# Symmetric polymer blend confined into a film with antisymmetric surfaces: Interplay between wetting behavior and the phase diagram

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We study the phase behavior of a symmetric binary polymer blend that is confined in a thin film. The film surfaces interact with the monomers via short-range potentials. We calculate the phase behavior within the self-consistent field theory of Gaussian chains. Over a wide range of parameters we find strong first-order wetting transitions for the semi-infinite system, and the interplay between the wetting/prewetting behavior and the phase diagram in confined geometry is investigated. Antisymmetric boundaries, where one surface attracts the A component with the same strength as the opposite surface attracts the B component, are applied. The phase transition does not occur close to the bulk critical temperature but in the vicinity of the wetting transition. For very thin films or weak surface fields one finds a single critical point at  $\phi_c = \frac{1}{2}$ . For thicker films or stronger surface fields the phase diagram exhibits two critical points and two concomitant coexistence regions. Only below a triple point there is a single two-phase coexistence region. When we increase the film thickness the two coexistence regions become the prewetting lines of the semi-infinite system, while the triple temperature converges toward the wetting transition temperature from above. The behavior close to the tricritical point, which separates phase diagrams with one and two critical points, is studied in the framework of a Ginzburg-Landau ansatz. Two-dimensional profiles of the interface between the laterally coexisting phases are calculated, and the interfacial and line tensions analyzed. The effect of fluctuations and corrections to the selfconsistent field theory are discussed.

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#### I. INTRODUCTION

The phase behavior of fluid mixtures in confined geometry has attracted abiding interest over many decades [1,2]. The preferential interactions at the surfaces give rise to an enrichment of one component at the surface. In a semiinfinite system at phase coexistence, the thickness of this enrichment layer diverges at the wetting transition [3-7]. Upon approaching the wetting transition temperature from below, the thickness of the enrichment layer might increase continuously (second-order wetting) or jump from a microscopically thin layer to a macroscopic layer at the (firstorder) transition. This latter case is by far the most common experimentally. If the transition is of first order, a continuation of the singularity persists also slightly above the wetting transition temperature. At a chemical potential (partial pressure) of the preferred species, which is smaller than the coexistence value (undersaturation), a thin and a thick enrichment layer coexist. Upon following this coexistence line (prewetting) to higher temperatures we decrease the difference in the enrichment layers of the coexisting phases and encounter a prewetting critical point.

If a symmetric binary mixture is confined into a film with antisymmetric boundaries, i.e., the upper surface attracts one species with exactly the same strength as the lower surface attracts the other species, no phase transition will occur close to the bulk critical point. Upon decreasing the temperature the enrichment layers at both surfaces gradually develop and stabilize an *AB* interface in the center of the film ("soft-mode" phase). It is only close to the wetting transition temperature that the symmetry is spontaneously broken and the *AB* interface is localized close to one surface. This interface localization-delocalization transition [8–13] and the anomalous fluctuations of the delocalized *AB* interface in the "soft-mode" phase have attracted recent interest [11,14–16] and experimental realizations in terms of polymeric systems have been investigated [14,15,17].

The application of experimental techniques (e.g., nuclear reaction analysis or neutron reflectometry) is facilitated by the large length scale of the enrichment layers, which is determined by the molecule's end-to-end distance  $R_e$ . The macromolecular architecture also allows a successful comparison to the results of the mean-field theory. The free energy cost of an *AB* interface  $\sigma R_e^2$  on the length scale  $R_e$  increases with chain length; a fact that reduces the effect of interface fluctuation on the phase diagram for very long chains. The extended fractal shape of the polymers leads to a strong interdigitation of different molecules. The large number of neighbors with which a molecule interacts strongly suppresses composition fluctuations and imparts mean-field behavior to the phase diagram except for the ultimate vicinity of the critical point.

In the following, we consider a symmetric binary polymer mixture confined into a thin film with antisymmetric boundaries and study how the wetting transition in the semi-infinite geometry affects the phase stability in a film. We employ self-consistent field calculations [18–22] to calculate the

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phase diagram as a function of the incompatibility, the shortrange surface interactions, and the film thickness. Our paper is arranged as follows: In the next section we describe the self-consistent field technique [21,22]. Then we present the phase behavior in a thin film with antisymmetric boundaries. For thick films or strong surface fields the phase diagram contains two critical points, corresponding to the prewetting critical points of each surface. Interfacial profiles between the coexisting, laterally segregated phases are discussed, and the interfacial and line tensions are analyzed. The paper closes with a summary and a discussion of fluctuation effects.

# **II. SELF-CONSISTENT FIELD CALCULATIONS (SCF)**

We consider a binary polymer blend in a volume  $V_0 = \Delta_0 \times L \times L$ . The film contains *n* polymers.  $\Delta_0$  denotes the film thickness, while *L* is the lateral extension of the film. Let  $\rho$  be the monomer number density in the middle of the film. The density at the film surfaces deviates from the density in the middle and it is useful to introduce the thickness (volume)  $\Delta(V)$  of an equivalent film with constant monomer density  $\Delta \equiv nN/\rho L^2$ .

The two surfaces of the film are impenetrable and hard. In a boundary region of width  $\Delta_w$ , the total monomer density drops to zero at both walls. In our calculations, we assume the monomer density profile  $\rho \Phi_0$  to take the form [21,22]

$$\Phi_{0}(x) = \begin{cases} \frac{1 - \cos\left(\frac{\pi x}{\Delta_{w}}\right)}{2} & \text{for } 0 \leq x \leq \Delta_{w} \\ 1 & \text{for } \Delta_{w} \leq x \leq \Delta_{0} - \Delta_{w} \\ \frac{1 - \cos\left(\frac{\pi(\Delta_{0} - x)}{\Delta_{w}}\right)}{2} & \text{for } \Delta_{0} - \Delta_{w} \leq x \leq \Delta_{0}. \end{cases}$$
(1)

A film with the same number of monomers but uniform density would have the thickness  $\Delta = \Delta_0 - \Delta_w$ . We assume the width of the boundary region to be small compared to the characteristic length scale of the composition profile, i.e.,  $\Delta_w/R_e \ll 1$ . In accordance with previous studies [21–24] we choose  $\Delta_w = 0.15R_e$ . This particular choice of the density profile is employed for computational convenience. If we chose a smaller value of the ratio  $\Delta_w/R_e$  the results would remain (almost) unaltered but the computational effort [i.e., the required number of basis functions (see below)] would increase substantially.

Both polymer species of the blend—denoted A and B—contain the same number of monomeric units N and are of the same architecture. We model them as Gaussian chains of end-to-end distance  $R_e$ . There is a short-range repulsion between the two monomer species that can be parameterized by the Flory-Huggins parameter  $\chi$ . The reduction of the total monomer density imparts also a lower segregation to the boundary regions ("missing neighbor" effect).

Both walls interact with the monomer species via a shortrange potential. The monomer wall interaction H in units of the thermal energy  $k_B T$  is modeled as [21,22]

$$\frac{H(x)}{k_B T} = \begin{cases}
\frac{4\Lambda_1 R_e}{\Delta_w} \left\{ 1 + \cos\left(\frac{\pi x}{\Delta_w}\right) \right\} & \text{for } \Delta_0 \leqslant x \leqslant \Delta_w, \\
0 & \text{for } \Delta_w \leqslant x \leqslant \Delta_0 - \Delta_w, \\
\frac{4\Lambda_2 R_e}{\Delta_w} \left\{ 1 + \cos\left(\frac{\pi(\Delta_0 - x)}{\Delta_w}\right) \right\} & \text{for } \Delta_0 - \Delta_w \leqslant x \leqslant \Delta_0.
\end{cases}$$
(2)

A positive value [H(x)>0] corresponds to an attraction for the *A* monomers and a repulsion for the *B* species. The range of the monomer-wall interaction is assumed to be much smaller than the chain extension and, for convenience, we employ the same numerical value as we do for the width of the boundary region in the monomer density profile. The normalization of the surface fields  $\Lambda_1$  and  $\Lambda_2$ , which act on the monomers close to the left and the right wall, is chosen such that the integrated interaction energy between the wall and the monomers is independent of the width of the boundary region  $\Delta_w$ . In the following we consider antisymmetric surface fields, i.e.,  $\Lambda \equiv \Lambda_1 = -\Lambda_2$ .

The microscopic A monomer density  $\hat{\Phi}_A$  can be expressed

as a functional of the polymer conformations  $\{\mathbf{r}_{\alpha}(\tau)\}$ :

$$\hat{\Phi}_{A}(\mathbf{r}) = \frac{N}{\rho} \sum_{\alpha=0}^{n_{A}} \int_{0}^{1} d\tau \, \delta(\mathbf{r} - \mathbf{r}_{\alpha}(\tau)), \qquad (3)$$

where the sum runs over all  $n_A$  A polymers in the system and  $0 \le \tau \le 1$  parametrizes the contour of the Gaussian polymer. A similar expression holds for  $\hat{\Phi}_B(\mathbf{r})$ . With this definition the semigrandcanonical partition function of a binary blend takes the form

$$\mathcal{Z} \sim \sum_{n_A=1}^{n} \frac{\exp(+\Delta \mu n_A/2k_B T)}{n_A!} \frac{\exp(-\Delta \mu n_B/2k_B T)}{n_B!} \int \mathcal{D}_A[\mathbf{r}] \mathcal{P}_A[\mathbf{r}] \int \mathcal{D}_B[\mathbf{r}] \mathcal{P}_B[\mathbf{r}] \times \exp\left(-\rho \int d\mathbf{r} \{\chi \hat{\Phi}_A \hat{\Phi}_B - H(\mathbf{r})[\hat{\Phi}_A(\mathbf{r}) - \hat{\Phi}_B(\mathbf{r})]\}\right) \delta(\Phi_0(\mathbf{r}) - \hat{\Phi}_A(\mathbf{r}) - \hat{\Phi}_B(\mathbf{r})),$$
(4)

where  $n = n_A + n_B$  and  $\Delta \mu$  represents the exchange potential between A and B polymers. The functional integral D sums over all chain conformations of the Gaussian polymers and

$$\mathcal{P}[\mathbf{r}] \sim \exp\left(-\frac{3}{2R_e^2}\int_0^1 d\tau \left(\frac{d\mathbf{r}}{d\tau}\right)^2\right)$$

denotes the statistical weight of a noninteracting Gaussian polymer. This simple model neglects the coupling between the interaction energy and the chain conformations, [25] and a finite stiffness of the polymers. Hence, the chain extensions parallel to the walls remain always unperturbed. The Boltzmann factor in the partition function incorporates the thermal repulsion between unlike monomers and the interactions between the monomers and the walls. The last factor represents the incompressibility of the melt in the center of the film and enforces the monomer density to decay according to Eq. (1) at the walls. A finite compressibility of the polymeric fluid, which results in a reduction of the monomer density at an ABinterface, is neglected.

Introducing auxiliary fields  $W_A$ ,  $W_B$ ,  $\Phi_A$ ,  $\Phi_B$ , and  $\Xi$ , we rewrite the partition function of the multichain system in terms of the partition function of a single chain

$$\mathcal{Z} \sim \int \mathcal{D}W_A \mathcal{D}W_B \mathcal{D}\Phi_A \mathcal{D}\Phi_B \mathcal{D}\Xi \times \exp\left(-\frac{\mathcal{G}[W_A, W_B, \Phi_A, \Phi_B, \Xi]}{k_B T}\right).$$
(5)

The free-energy functional has the form

$$\frac{\mathcal{G}[W_A, W_B, \Phi_A, \Phi_B, \Xi]}{nk_B T}$$

$$= + \ln \frac{n}{V_0} - \ln\{\exp(\Delta \mu/2k_B T) \mathcal{Q}_A[W_A] + \exp(-\Delta \mu/2k_B T) \mathcal{Q}_B[W_B]\}$$

$$+ \frac{1}{V} \int d\mathbf{r} \chi N \Phi_A(\mathbf{r}) \Phi_B(\mathbf{r}) - \frac{1}{V} \int d\mathbf{r} H(\mathbf{r}) N\{\Phi_A(\mathbf{r})$$

$$- \Phi_B(\mathbf{r})\} - \frac{1}{V} \int d\mathbf{r} \{W_A(\mathbf{r}) \Phi_A(\mathbf{r}) + W_B(\mathbf{r}) \Phi_B(\mathbf{r})\}$$

$$- \frac{1}{V} \int d\mathbf{r} \Xi(\mathbf{r}) \{\Phi_0(\mathbf{r}) - \Phi_A(\mathbf{r}) - \Phi_B(\mathbf{r})\}, \qquad (6)$$

where  $Q_A$  denotes the single chain partition in the external field  $W_A$ :

$$\mathcal{Q}_{A}[W_{A}] = \frac{1}{V_{0}} \int \mathcal{D}_{1}[\mathbf{r}] \mathcal{P}_{1}[\mathbf{r}] \exp\left(-\int_{0}^{1} d\tau W_{A}(\mathbf{r}(\tau))\right),$$
(7)

and a similar expression holds for  $Q_B$ .

The functional integration in Eq. (5) cannot be carried out explicitly. Therefore we employ a saddle-point approximation, which replaces the integral by the largest value of the integrand. This maximum occurs at values of the fields and densities determined by extremizing  $\mathcal{G}$  with respect to each of its five arguments. These values are denoted by lower-case letters and satisfy the self-consistent set of equations

$$w_{A}(\mathbf{r}) = \chi N \phi_{B} - H(\mathbf{r})N + \xi(\mathbf{r}),$$

$$w_{B}(\mathbf{r}) = \chi N \phi_{A} + H(\mathbf{r})N + \xi(\mathbf{r}),$$

$$\phi_{A}(\mathbf{r}) = -\frac{V}{Q} \frac{\mathcal{D}Q}{\mathcal{D}w_{A}} = \frac{V \exp(\Delta \mu/2k_{B}T)}{V_{0}Q} \int \mathcal{D}_{1}\mathcal{P}_{1}$$

$$\times \int_{0}^{1} d\tau \,\delta(\mathbf{r} - \mathbf{r}(\tau)) \exp\left(-\int_{0}^{1} d\tau \,w_{A}(\mathbf{r}(\tau))\right),$$
(8)

and a similar expression for  $\phi_B$ . The abbreviation Q denotes the semigrandcanonical single chain partition function

$$Q = \exp(\Delta \mu/2k_B T) Q_A + \exp(-\Delta \mu/2k_B T) Q_B.$$
(9)

At this stage, fluctuations around the most probable configuration are ignored. Most notably, the *AB* interfaces in the self-consistent (SCF) field calculations are ideally flat and there is no broadening by fluctuations of the local position of the *AB* interface (capillary waves).

To calculate the monomer density it is useful to define the end segment distribution  $q_A(\mathbf{r},t)$ :

$$q_{A}(\mathbf{r},t) = \int_{0}^{t} \mathcal{D}_{1}[\mathbf{r}] \mathcal{P}_{1}[\mathbf{r}] \delta(\mathbf{r} - \mathbf{r}(t)) \exp\left(-\int_{0}^{t} d\tau w_{a}(\mathbf{r}(\tau))\right),$$
(10)

and a similar equation holds for  $q_B(\mathbf{r},t)$ . The end segment distribution satisfies the diffusion equation

$$\frac{\partial q_A(\mathbf{r},t)}{\partial t} = \frac{R_e^2}{6} \Delta q_A(\mathbf{r},t) - w_A q_A \,. \tag{11}$$

The A monomer density can be expressed via the end segment distribution

$$\phi_A(\mathbf{r}) = \frac{V \exp(\Delta \mu/2k_B T)}{V_0 Q} \int_0^1 dt \, q_A(\mathbf{r}, t) q_A(\mathbf{r}, 1-t), \qquad \text{and}$$

(12)

and the single chain partition function is given by

$$\mathcal{Q}_A = \frac{1}{V_0} \int d\mathbf{r} \, q_A(\mathbf{r}, 1). \tag{13}$$

Substituting the saddlepoint values of the densities and fields into the free-energy functional (6) we calculate the free energy of the different phases:

$$\frac{G}{nk_BT} = -\ln \mathcal{Q} - \frac{\chi N}{V} \int d\mathbf{r} \, \phi_A \phi_B - \frac{1}{V} \int d\mathbf{r} \, \xi \Phi_0. \quad (14)$$

The free energy and the monomer densities are invariant under a change  $\xi(\mathbf{r}) \rightarrow \xi(\mathbf{r}) + c$ . Hence, we adjust the constant *c* such that the last term in the equation above vanishes. For an homogeneous bulk (i.e.,  $\Delta_0 \rightarrow \infty$ ), we obtain from Eq. (8):

$$\frac{\Delta \mu}{k_B T} = \ln \frac{\phi_A}{1 - \phi_A} - \chi N(2 \phi_A - 1), \qquad (15)$$

and Eq. (14) yields

$$\frac{G}{nk_BT} = \ln\frac{n}{V_0} - \ln 2 \cosh\left\{\frac{\chi N}{2}(2\phi_A - 1) + \frac{\Delta\mu}{2k_BT}\right\}$$
$$-\chi N\phi_A(1 - \phi_A) + \frac{\chi N}{2}.$$
(16)

The Helmholtz free-energy F in the canonical ensemble is related to G via the Legendre transformation

$$F = G + \frac{\Delta \mu}{2} (n_A - n_B), \qquad (17)$$

and for the homogeneous bulk system F takes the Flory-Huggins form

$$\frac{F}{nk_BT} = \ln \frac{n}{V_0} + \phi_A \ln \phi_A + (1 - \phi_A) \ln(1 - \phi_A) + \chi N \phi_A (1 - \phi_A).$$
(18)

In inhomogeneous systems we expand the spatial dependence of the densities and fields in a set of orthonormal functions:

$$f_{kl}(x) = \begin{cases} \sqrt{2} \sin(\pi kx/\Delta_0) & \text{for } l = 0, k = 1, 2, \dots, \\ \sqrt{2} \sin(\pi kx/\Delta_0)\sqrt{2} \cos(2\pi ly/L) & \text{for } l > 0, k = 1, 2, \dots. \end{cases}$$
(19)

This procedure results in a set of nonlinear equations that are solved by a Newton-Raphson-like method. For the onedimensional profiles (l=0, k=1, ...) we use up to 120 basis functions and achieve a relative accuracy  $10^{-4}$  in the free energy.

In order to investigate the interface between laterally coexisting phases, we employ two-dimensional SCF calculations in the canonical ensemble. In the canonical ensemble the relation between the fields  $w_A$  and  $w_B$  and the densities is still given by Eq. (8), but the densities are obtained via

$$\phi_{A}(\mathbf{r}) = -\overline{\phi}_{A} \frac{V}{\mathcal{Q}_{A}} \frac{\mathcal{D}\mathcal{Q}_{A}}{\mathcal{D}w_{A}} = \frac{V\overline{\phi}_{A}}{V_{0}\mathcal{Q}_{A}} \int_{0}^{1} dt \, q_{A}(\mathbf{r},t)q_{A}(\mathbf{r},1-t);$$
(20)

 $\overline{\phi}_A$  denotes the average composition of the system. We use 320 basis functions for film thickness  $\Delta_0 = 0.9R_e$ . The Helmholtz free energy takes the form

$$\frac{F}{nk_BT} = \overline{\phi}_A \ln \overline{\phi}_A + (1 - \overline{\phi}_A) \ln(1 - \overline{\phi}_A) - \overline{\phi}_A \ln \mathcal{Q}_A$$
$$- (1 - \overline{\phi}_A) \ln \mathcal{Q}_B - \frac{\chi N}{V} \int d\mathbf{r} \, \phi_A \phi_B \,, \qquad (21)$$

where we have used  $\int d\mathbf{r} \, \xi \Phi_0 = 0$ .

The temperature scale in the SCF calculations is set by the incompatibility  $\chi N$ , the length scale is set by the molecule's end-to-end distance  $R_e$ , and the strength of the surface fields

appears only in the combination  $\Lambda_1 N$  and  $\Lambda_2 N$ . Moreover, we employ the reduced chain length  $\overline{N} = (\rho R_e^3/N)^2$  to measure the degree of mutual interdigitation. In the framework of the SCFT, systems with the same values of  $\chi N$  and  $R_e$  but different  $\overline{N}$  exhibit identical behavior. As we shall discuss, the mean-field approximation is appropriate in many aspects in the limit  $\overline{N} \rightarrow \infty$ , whereas there are corrections to the SCF calculations for finite  $\overline{N}$ . A different interesting behavior emerges at strong segregation  $\chi N \rightarrow \infty$  (SSL). In this regime, many properties of the SCF calculations are describable by simple analytical expressions, and we shall denote these expressions by SSL in the following.

# **III. RESULTS**

### A. Bulk phase diagram and wetting behavior

Coexistence between different phases occurs if the two phases have the same semigrandcanonical energy at fixed temperature  $1/\chi N$  and exchange potential  $\Delta \mu$ . Since the bulk is symmetric with respect to exchanging  $A \rightleftharpoons B$ , phase coexistence occurs at  $\Delta \mu_{coex} = 0$  and the phase diagram is given implicitly by Eq. (15). The critical temperature is given by  $1/\chi_c N = 1/2$ . Of course, the SCF theory yields a parabolic shape of the binodal close to the critical point, because it is a mean-field theory.

The location of the wetting transition can be determined via the Young equation [26]. The *A* component wets the surface, if  $\sigma_{WB} - \sigma_{WA} > \sigma_{AB}$ , where  $\sigma_{AB}$  denotes the *AB* in-

terface tension between the coexisting bulk phases, and  $\sigma_{WA}$  and  $\sigma_{WB}$  denote the excess surface free energies per unit area of a surface in contact with the *A*-rich or *B*-rich phase, respectively. In the strong segregation limit (SSL), i.e.,  $\chi N \ge 2$ , the *AB* interface tension takes the form [27]

$$\frac{\sigma_{AB}R_e^2}{k_BT} = \sqrt{\overline{N}}\sqrt{\chi N/6} \left(1 - \frac{4\ln 2}{\chi N} + \cdots\right) \quad (SSL). \quad (22)$$

The excess surface free energy of a surface in contact with the *A*-rich phase has two contributions. On the one hand the polymer conformations are restricted due to the presence of the surface and the decay of the density profile in the vicinity of the wall. Since the *A* and *B* polymers have identical architecture, this conformational entropy contribution to the excess surface free energy is, however, the same for the two species and does not enter into the difference  $\sigma_{WB} - \sigma_{WA}$ [28]. On the other hand, the surface fields  $\Lambda_1$  and  $\Lambda_2$  give rise to a contribution to the excess surface free energy. If the surface is completely covered by the *A* component, this contribution amounts to

$$\frac{E_w}{L^2 k_B T} = \rho \int_0^{\Delta_0/2} dx \, H(x) \Phi_0(x) = \rho R_e \Lambda.$$
(23)

The contribution of a surface covered by the B component has the opposite sign.

If the wetting transition occurs at high incompatibility it will be of first order. In this case the enrichment layer in the nonwet state is negligibly small. Monte Carlo simulations show that this is a good approximation [28]. The Young equation [28] yields for the strength of the surface field at the wetting transition

$$\Lambda_{\text{wet}} N \approx \sqrt{\chi_{\text{wet}} N/24} \left( 1 - \frac{4 \ln 2}{\chi_{\text{wet}} N} + \cdots \right)$$
(SSL, first-order wetting). (24)

If the integrated monomer-wall interaction  $\Lambda R_e \sim \Lambda \sqrt{N}$ , which is the experimentally relevant quantity, does not depend on the chain length *N*, the left-hand side of the equation will be large and the wetting transition in the binary polymer blend will occur in the strong segregation limit, i.e.,  $\chi_{wet} \sim (\Lambda R_e)^2 \gg 1/N$ . This is in contrast to the behavior of mixtures of small molecules, where the Cahn argument [3] suggests that the wetting transition occurs close to the critical point.

If the wetting transition is first order, a prewetting line emanates from the coexistence curve above the wetting transition temperature. Along this line, a thin and a thick enrichment layer coexist at undersaturation. For short-range forces the prewetting line approaches the bulk coexistence curve linearly  $\Delta \mu_{\text{prewet}} \sim (T - T_{\text{wet}})/\ln(T - T_{\text{wet}})$  [29]. Upon increasing the temperature, the difference in the thickness of the enrichment layers decreases and the prewetting line ends in a prewetting critical point. This prewetting behavior is pertinent to the phase behavior in thin films.

Only for very weak surface fields the wetting transition occurs close to the critical point. In this limit, polymers exhibit a behavior similar to small molecules and the wetting behavior has been studied within the square-gradient approximation [30,31]. The latter assumes that the concentration varies slowly on the length scale  $R_e$ . In this approximation the dependence of the bare surface free energy on the composition at the wall plays a central role. In our model, both the surface fields and the "missing neighbor" effect due to the decay of the monomer density at the wall give rise to a composition dependence of the bare surface free energy:

$$f_{\text{wall}}^{\text{bare}}(\phi_{As}) = \frac{\Delta F}{\rho L^2 k_B T} = -\mu_1 \phi_{As} - \frac{1}{2} g_1 \phi_{As}^a$$
$$= \int_0^{\Delta_w} dz \{(\phi_A - \phi_B)H + \chi \phi_A \phi_B\} - \chi \phi_{As} \phi_{Bs} \frac{\Delta_w}{2}$$
$$= -(\phi_{As} - \phi_{Bs}) R_e \Lambda - \frac{1}{8} \Delta_w \chi \phi_{As} \phi_{Bs}, \qquad (25)$$

where  $\phi_{As} = \lim_{z\to 0} \phi_A(z)/\Phi_0(z)$  denotes the composition at the surface. Other contributions to the bare surface free energy (e.g., terms proportional to the gradient of the composition at the surface) are omitted. From this we identify the coefficients  $\mu_1 = 2\Lambda R_e + \chi \Delta_w/8$  and  $g_1 = -\chi \Delta_w/4$ . One central result of the square-gradient theory is that wetting transitions close to the critical point are of second order and occur at  $\mu_1 = -g_1(1 - \phi_A^{\text{bulk}})$  (a detailed derivation of this equation in the framework of the square-gradient approximation can be found in Ref. [30]). Using the parameters of our model we rewrite this result in the form

$$\Lambda_{\text{wet}} N \approx \frac{1}{16} \chi_{\text{wet}} N \frac{\Delta_w}{R_e} (1 - 2 \phi_A^{\text{bulk}}),$$
(WSL, second-order wetting). (26)

For arbitrary strength of the surface fields we expect the variable  $\Lambda_{wet}N$  to be a function of  $\chi_{wet}N$  and the above equations describe the limits  $\chi N \rightarrow \infty$  and  $\chi N \rightarrow 2$ .

#### **B.** Interface localization-delocalization transition

Rather than focusing on the detailed composition profile across the enrichment layers at the surface, much qualitative insight into the wetting behavior and the interface localization-delocalization transition can be gained from characterizing the profile only by the distance *l* between the wall and the *AB* interface. The dependence of the free energy per unit area—the effective *AB* interface potential  $g_{wall}(l)$ =  $F(l)/L^2 = \rho k_B T \Delta f/N$ —on the distance *l* determines the wetting behavior. The short-range surface fields distort the profile in the vicinity of the wall and give rise to an effective interaction that decays exponentially with the distance *l* between the wall and the *AB* interface. Qualitatively, the effective interface potential  $g_{wall}(l)$  in the semi-infinite system can be expanded in the form [4]

$$g_{\text{wall}}(l) = a(\chi N) \exp(-\lambda l) - b \exp(-2\lambda l) + c \exp(-3\lambda l).$$
(27)

This expression retains only the lowest powers of  $\exp(-\lambda l)$ , which are necessary to bring about the salient features of the wetting behavior. We neglect the temperature dependence of the coefficients *b* and *c*.  $\lambda$  denotes the inverse length scale of the interaction between the surface and the



FIG. 1. (a) Schematical illustration of the Ginzburg-Landau free energy for the case of the two critical points (r=1>0) and for a single second-order transition (r=-1<0) (inset). The values of the temperaturelike variable *t* are given in the key. Coexisting values and critical points are marked by points, while straight lines present Maxwell constructions connecting the two coexisting phases. (b) free energy in the canonical ensemble as a function of  $\chi N$  and  $\phi$  for  $\Delta_0=0.9$  and  $\Lambda N=0.5$ . The temperatures correspond to a supercritical isotherm, the critical isotherm, a temperature between  $T_t$  and  $T_c$ , the triple temperature, and an even lower temperature.

*AB* interface (see below). In principle, the numerical values of the coefficients can be obtained from fitting the results of our SCF calculation to the equation above, but we cannot offer analytical expression for the coefficients in the framework of the SCFT. In the following, we discuss the qualitative behavior that arises from an effective interface potential of type (27).

If the coefficient b is negative, the wetting transition is of second order and occurs when the coefficient a changes its sign. Following Parry and Evans [2,9] we obtain the effective interface potential g(l) in a thin film by superimposing the interactions originating from each individual wall; g(l) $=g_{\text{wall}}(l)+g_{\text{wall}}(\Delta-l)$ . The qualitative form of the potential is shown in the inset of Fig. 1(a), where the parameter m $\sim l - \Delta/2$  is proportional to the distance of the interface from the center of the film. The values of t correspond to various temperatures. A second-order wetting transition gives rise to a second-order interface localization-delocalization transition. Above the transition, a single AB interface parallel to the surfaces is stable, the system is in the one-phase region. Below the transition, the system phase separates laterally into phases where the AB interface is located close to the right or the left surface, respectively. The transition is of second order, i.e., the composition difference between the coexisting phases increases continously. The transition temperature approaches rapidly the wetting transition temperature of the semi-infinite system from below as we increase the film thickness.

If the coefficient *b* is positive, the form of  $g_{wall}(l)$  leads to a first-order wetting transition in the semi-infinite system where a thin layer of thickness  $l_{-} = 1/\lambda \ln(2c/b)$  coexists with a macroscopically thick enrichment layer at  $a_{wet} = b^2/4c$ . The prewetting critical point is located at  $a_{pwc} = 16a_{wet}/9$  and  $l_{pwc} = 1/\lambda \ln(9c/2b)$ . The superposition of interactions between the *AB* interface and the opposing walls yields the effective interface potential in a thin film. The qualitative shape of the potential is shown in Fig. 1 (a) schematically, while panel 1 (b) presents the results of the SCF calculations for  $\Delta_0 = 0.9R_e$  and  $\Lambda N = 0.5$ .

At low temperature, we find phase coexistence between two laterally segregated phases. Upon increasing the temperature, we encounter a triple point at which these two phases, where the AB interface is located close to the right or the left surface, respectively, coexist with a third phase, where the AB interface is delocalized at the center of the film. The location of the triple point  $(l_{triple} \text{ and } a_{triple})$  is given by the conditions  $g(l_t) = g(\Delta/2)$  and  $\partial g/\partial l|_{l_t} = 0$ . For large film thickness this yields  $a_{\text{triple}} - a_{\text{wet}} = b \exp(-\lambda \Delta/2)$  $+O(\exp(-\lambda \Delta))$ ; i.e., as the film thickness is increased, the triple temperature converges exponentially fast to the temperature of the first-order wetting transition of the semiinfinite system from above. Above this triple point there are two coexistence regions that each correspond to the prewetting coexistence of the semi-infinite system. At  $\Delta \mu < 0$  we find the coexistence of a thick and a thin enrichment layer of the A species at the A-attracting surface and at  $\Delta \mu > 0$  a similar coexistence at the opposite surface. The two coexistence regions end in critical points close to the prewetting critical temperature of the semi-infinite system. This firstorder interface localization-delocalization behavior is the analogon of the first-order wetting behavior of the semiinfinite system.

The different coexisting phases and their semigrandcanonical free-energy G are presented in Fig. 2 for  $\Delta_0$ =0.9R<sub>e</sub> and  $\Lambda N$ =0.5. Below the triple point  $1/\chi N$ <0.108, the phases are well-segregated. The monomer density profiles of the A component are depicted on the left side. Upon following the coexistence curve to higher temperatures, G decreases. At the triple temperature, these two phases coexist with a third phase in which the interface is delocalized in the middle of the film. From there onward, there are two coexistence regions at positive and negative values of the exchange potential  $\Delta \mu$ . Profiles of the two phases of the A-poor coexisting region are presented on the right side. They consist of a thin (upper right inset of Fig. 2) and a thick (lower right inset) enrichment layer of the A component at the surface that favors A.

For our strictly antisymmetric system, the concentration corresponding to the triple point always is exactly 1/2 due to the symmetry. This has an interesting consequence if one cools a mixture at  $\phi = 1/2$ : while in the bulk this mixture



FIG. 2. Semi-grand-canonical free-energy G of the coexisting phases at  $\Lambda N=0.5$  and  $\Delta_0=0.9R_e$ . For  $\Delta\mu_{\rm coex}\equiv 0$  and  $T < T_t$ = 0.108 an A-rich phase coexists with a B-rich phase, while for  $\Delta\mu_{\rm coex} < 0$  and  $T > T_t$  both coexisting phases are B-rich and differ in the thickness of the A layer at the surface. For  $T > T_t$ , there exists another coexistence region with  $\Delta\mu_{\rm coex} > 0$  that is related to one displayed by exchanging A vs B. The insets show the A density profiles across the film for the coexisting phases at  $\chi N=10$  (left) and  $\chi N=8.7$  (right).

would undergo a second-order phase separation (critical unmixing at  $\chi = \chi_{crit} = 2/N$ ,  $\phi = \phi_{crit} = 1/2$ ), one finds a single first-order unmixing transition at  $\chi = \chi_{triple}$ . For asymmetric compositions, however, enrichment layers form gradually at a wall close to the bulk critical temperature. This stabilizes an *AB* interface, which runs parallel to the surfaces. The interface is located close to one surface; its position is given by the composition of the system. Close to the prewetting critical point, the enrichment layer may phase separate laterally into a thick and a thin enrichment layer. Upon further cooling, we encounter a second phase transition where the thickness of the thick enrichment layer becomes comparable to the film thickness, i.e., two almost completely segregated phases coexist.

Previous Monte Carlo simulations [28] yield evidence that the interaction range  $1/\lambda$  in Eq. (27) is determined by the bulk correlation length  $\xi$  for large distances between the AB interface and the surface. This is in accordance with the expectation that the AB interface profile in the outer wing is characterized by the length scale  $\xi$ , which measures the decay of composition fluctuations in the bulk, rather than w/2, which characterizes the slope of the AB interface profile at the center of the interface. This is further corroborated by our SCF calculations. For two temperatures  $\chi N = 5$  and 8 above the critical temperature we have measured the free-energy density f as a function of the composition  $\phi$  for various film thicknesses. Around  $\phi = \frac{1}{2}$ , the free-energy density can be expanded in the form  $f = f_1 + f_2(\phi - \frac{1}{2})^2$ . This yields for the effective AB interface potential  $g(l) = \rho k_B T \Delta f / N \sim \text{const}$  $+f_2(l-\Delta/2)^2/\Delta$ . Above the critical temperature, we can estimate the effective range  $1/\lambda$  of the interaction according to  $g(l) \sim \exp(-\lambda \Delta/2) \sim f_2/\Delta$ . In Fig. 3, we plot  $f_2/\Delta$  vs the film thickness  $\Delta$ . For large film thicknesses the data exhibit an exponential dependence on the film thickness. Upon increasing the temperature, the interaction increases as the surfaces repel the AB interface more strongly. For large  $\Delta$  the interaction range is compatible with  $1/\lambda = \xi \approx R_{e}/\sqrt{18}$ , where we have used the behavior of the correlation length at



FIG. 3. Curvature of the interface potential at the center of the film. Symbols represent SCF calculations for  $\chi N=5$  and 8, and  $\Lambda N=0.5$ . Solid lines correspond to  $g \sim f_2/\Delta \sim \exp(-\lambda \Delta/2)$  with  $1/\lambda = \xi$ , while dashed lines depict the behavior for  $1/\lambda = w/2$ .

strong segregation. For small  $\Delta$ , i.e., distances between the *AB* interface and the surface that are not very much larger than the interfacial width *w*, the interaction decays somewhat faster:  $w < 1/\lambda < \xi$ . At these intermediate distances a rather complicated interaction has been predicted [27].

Upon varying the sign of the coefficient *b* we alter the order of the interface localization-delocalization transition. At the tricritical point the order of the transition changes. For small values of *b* we make a phenomenological Ginzburg-Landau ansatz for the effective interface potential g(m) in terms of the (not normalized) order parameter  $m \sim \phi - \frac{1}{2} \sim l - \Delta/2$ . For antisymmetric surface fields, the effective interface potential is invariant under the transformations  $A \rightleftharpoons B$  and must be an even function of *m*. We assume the simplest ansatz that allows for three-phase coexistence:

$$g(m) = m^2 (m^2 - r)^2 + tm^2.$$
(28)

The coefficients of this Landau expansion (28) can be derived from an effective interface Hamiltonian (27):  $r = -15g_4/g_6$  and  $t=360g_2/g_6-225g_4^2/g_6^2$ , where  $g_n \equiv \partial^n g_{\text{wall}}/\partial l^n|_{\Delta/2}$  denotes the *n*th derivative of the wall-interface potential at the center of the film.

This effective interface potential is depicted in Fig. 1(a). For r < 0 (inset) the coefficient in front of the fourth-order term  $m^4$  is positive and we find a single second-order phase transition at  $t_c = -r^2$ ,  $m_c = 0$ , and  $\mu_c = 0$ . The case r > 0 corresponds to a first-order interface localization-delocalization transition. For t=0, there is a three-phase coexistence, at which the order parameter of the coexisting phases takes the values 0 and  $\pm \sqrt{r}$ . Of course, the Landau expansion is only appropriate for small r. The parameter t characterizes the temperature difference to this triple point. Above the triple temperature, we find two coexistence regions and, eventually, we encounter two critical points at  $t_c = 7r^2/5$  and order parameters  $m_c = \pm \sqrt{2r/5}$ . The critical chemical potential  $\mu_c = \partial f / \partial m |_c$  is given by  $64\sqrt{2}r^{5/2}/25\sqrt{5}$ . r = 0 marks the tricritical transition; the three coexisting phases collapse to a single one with order parameter m = 0. The critical and triple temperature coincide likewise. The fourth-order coefficient in the Ginzburg-Landau ansatz vanishes and the binodals close to the critical temperature open like  $m \sim \pm (-t)^{\beta}$  with  $\beta_{\text{tri}} = \frac{1}{4}$  rather than with  $\beta = \frac{1}{2}$  (in mean-field approximation).



FIG. 4. Phase diagrams in a thin film  $(\Delta_0 = 0.9R_e)$  with antisymmetric surface fields. The values of the surface fields  $\Lambda N$  are indicated in the key. For  $\Lambda N \le 0.1425$  we find a single critical point, while we find two critical points for larger surface fields. (a) displays the phase diagram in the temperature-composition plane, while (b) presents the coexistence curves  $\Delta \mu_{coex}(\chi N)$ . [For  $\Lambda N = 0.15$  the two critical points are indistinguishable on the scale of panel (b)].

The qualitative features of this scenario are confirmed by the SCF calculations. Results for film thickness  $\Delta_0 = 0.9 R_e$ are presented in Fig. 4. Panel (a) presents the phase diagram for various strengths of the surface fields as a function of temperature and composition. For weak surface fields  $\Lambda N$ the interface localization-delocalization transition is of second order and we obtain phase diagrams with one critical point at  $\phi_c = \frac{1}{2}$ . Upon increasing the strength of the surface fields, the critical point shifts to lower temperatures. Around  $\Lambda N = 0.1425$  the binodal become flatter and are compatible with an exponent  $\beta_{tri} = \frac{1}{4}$ . In accordance with the Ginzburg-Landau ansatz this marks the tricritical transition. At stronger surface fields we obtain phase diagrams with two critical points, which correspond to the prewetting critical points of the first-order wetting transition in the semi-infinite system. When we increase  $\Lambda N$  further, the two critical points, which are located symmetrically around  $\phi = \frac{1}{2}$ , gradually move to lower temperatures, and higher or lower A concentration, respectively. Moreover, the temperature distance between the critical points and the triple point increases. (See Table I.)

Figure 4(b) depicts the behavior in terms of temperature and chemical potential difference. For second-order interface localization-delocalization transitions the coexistence chemical potential is  $\Delta \mu_{coex} = 0$  by virtue of the symmetry with respect to exchanging  $A \rightleftharpoons B$ . The same holds true for firstorder transitions below the triple point. At the triple point, however, the coexistence curve bifurcates into two symmetrical branches, which correspond to the prewetting lines of the semi-infinite system. These lines end at critical points. Upon increasing the strength of the surface fields, the two critical points move to lower temperatures and larger absolute values of  $\Delta \mu$ .

To make a closer connection to the Ginzburg-Landau ansatz, we assume that the parameter r, which drives the transition between the two types of phase diagrams, varies as a function of the surface field  $\Lambda N$ . Then the Ginzburg-Landau ansatz predicts that the quantities  $(\phi_c - \frac{1}{2})^2 \sim \sqrt{T_c - T_t}$  $\sim \Delta \mu^{2/5} \sim r(\Lambda N)$ . This is tested in Fig. 5. Indeed, our SCF calculations confirm that these quantities exhibit a very similar dependence on the surface field close to the transition. Moreover, we estimate the critical value of the surface field to be  $\Lambda N \approx 0.1425$ . The corresponding power laws for the location of the critical points in the vicinity of tricriticality are also displayed in the Figs. 4(a) and 4(b). Additionally, the inset of Fig. 5 shows that the binodals are characterized by an exponent  $\beta_{tri} = \frac{1}{4}$  at this tricritical value of the surface field. This provides strong evidence that the Ginzburg-Landau ansatz captures the salient features of the tricritical transition.

Square-gradient calculations [10] and recent Monte Carlo simulations [32] of the Ising model indicate that the interface localization-delocalization transition can be second order in thin films ( $\Delta_0 < \Delta_{tri}$ ), even if the wetting transition is first order. Within our Ginzburg-Landau ansatz this finding can be rationalized as follows: Close to the tricritical point the coefficient  $r \sim g_4$  is small and the temperature of the triple point is given by the condition  $0 = t \sim g_2 + O(r^2)$  or  $a_{triple} = 4b \exp(-\lambda\Delta/2) - 9c \exp(-\lambda\Delta) + O(r^2)$ . At the tricritical film thickness  $\Delta_{tri}$  the coefficient r(t=0) changes its sign.



FIG. 5.  $(\phi_c - \frac{1}{2})^2$ ,  $\sqrt{T_c - T_l}$ , and  $\Delta \mu_c^{2/5}$  as a function of the surface field  $\Lambda N$ . In agreement with the Ginzburg-Landau ansatz, all quantities show the same dependence on the parameter  $r(\Lambda N)$ . The dashed line is only a guide to the eye. The location of the critical surface field is indicated.  $\Lambda_{1c}N \approx 0.1425$ . The inset display the behavior of the binodals for  $\Lambda N = 0.1425 \approx \Lambda_c N$ . The curve corresponds to the SCF calculations, while the dashed line indicates the  $\phi - 0.5 \sim \pm |T - T_c|^\beta$  with  $\beta = \frac{1}{4}$ .

TABLE I. Properties of the antisymmetric system at  $\Delta_0 = 0.9R_e$ . Phase diagrams with two critical points.

$\Lambda N$	$\phi_c$	$\Delta \mu_c / k_B T$	$T_c = 1/\chi_c N$	$T_t = 1/\chi_t N$
0.5	0.2414	-0.113	0.1190	0.10791
0.3	0.2667	-0.0574	0.2033	0.19123
0.2	0.3249	-0.0123	0.2770	0.27163
0.175	0.3584	-0.00378	0.3011	0.29867
0.15	0.429	-0.00014	0.32949	0.32927

Neglecting terms of order  $O(r^2)$  we obtain

$$r(t=0) \sim -a + 16b \exp(-\lambda \Delta/2) - 81c \exp(-\lambda \Delta)$$
$$\approx 12b \exp(-\lambda \Delta/2) - 72c \exp(-\lambda \Delta).$$

If the semi-infinite system exhibits a first-order wetting transition, the coefficients b and c are positive. Hence, for large  $\Delta$  the coefficient r is positive and leads to a first-order interface localization-delocalization transition. If the film width  $\Delta$ becomes comparable to the correlation length  $1/\lambda$ , however, the second-term might drive the coefficient r negative upon decreasing the film thickness.

This is further explored in our SCF calculations. In Fig. 6(a) we present the phase diagrams as a function of the film thickness at  $\Lambda N=0.5$ . For large film thickness  $\Delta_0=2.6R_e$ , we find a first-order interface localization-delocalization

transition. Upon decreasing the film thickness, the two critical points move to lower temperatures and closer to the symmetry axis.  $\Delta_0 \approx 0.605$  corresponds to the tricritical transition: There is only a single critical point but the binodals are describable by the exponent  $\beta_{tri} = \frac{1}{4}$ . Upon further decreasing  $\Delta_0$ , the critical temperature increases and the binodals assume parabolical shape. The corresponding coexistence curves are shown in Fig. 6(b).

The mechanism is most clearly visible in the effective AB interface potential  $g(l) \sim \Delta f$ , which is presented in Fig. 6(c) for  $\chi N=9$  as a function of the distance  $l=\Delta\phi$  between the surface and the AB interface. For the largest film thickness  $\Delta_0 = 2.6 R_e g(l)$  is to a good approximation the effective potential of the nearest surface  $g_{wall}$ .  $\chi N=9$  corresponds to a temperature above the wetting (triple) transition but below the (prewetting) critical temperature of the thick film. Each surface repels the AB interface and there is a shoulder in the effective interaction around  $l_{\text{prewet}} \approx 0.22R_e$ . Qualitatively, the SCF calculations confirm that g(l) is the linear superposition of the interactions with each surface. When we decrease the film thickness larger values of l become unfavorable, because the more the interface moves away from one surface the more it experiences the repulsion from the opposite surface. This results in a minimum of g(l) close to each surface. The shape of g(l) corresponds to a temperature below the triple point, i.e., the interface is localized at one or the other surfaces. This shows that the triple temperature



FIG. 6. (a) Phase diagram for  $\Lambda N=0.5$  and various film thicknesses  $\Delta_0$ . For  $\Delta_0=2.6R_e$  and  $0.9R_e$ , the interface localizationdelocalization transition is first order,  $\Delta_0=0.605R_e$  corresponds to a tricritical transition, while the transition is second order for  $\Delta_0$  $=0.5R_e$ . (b) Phase diagram as a function of temperature and chemical potential for the same parameters than in (a). (c) Effective interface potential  $g(l) \sim \Delta f(\phi)$  at  $l = \Delta \phi$  as a function of the film thickness  $\Delta_0$  for  $\chi N=9$ . (d) Surface field (circles) and temperature (squares) of the tricritical transition as a function of the film thickness  $\Delta_0$ .

increases when we decrease the film thickness. The firstorder character of the transition is associated with the shoulder of  $g_{wall}$  around  $l_{prewet}$ . Obviously, this feature of g(l)disappears when the film thickness  $\Delta$  is of the order  $2l_{pw}$  and we find second-order transitions for smaller film thicknesses.

This thickness dependence implies that the value  $\Lambda_{tri}N$ = 0.57 obtained from the phase diagram of rather thin films  $\Delta_0 = 0.9 R_e$  is not a reliable approximation of the strength of the surface fields at which a tricritical wetting transition in the semi-infinite system occurs. We have attempted to locate the strength of the surface fields at which the binodals are describable by the exponent  $\beta_{tri} = \frac{1}{4}$  as a function of the film thickness  $\Delta$ . The results of this procedure are collected in Fig. 6(d). As we increase  $\Delta$ , the surface fields at which the tricritical transition occurs decreases and the transition temperature approaches the critical point. For film thickness much larger than the range of interaction  $1/\lambda$  between the surface and the AB interface we expect a thin film to behave similar to a semi-infinite system. Close to the critical point, however, the range of interaction  $1/\lambda \sim \xi$  between the surface and the AB interface increases. Therefore we anticipate very pronounced finite-size effects even for film thicknesses that exceed the end-to-end distance  $R_e$  by far. These difficulties prevent us from reliably estimating the tricritical wetting transition of the semi-infinite system or comparing our calculations to the prediction (26) of the square-gradient approximation [30,31]. From the behavior at film thickness  $\Delta_0 = 5R_e$  we conclude that critical wetting transitions in the semi-infinite systems occur only for  $\Lambda N < 0.01$  and  $\chi N$ <2.04 in our model. Qualitatively, this is in agreement with SCF calculations of Carmesin and Noolandi [33] and Monte Carlo simulations [28] that find only first-order wetting transitions, except for the ultimate vicinity of the critical point that has not been investigated.

### C. Interfacial profiles

The effective interface potential also determines the composition profiles across an interface between the laterally coexisting phases. At low temperatures the coexisting phases are almost completely segregated, i.e., the thickness of the enrichment layers of the minority components are small. In this case the interface between the coexisting phases is planar and makes an angle  $\Theta$  with the surface. The contact angle  $\Theta$  is given by the Young equation

$$\cos \Theta = \frac{\sigma_{WA} - \sigma_{WB}}{\sigma_{AB}} \approx \frac{\Lambda N}{\sqrt{\chi N/24}} \quad (SSL). \tag{29}$$

The width w of the interface between the coexisting phases is given by  $w = \Delta_i \cot \Theta$ , where  $\Delta_i/2$  denotes the distance of the *AB* interface from the center of the film in each phase. At a second-order interface localization-delocalization transition, the contact angle decreases linearly with the distance from the transition temperature t and the composition difference between the coexisting phases vanishes like  $\Delta_i \sim |t|^{1/2}$ . Hence, the width of the interface between the coexisting phases diverges like  $w \sim |t|^{-v}$ , where  $v = \frac{1}{2}$  is the mean-field exponent for the correlation length upon approaching the critical point.



FIG. 7. Shape of the interface between the coexisting *A*-rich and *B*-rich phases below the triple point. The profiles have been obtained from the Eq. (31) using input from the one-dimensional SCF calculations.

Close to a first-order interface localization-delocalization transition, the effective interface potential exhibits more structure and this will modify the shape of the interface. Within the mean-field approximation, the shape of the interface will minimize the effective interface free energy. Approximating the AB interface profile as a sharp kink at the position l, we obtain for the free energy the effective interface Hamiltonian [34]:

$$\frac{F[l]}{k_B T} = \int dy dz \{ \sigma_{AB} [\sqrt{1 + (dl/dy)^2} - 1] + g(l) \}$$
$$\approx L \int dy \left\{ \frac{\sigma_{AB}}{2} \left( \frac{dl}{dy} \right)^2 + g(l) \right\}, \tag{30}$$

where we have assumed that the position l of the AB interface depends only on one lateral coordinate y. The last approximation is valid if the angle between the interface and the surface is small. This is justified in the vicinity of the wetting transition, but the approximation breaks down at low temperature, where the interface runs almost perpendicular to the surfaces. This effective interface Hamiltonian yields the Euler-Lagrange equation

$$\frac{d^2l}{dy^2} = -\frac{d}{dl} \left( -\frac{g(l)}{\sigma_{AB}} \right) \tag{31}$$

that can be interpreted as the trajectory l of a particle in the potential  $-g/\sigma_{AB}$ . To obtain a qualitative insight we extract the effective interface potential from the one-dimensional SCF calculations  $g(l)/\sigma_{AB} = k_B T \sqrt{N} \Delta f(\phi_A)/\sigma_{AB} R_e^3$  at  $l = \Delta \phi_A$ .

Typical shapes of the interface between the coexisting phases for a film thickness  $\Delta_0 = 0.9R_e$  are presented in Fig. 7. Far below the triple temperature, the interface is planar and makes an angle  $\Theta$  with the surfaces. Slightly below the triple temperature, however, the interfacial profile becomes S shaped, i.e., the angle between the interface and the surface



FIG. 8. Composition profiles of the interface between the A-rich and B-rich phase at  $\chi N=13$ , 12, 10, 9.63, and 9.44 and film thickness  $\Delta_0=0.9R_e$  and lateral extension L/2=5.25, 6 and  $8.5R_e$ . For clarity the aspect ratio of the figure has been decreased.

is larger in the vicinity of the surface than at the center of the film. Note that the lateral interfacial width can exceed the film thickness by far in the vicinity of the triple temperature. The flatter portion in the center of the film is a consequence of the metastability of the third phase with composition  $\phi = \frac{1}{2}$  or the additional local minima in g(l), respectively. Upon approaching the triple temperature, the interfacial width becomes larger and the central portion of the profiles becomes flatter and more extended. This central portion might be conceived as a microscopic layer of the metastable delocalized phase ( $\phi = \frac{1}{2}$ ), which completely wets the interface between the *A*-rich and *B*-rich phase at the triple point.

In the semi-infinite system, the interfacial tension varies smoothly upon raising the temperature through the wetting transition temperature. The excess free energy of the interface approaching the surface—the line tension  $\tau$ —varies rapidly close the wetting transition. Employing an effective interface Hamiltonian of the form (30), Indekeu [35] has obtained a simple expression for the line tension  $\tau$ :

$$\tau = \sqrt{2\sigma_{AB}} \int_{l_1}^{\infty} dl \{\sqrt{\delta g_{\text{wall}}(l)} - \sqrt{\delta g_{\text{wall}}(\infty)}\}, \qquad (32)$$

where  $l_1$  is the position of the minimum of  $g_{wall}(l)$  close to the surface and  $\delta g_{wall} = g_{wall}(l) - g_{wall}(l_1)$ . This formula has been applied to analyze recent experiments [36]. The behavior of the line tension close to the wetting transition depends on the order of the transition and the range of the monomerwall interaction. For short-range forces, the line tension  $\tau$  reaches a finite positive value at the wetting transition temperature, while it is negative far below the wetting temperature.

The effective interface Hamiltonian captures only the qualitative behavior. Monte Carlo simulations and SCF calculations have shown that the properties of the *AB* interface depend on the distance *l* from the surface. This gives rise to a position dependence of the tension [28] and width of the *AB* interface [16]. A more detailed description of the interface is provided by the two-dimension composition profiles in Fig. 8. Due to the choice of basis functions, the profiles are periodic in *y* direction and only half the system is shown. In qualitative agreement with the considerations above, the interface between the *A*-rich and *B*-rich phases runs straight across the film at low temperatures ( $\chi N = 13$  and 12). The contact angle at  $\chi N = 12$  is about 30°.

Upon increasing the temperature, the contact angle between the surface and the interface decreases and the interface becomes S shaped in the vicinity of the triple point. The SCF calculations also reveal that the interface becomes broader when we increase the temperature. Moreover, the width of the interface is broader in the vicinity of the surfaces than in the middle of the film. This effect is due to the reduction of the monomer density in the vicinity of the surface, which imparts a reduced effective incompatibility ("missing neighbor effect") on the surface region. A similar effect has been observed in confined systems containing copolymers [21,22]. This effect gives rise to a negative contribution to the line tension when the interface approaches the surface.



FIG. 9. Behavior of the line tension  $\hat{\tau}$  upon approaching the triple point. Squares denote the line tension as extracted from two-dimensional SCF calculations, the dashed line corresponds to Indekeu's approximation applied to a thin film. The coefficient  $\tilde{\Sigma}$  and the *AB* interface tension  $\sigma_{AB}$  are displayed as a circle and full line, respectively. The vertical lines mark the triple temperature.

We decompose the free energy of systems containing two interfaces between an *A*-rich and a *B*-rich phase into bulk, surface, and line contributions:

$$F - F_{\text{bulk}} = 2(L\Delta\Sigma + 2L\tau) \quad \text{or}$$

$$f - f_{\text{bulk}}(\Delta) = \frac{2R_e}{L}\tilde{\Sigma} + \frac{4R_e^2}{L\Delta}\tilde{\tau} \quad \text{with}$$

$$\tilde{\Sigma} = \frac{\Sigma R_e^2}{\sqrt{Nk_BT}} \quad \tilde{\tau} = \frac{\tau R_e}{\sqrt{Nk_BT}}.$$
(33)

Note that the surface fields and the entropy loss of the chains at the surfaces give rise to a thickness dependence of the bulk free-energy density  $f_{\text{bulk}}$ . Varying both *L* and  $\Delta$ , we have estimated the coefficients in our SCF calculations and the results are displayed in Fig. 9. Qualitatively similar to the behavior at a first-order wetting transition, the line tension changes its sign from negative to positive upon approaching the triple point temperature from below. The coefficient  $\tilde{\Sigma}$ decreases as we approach the triple point. To a first approximation, one would expect a behavior of the form  $\Sigma \approx \sigma_{AB}\Theta$ .

The data are also compared to Indekeu's formula (32). In order to apply the formula to thin films, we extend the integration only to the middle of the film and we shift the constant  $\delta g_{\text{wall}}(\infty)$  accordingly to  $\delta g_{\text{wall}}(\Delta/2)$ . In the dimensionless units of the SCF calculations we obtain

$$\widetilde{\tau} \approx \left[ 2 \left( \frac{\Delta}{R_e} \right)^3 \frac{\sigma_{AB} R_e^2}{\sqrt{\overline{N}k_B T}} \right]^{1/2} \int_{\phi_1}^{1/2} d\phi \{ \sqrt{f(\phi) - f(\phi_1)} - \sqrt{f(\frac{1}{2}) - f(\phi_1)} \}.$$
(34)

This approximation for a thin film gives a reasonable estimate for the temperature dependence and the order of magnitude of the line tension; however, the value of the line tension in a thin film is systematically underestimated. When we apply the above approximation to thicker films, the value of the line tension increases. If we use the film thickness  $\Delta_0 = 2.6R_e$  instead of  $\Delta_0 = 0.9R_e$ , the approximation yields  $\tilde{\tau} = -0.016$  instead of  $\tilde{\tau} = -0.032$ . Unfortunately, we are unable to extend our SCF calculations to larger film thicknesses.

In addition to the finite film thickness there are other effects that might upset the comparison between the SCF calculations and the effective Hamiltonian description: It is unclear how accurate the identification of the effective interface potential via  $g(l) = \rho k_B T \Delta f(\phi = l/\Delta)/N$  is. This identification of the interface position via the absorbed amount is a good approximation for large distances between the surface and the AB interface. In this case, the composition profile across the AB interface is well described by the interfacial profile between the coexisting bulk phases. If the AB interface is close to the surface, however, the profile becomes strongly distorted (see Fig. 2) and the definition of the interface position *l* is somewhat ambiguous, but this is exactly the region that gives the dominant contribution to the line tension. Moreover, there are nonlocal contributions to the free energy, e.g., due to the conformational entropy. The polymers change their conformations so as to fill the wedgeshaped volume between the surface and the AB interface. This differs from the behavior close to a surface or an ABinterface and gives rise to a contribution to the line tension, which is only partially described by the effective interface Hamiltonian.

#### **IV. SUMMARY AND DISCUSSION**

We have calculated the phase diagram of a symmetric polymer mixture confined to a thin film in mean-field approximation. The left surface attracts the A component with the same strength as the right surface attracts the B component of the mixture. The calculations reveal a rich interplay between the phase behavior in confined geometry and the wetting behavior of the semi-infinite system. If the wetting transition of the semi-infinite system is second order, so is the interface localization-delocalization transition in a thick film [9].

At stronger surface fields, the wetting transition in the semi-infinite system is first order and this gives rise to a first-order interface localization-delocalization transition in a thick film. The phase diagram in a thick film exhibits two critical points symmetric around  $\phi = \frac{1}{2}$ . These correspond to the prewetting critical points of the semi-infinite system. At lower temperatures we encounter a triple point at which an A-rich phase, a phase where the AB interface is located in the center of the film, and a B-rich phase coexist. This triple temperature converges from above to the wetting temperature as we increase the film thickness. Below the triple temperature there is a single coexistence region between an A-rich and a B-rich phase. The interplay between the prewetting behavior and the phase diagram in a thin film has been considered for symmetric surface fields (capillary condensation) [28,37,38], but, to the best of our knowledge, phase diagrams with two critical points far below the bulk critical temperatures in films with antisymmetric surface fields have neither been discussed analytically [23,24] nor observed in experiments or simulations. As we shall discuss below, we do not expect corrections to the mean-field calculations to alter our conclusions qualitatively and we hope our predictions will be confirmed by experiments or simulations.

Qualitatively, the interplay between the prewetting behavior and the phase diagram in a film with antisymmetric boundaries is not specific to polymer blends but is rather characteristic of all binary mixtures. Symmetric polymer mixtures might, however, be especially suitable model systems for exploring these effects experimentally and we hope our detailed calculations will provide some guidance.

The existence of the triple point also influences the shape of the interface between the laterally segregated, coexisting phases. At low temperatures the interfaces run straight across the film and the angle between the interface and the surface is given by the macroscopic contact angle. Upon approaching the triple point from below, however, the profiles become S-shaped with a flatter portion at the center of the film. This signals the metastability of the third delocalized phase with composition  $\phi = \frac{1}{2}$ . At the triple point the delocalized phase completely wets the interface between the *A*-rich and *B*-rich phase. Upon approaching the triple temperature from below, the line tension changes sign from negative to positive. The properties of the interface between the coexisting phases are in qualitative agreement with the results of an effective Hamiltonian description.

In thin films the interface localization-delocalization transition might be of second order even though the wetting transition is of first order. This has been predicted in the framework of a square-gradient approach by Swift *et al.* [10], and is in accordance with simulations of the Ising model [32]. A similar behavior is found in our self-consistent field calculations for polymer blends. For our model, secondorder wetting transitions are restricted to the ultimate vicinity of the critical point of the bulk while second-order interface localization-delocalization transitions can be observed far below the bulk critical temperature for film thicknesses comparable to the end-to-end distance  $R_e$ . This leads us to anticipate very strong finite film thickness effects close to a second-order wetting transition even for film thicknesses that exceed  $R_e$  by far.

Of course, our self-consistent field calculations neglect fluctuations. In the vicinity of the critical point, we rather expect 2D Ising critical behavior with much flatter binodals than the parabolic binodals of the mean-field universality class. The Ginzburg criterion ensures, however, that these composition fluctuations are only important in the ultimate vicinity of the critical point  $|1 - \chi_c N/\chi N| \sim 1/\sqrt{N}$ , where the reduced chain length  $\overline{N} = (\rho R_e^3/N)^2$  measures the degree of interdigitation. As we increase  $\overline{N}$ , the relative temperature distance from the critical point for which these composition fluctuations are important decreases. For small and intermediate chain lengths an interesting interplay between mean-field, 3D Ising and 2D Ising critical behavior is anticipated. In the limit of large interdigitation, however, we expect composition fluctuations to be only of minor importance for most parts of the phase diagram.

Moreover, the interface profiles in the self-consistent field calculations are ideally flat, i.e., there are no capillary waves of the interfaces. The importance of these fluctuations is not restricted to the vicinity of critical points. On the one hand, capillary waves lead to a broadening of profiles across the interface. The "internal" *AB* interfaces run parallel to the surfaces and the effective interaction between the *AB* interface and the surface imparts a long wavelength cutoff  $\xi_{\parallel}$  to the spectrum of capillary waves. Hence, the interfacial width does not grow unbound as we increase the lateral system size, but still the self-consistent field calculations might severely underestimate the width of the "internal" *AB* interfaces [14–16]. Within a convolution approximation, the apparent width  $w_{cap}$  of the *AB* interface, which is observed in experiments or simulations, is related to the intrinsic width  $w_{sef}$  in the SCF calculations via

$$\left(\frac{w_{\rm cap}}{w_{\rm scf}}\right)^2 \approx 1 + \frac{k_B T}{4\sigma_{AB} w_{\rm scf}^2} \ln\left(\frac{\xi_{\parallel}}{B}\right)$$
$$\approx 1 + \frac{3\sqrt{6}}{2} \frac{\sqrt{\chi N}}{\sqrt{N}} \ln\left(\frac{\xi_{\parallel}}{B}\right) \quad (SSL), \qquad (35)$$

where we have used the temperature dependence of the interfacial tension and width for strong segregation to obtain the last expression [18,27]. *B* is a short length cutoff for the capillary wave spectrum. Analytical calculations and recent Monte Carlo simulations show that *B* tends to  $\pi w_{scf}$  [39] or  $3.8w_{scf}$  [40], respectively, in the strong segregation limit. Even though the second term in the above equation is only of the order  $1/\sqrt{N}$ , the increase of the apparent interfacial width due to capillary waves typically is of the order of the intrinsic width for experimentally relevant chain lengths [41,14,15].

On the other hand, capillary waves renormalize the effective interaction between the surface and the *AB* interface. For instance, the effective interaction range  $1/\lambda$  is increased to  $(1 + \omega/2)/\lambda$ , where the capillary parameter [42,43]

$$\omega = \frac{k_B T \lambda^2}{4 \pi \sigma_{AB}} = \frac{1}{4 \pi \sqrt{N}} (\lambda R_e)^2 \frac{k_B T \sqrt{N}}{\sigma_{AB} R_e^2}$$
(36)

measures the strength of fluctuation effects and decreases like  $1/\sqrt{\overline{N}}$ .

At weak segregation  $(\lambda R_e)^2 \sim (R_e/\xi)^2 \sim (1-2/\chi N)$  and  $k_B T \sqrt{N} \sigma_{AB} R_e^2 \sim (1-2/\chi N)^{-3/2}$ , and the capillary parameter increases like  $\omega \sim [\overline{N}(1-2\chi N)]^{-1/2}$ . In the ultimate vicinity of the bulk critical point, the mean-field theory breaks down and we expect a crossover to a constant value [43]. The divergence of  $\omega$  upon approaching the critical point is cutoff around  $1 - \chi_c N/\chi N \sim 1/\overline{N}$ , in accordance with the Ginzburg criterium for the crossover from mean-field to Ising critical behavior. In the strong segregation limit, the correlation length  $\xi$  approaches the temperature-independent limit  $R_e/\sqrt{18}$  and the capillary parameter scales like  $9/[2 \pi \sqrt{\chi N/6} \sqrt{N}]$ .

Conformational changes are incompletely described by our self-consistent field calculations. Within the Gaussian chain model the lateral extension  $R_{\parallel}$  of a molecule parallel to the surfaces remains always unperturbed, i.e., it is independent of the local composition, the distance between the molecules and the surface, and the film thickness. Experiments and Monte Carlo simulations, however, do reveal a dependence of the lateral chain extension on the parameters above. Partially, some of these effects can be rationalized as follows: If the film thickness is very thin, the lateral chain extension  $R_{\parallel}$  has to increase so as to restore a constant monomer density. This increase of the lateral chain extensions occurs if  $\rho R_{\parallel}^2 \Delta < N$  or  $\Delta/R_e < 1/\sqrt{N}$ . Under these conditions, the chains are quasi-two-dimensional and the density of monomers belonging to the same chain inside the coil volume is not small [44]. An A chain in a B-rich environment shrinks as to exchange energetically unfavorable intermolecular contacts with contacts along the same chain. By reducing its size, it increases the density of its own monomers inside the coil volume. The energy gain upon shrinking is counterbalanced by the loss of conformational entropy. Scaling arguments, Monte Carlo simulations, and SCF calculations [25] yield for the relative reduction of a minority chain in the strong segregation limit:  $\Delta R/R \sim \chi N/\sqrt{N}$ .

In the limit of infinite interdigitation  $\overline{N} \rightarrow \infty$ , the above correction to the SCF calculations becomes small. However, even for experimentally relevant chain lengths, finite  $\overline{N}$  effects might give rise to sizable corrections to the SCF calculations (e.g., broadening of the apparent width of *AB* interfaces by capillary waves).

Aside from finite N effects, there are other corrections that are not captured by the SCF calculations and that remain

important even in the limit of infinite interdigitation. The finite compressibility of the polymeric fluid, for instance, gives rise to packing effects at the surfaces. The monomer density profile in the vicinity of the wall is determined by an intricate interplay between equation of state effects, loss of conformational entropy, fluidlike packing effects, and surface fields. These effects are not included in the SCF calculations of Gaussian chains, but require a detailed consideration of the molecular architecture and fluidlike packing structure. However, we do not expect these effects to change our conclusions qualitatively.

Likewise, it is difficult to find an experimental realization of a symmetric mixture confined into a film with antisymmetric boundaries. The effects of deviations from perfectly antisymmetric surfaces and the crossover between capillary condensation (for strictly symmetric boundary fields) to interface localization-delocalization has been explored in the framework of our model.[24] This study shows that phase diagrams with two critical points also occur for nearly antisymmetric surface fields. The stronger the order of the wetting transition (the more extended the prewetting line) the more stable is the topology of the phase diagram against small deviations from perfect antisymmetry.

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