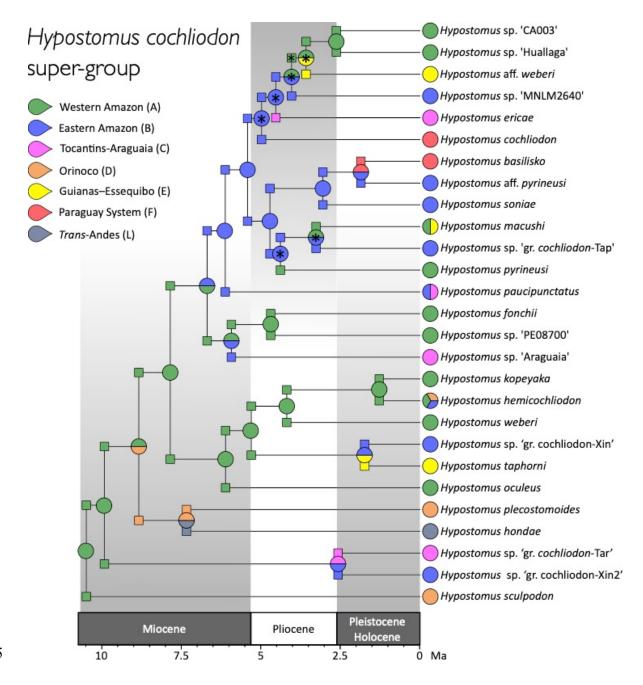
847 point 2 (Uplift of Ecuadorian Andes) was not included. MRCA: most recent common ancestor.

Node	Biogeographical event and age	Scenario 1	Scenario 2	Scenario 3	Scenario 4
Origin of <i>Hypostomus</i> + closest relatives <i>Hemiancistrus</i>	_	14.7 [17.8–11.4]	14.6 [17.7–11.7]	14.8 [18.0–12.0]	20.3 [27.4–14.2]
Hypostomus MRCA	-	12.1 [14.3–10.1]	12.1 [14.4–10.0]	12.2 [14.5–10.2]	16.3 [21.6–11.8]
Calibration point 1: <i>Hyp.</i> <i>hondae</i> + <i>Hyp.</i> <i>plecostomoides</i>	Uplift of Cordillera de Mérida (8 Ma)	7.3 [7.9–7.0]	7.3 [7.9–7.0]	7.4 [7.9–7.0]	7.7 [8.6–7.0]
Calibration point 2: Isorineloricaria + Aphanotorlus	Uplift of Ecuadorian Andes (10 Ma)	10.8 [12.0–9.8]	10.9 [12.0–9.8]	10.9 [12.0–9.8]	19.6 [27.3–13.0]
Calibration point 3: <i>Hyp</i> . sp.'xin2' + <i>Hyp</i> . sp. 'Tar'	Tocantins–Amazon disconnection (3.6– 1.8 Ma)	2.6 [3.9–1.3]	2.7 [4.8–1.0]	2.6 [5.3–1.3]	3.0 [4.4–1.9]
Calibration point 4: <i>Hyp</i> . cf. <i>borelli</i> + <i>Hyp</i> . sp.'Rio Grande'	Amazon–Pilcomayo headwater capture (~1 Ma)	1.2 [1.7–0.6]	1.2 [1.7–0.7]	1.3 [2.0–0.7]	1.5 [2.3–0.8]





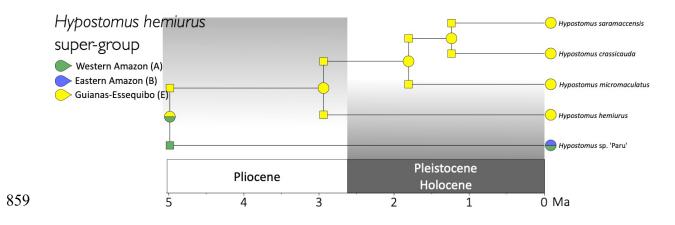




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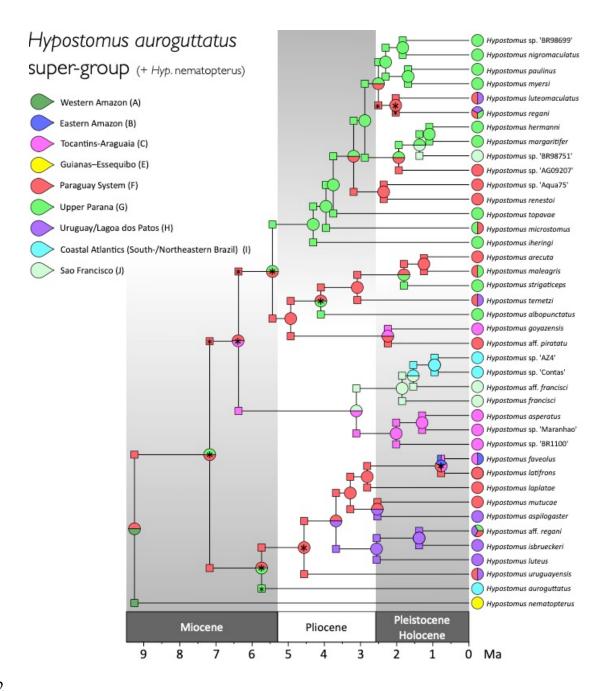
856 Figure 2 Ancestral range reconstruction of the *Hypostomus cochliodon* super-group. (\* posterior probability of

ancestral range reconstruction < 0.5).



860 Figure 3 Ancestral range reconstruction of the *Hypostomus hemiurus* super-group. The posterior probabilities of

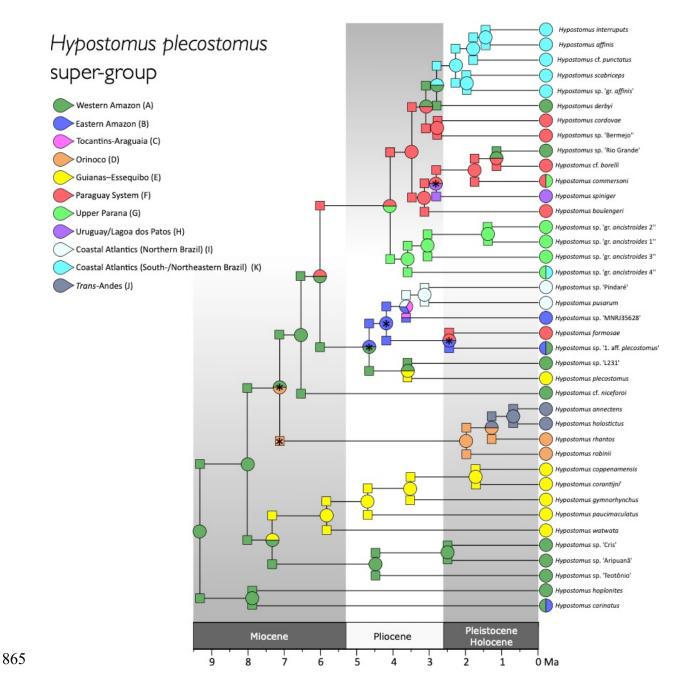
ancestral range reconstructions shown here are  $\geq 0.54$ ).



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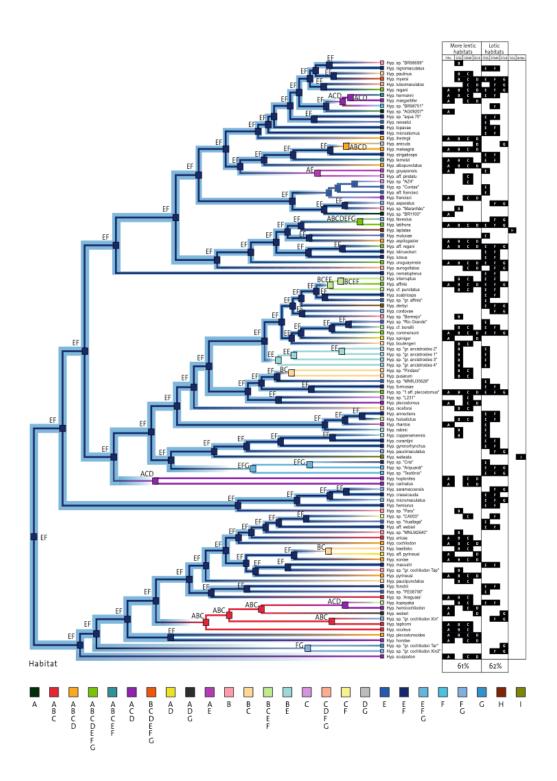
Figure 4 Ancestral range reconstruction of the clade that contains the *Hypostomus auroguttatus* super-group and

864 *Hypostomus nematopterus*. (\* posterior probability of ancestral range reconstruction < 0.5).



866 Figure 5 Ancestral range reconstruction of the *Hypostomus plecostomus* super-group. (\* posterior probability of

ancestral range reconstruction < 0.5).



869

870 Figure 6 Ancestral habitat reconstruction of *Hypostomus*. A = floodplains and ponds (FIPo); B = slow flowing
871 small streams (SFSS); C = slow flowing medium rivers (SFMR); D = Slow flowing large rivers (SFLR); E =

872 medium to fast flowing small stream (FFSS); F = medium to fast flowing medium rivers (FFMR); G = medium

- 873 to fast flowing large rivers (FFLR); H = freshwater estuarine systems (FrEs); I = brackish water (BrWa). More
- 874 information, including habitat reconstruction of outgroups and posterior probabilities can be found in Supporting
- 875 file 1.

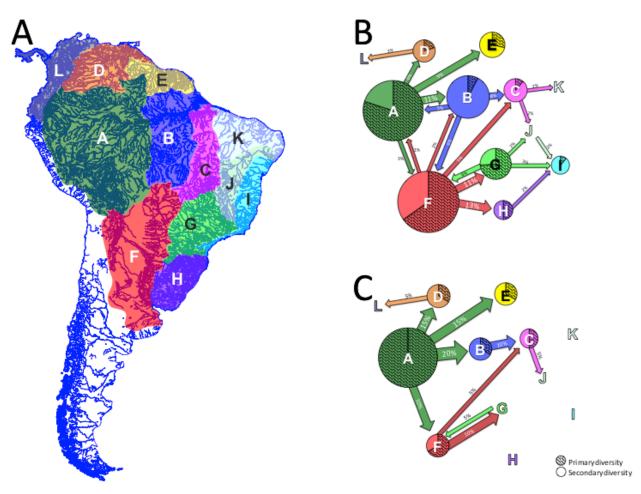




Figure 7 Dispersal events between biohydrogeographic regions (BHG). A) Map showing the division of South
America into BHG regions. B) Dispersal events inferred from 12.1 Ma to the present. C) Dispersal events
inferred from 12.1 to 6 Ma. Circle sizes are proportional to the number of extant *Hypostomus* species. Dashed
areas of the circles represent "primary diversity", i.e. the proportion of *Hypostomus* species that originated from
the first colonization of the region. Species that arose from secondary colonization events were considered as
"secondary diversity". As the Western Amazon (region A) was the place where *Hypostomus* originated, we
considered as primary diversity only the species that showed no ancestor distributed in another BHG region.

# 885 Supporting information (doi:10.17632/2fh5rj2zvg.1)

- 886 Supporting file 1: XML files for phylogenetic inference and tree calibration, and resulting
- trees in nexus format. These files correspond to four different runs (four scenarios as
- described in Table 1 in the main article). XML file for ancestral habitat reconstruction and
- resulting tree in nexus format are also available.
- 890 Supporting file 2: RevBayes scripts that were modified to constraint a given list of
- 891 geographic ranges.
- 892 Supporting file 3. Tables S1–S3. Table S1. Marginal likelihoods obtained from the distinct
- analyses conducted with RevBayes to reconstruct ancestral ranges. Table S2.
- 894 Biohydrogeographic regions and their connectivity through time periods, according to our
- time-stratified model (M3). Table S3. Accession numbers of sequences generated in the
- 896 present work.