

# BEYOND HEISENBERG:

## Entropic and Fidelity-Based Uncertainty Relations

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# THE UNCERTAINTY PRINCIPLE



Heisenberg, 1927: Given two (or more) quantum observables one cannot predict with certainty and simultaneously the outcomes of both

## UNCERTAINTY RELATION: GENERAL FORM

$$\mathcal{U}(A, B, \rho) \geq \mathcal{B}(A, B)$$

- state-independent
- = 0 only if A and B share at least one eigenstate (commute: particular case)
- >0 in other case

Uncertainty measure associated to the probability distributions of the outcomes

# UNCERTAINTY RELATIONS

## PRELIMINARIES AND NOTATION

### Observables

$$\mathcal{A} = \sum_{i=1}^N a_i |a_i\rangle \langle a_i|$$

$$\mathcal{B} = \sum_{j=1}^N b_j |b_j\rangle \langle b_j|$$

(discrete, non-degenerate spectra)

complementary observables (e.g.  $\sigma_X$  and  $\sigma_Z$ )

$T$ : unitary transformation matrix

$$T_{ji} = \langle b_j | a_i \rangle$$

Overlap:

$$c \equiv \max_{ji} |T_{ji}| \in \left[ \frac{1}{\sqrt{N}}, 1 \right]$$

share at least one eigenstate (e.g.  $[\mathcal{A}, \mathcal{B}] = 0$ )

### Quantum states

$$\rho \geq 0 \text{ and } \text{Tr} \rho = 1$$

$$\rho = |\Psi\rangle \langle \Psi| \quad \text{Pure states}$$

### Probability vectors

$$p(\mathcal{A}, \rho) = [p_1(\mathcal{A}, \rho) \cdots p_N(\mathcal{A}, \rho)]^t$$

$$p_i(\mathcal{A}; \rho) = \langle a_i | \rho | a_i \rangle \xrightarrow{\text{pure state}} |\langle a_i | \Psi \rangle|^2$$

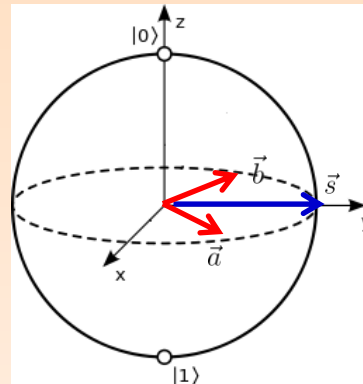
pure state

## HEISENBERG-ROBERTSON RELATION (1929)

$$V(\mathcal{A}; \rho) V(\mathcal{B}; \rho) \geq \frac{1}{4} |\langle [\mathcal{A}, \mathcal{B}] \rangle_\rho|^2$$

$$V(\mathcal{A}; \rho) = \sum_i (a_i - \langle \mathcal{A} \rangle_\rho)^2 p_i(\mathcal{A}; \rho)$$

$$\langle \mathcal{A} \rangle_\rho = \sum_i a_i p_i(\mathcal{A}; \rho)$$



qubit system ( $N = 2$ )

$$\rho = \frac{1}{2}(I + \vec{s} \cdot \vec{\sigma}), \quad \vec{\sigma} = (\sigma_X, \sigma_Y, \sigma_Z), \quad \|\vec{s}\| \leq 1$$

$$\mathcal{A} = \vec{a} \cdot \vec{s}, \quad \|\vec{a}\| = 1 \quad \mathcal{B} = \vec{b} \cdot \vec{s}, \quad \|\vec{b}\| = 1$$

HR UR:

$$\sqrt{1 - (\vec{a} \cdot \vec{s})^2} \sqrt{1 - (\vec{b} \cdot \vec{s})^2} \geq |(\vec{a} \times \vec{b}) \cdot \vec{s}|$$

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# PART I: ENTROPIC UNCERTAINTY RELATIONS

S. Zozor, G.M. Bosyk, and M. Portesi, *General entropic uncertainty relations for  $N$ -level systems*, to be submitted (2013)

S. Zozor, G.M. Bosyk, and M. Portesi, *On a generalized entropic uncertainty relation for the qubit*, J. Phys. A: Math. Theo. **46** 465301 (2013)

# ENTROPY AND UNCERTAINTY RELATIONS

## RÉNYI ENTROPY

$$H_\lambda[p] = \frac{1}{1-\lambda} \ln \sum_{i=1}^N p_i^\lambda, \quad \lambda \geq 0$$

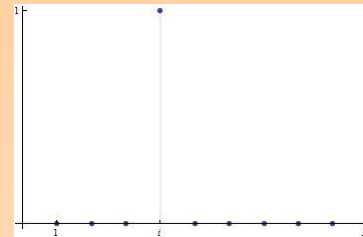
$p$ : probability vector  $\{p \in \mathcal{P} = [p_1 \cdots p_N]^t \in [0, 1]^N, \sum_k p_k = 1\}$

◇ Shannon entropy:  $H_1[p] = -\sum p_i \ln p_i$

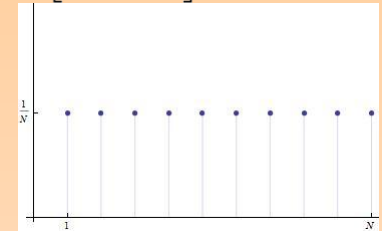
◇ Collision entropy:  $H_2[p] = -\ln \sum_i p_i^2$

◇ Min entropy:  $H_\infty[p] = -\ln \max_i p_i$

$p_k = \delta_{ki} : H_\lambda[p] = 0$



$p = \left[\frac{1}{N} \cdots \frac{1}{N}\right]^t : H_\lambda[p] = \ln N$



◇  $H_\lambda[p]$  is Schur-concave

◇  $H_\lambda[p]$  is concave in  $p$  for  $\lambda \in [0, 1]$

◇  $H_\lambda[p]$  for fixed  $p$  decreases with  $\lambda$

## GOAL AND PREVIOUS RESULTS

$$H_\alpha[p(\mathcal{A}, \rho)] + H_\beta[p(\mathcal{B}, \rho)] \geq \overline{\mathfrak{B}}_{\alpha, \beta} > 0, \quad (\alpha, \beta) \in \mathbb{R}_+^2$$

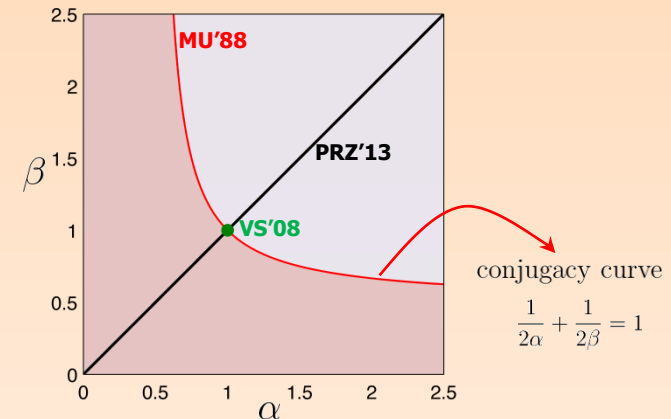
$T$ -optimal bound

$$\mathfrak{B}_{\alpha, \beta}(T) = \min_{\rho} (H_\alpha[p(\mathcal{A}, \rho)] + H_\beta[p(\mathcal{B}, \rho)]) \geq \overline{\mathfrak{B}}_{\alpha, \beta}$$

Solved only for qubit systems ( $N = 2$ ),  
SZ, GMB, MP, JPA **46**, 465301 (2013)

$c$ -optimal bound

$$\tilde{\mathfrak{B}}_{\alpha, \beta}(c, N) = \min_{T \in \mathcal{U}(N), \max |T_{ji}|=c} \mathfrak{B}_{\alpha, \beta}(T)$$



H. Maassen and J.B.M. Uffink, Phys. Rev. Lett. **60**, 1103 (1988)

J.L. de Vicente and J. Sanchez-Ruiz, Phys. Rev. A **77**, 042110 (2008)

Z. Puchała, L. Rudnicki, and K. Życzkowski, J. Phys. A: Math. Theor. **46**, 272002 (2013)

# METHOD: CONSTRAINED MINIMIZATION

$$H_\alpha [p(\mathcal{A}, \rho)] + H_\beta [p(\mathcal{B}, \rho)] \geq \overline{\mathfrak{B}}_{\alpha, \beta}(c) > 0, \quad (\alpha, \beta) \in \mathbb{R}_+^2$$

Landau-Pollak inequality (LPI):  $\arccos \sqrt{P_{\mathcal{A}; \rho}} + \arccos \sqrt{P_{\mathcal{B}; \rho}} \geq \arccos c$

maxima probabilities  $P_{\mathcal{A}; \rho} = \max_i \langle a_i | \rho | a_i \rangle$  and  $P_{\mathcal{B}; \rho} = \max_j \langle b_j | \rho | b_j \rangle$

## Step 1

$$H_{\lambda, \min}(P) = \min_{p \in \mathcal{P}} H_\lambda(p) \quad \text{s.t.} \quad \max_k p_k = P \in \left[ \frac{1}{N}, 1 \right]$$

Equivalent problem:

$p = [P q_1 \cdots q_{N-1}]^t$  and find  $q \in \mathbb{R}^{N-1}$  that maximizes

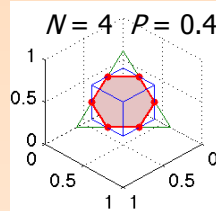
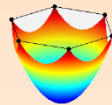
$$f_\lambda(q) = \frac{\sum_k q_k^\lambda - (1 - P)}{\lambda - 1} \quad \text{s.t.} \quad \begin{cases} q \in \mathcal{HC}_P \equiv [0; P]^{N-1} \\ q \in \mathcal{HP}_P \equiv \left\{ q : \sum_k q_k = 1 - P \right\} \end{cases}$$

- $\mathcal{HC}_P \cap \mathcal{HP}_P$ : convex polytope of pure points

$$\begin{bmatrix} \underbrace{P \cdots P}_{M \text{ times}} & 1 - MP & \underbrace{0 \cdots 0}_{N-M-1 \text{ times}} \end{bmatrix}^t, \quad M = \left\lfloor \frac{1}{P} \right\rfloor$$

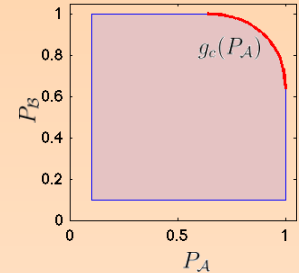
- $f_\lambda$  convex: maximum at pure points

$$H_{\lambda, \min}(P) = \frac{\ln [MP^\lambda + (1 - MP)^\lambda]}{1 - \lambda}$$

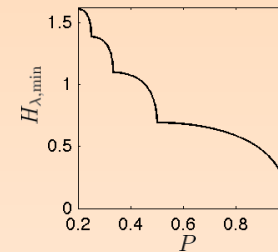


## Step 2

$$\overline{\mathfrak{B}}_{\alpha, \beta}(c) = \begin{cases} \min_{(P_A, P_B) \in \left[ \frac{1}{N}; 1 \right]^2} H_{\alpha, \min}(P_A) + H_{\beta, \min}(P_B) \\ \text{s.t. LPI} \end{cases}$$



- $H_{\lambda, \min}(P)$  decreases vs  $P$



- fix  $P_B$  (resp.  $P_A$ )  $\Rightarrow$  minimum at  $P_B = g_c(P_A)$

$$H_\alpha [p(\mathcal{A}, \rho)] + H_\beta [p(\mathcal{B}, \rho)] \geq H_{\alpha, \min}(P_{\mathcal{A}; \rho}) + H_{\beta, \min}(P_{\mathcal{B}; \rho})$$

# RESULTS AND EXAMPLES

**Proposition:**  $\forall (\alpha, \beta) \in \mathbb{R}_+^2$

$$H_\alpha [p(\mathcal{A}, \rho)] + H_\beta [(p(\mathcal{B}, \rho))] \geq \overline{\mathfrak{B}}_{\alpha, \beta}(c) = \min_{\theta \in [0; \gamma]} \left( \frac{\ln \mathcal{D}_\alpha(\theta)}{1 - \alpha} + \frac{\ln \mathcal{D}_\beta(\gamma - \theta)}{1 - \beta} \right)$$

where  $\gamma \equiv \arccos c$  and  $\mathcal{D}_\lambda(\theta) \equiv \left\lfloor \frac{1}{\cos^2 \theta} \right\rfloor (\cos^2 \theta)^\lambda + \left( 1 - \left\lfloor \frac{1}{\cos^2 \theta} \right\rfloor \cos^2 \theta \right)^\lambda$

**Corollary 1:**

$$c > \frac{1}{\sqrt{2}} \Rightarrow \tilde{\mathfrak{B}}_{\alpha, \beta}(c) = \overline{\mathfrak{B}}_{\alpha, \beta}(c)$$

$c$ -optimum bound and equivalent to the qubit case

**Corollary 2:**

$$\overline{\mathfrak{B}}_{\alpha, \beta}(c) \geq \overline{\mathfrak{B}}^{(D)} \equiv 2 \ln \left( \frac{2}{1 + c} \right)$$

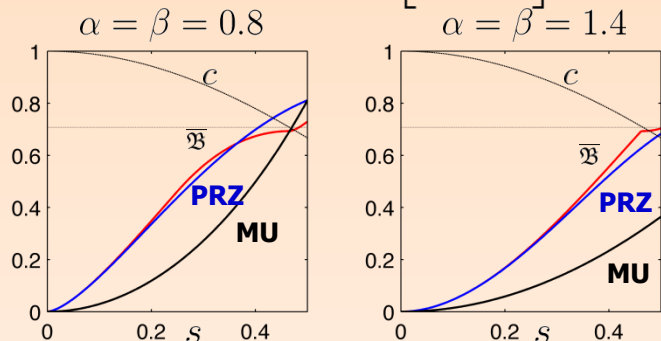
improvement of Deutsch bound

**Corollary 3:**

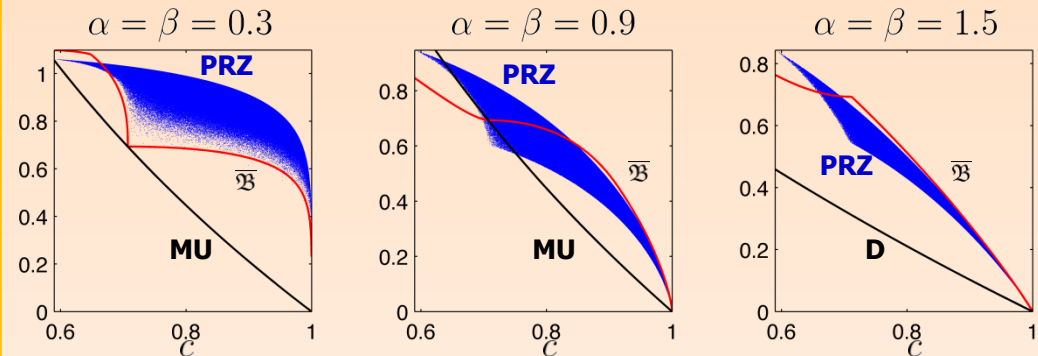
$$c \leq \frac{1}{2} \Rightarrow \overline{\mathfrak{B}}_{\alpha, \beta}(c) \leq \overline{\mathfrak{B}}^{(MU)} \equiv -2 \ln c$$

no improvement of Maassen-Uffink bound

Example 1:  $T = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{bmatrix}^s$



Example 2:  $T \sim U(3)$



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# PART II: FIDELITY-BASED UNCERTAINTY RELATIONS

G.M. Bosyk, T.M. Osán, P.W. Lamberti and M. Portesi, *Geometric formulation of the uncertainty principle*, arXiv:1308.4029v2 [quant-ph] (2013)



# LANDAU-POLLAK INEQUALITY FOR PURE STATES

$$\arccos \sqrt{P_{\mathcal{A};\Psi}} + \arccos \sqrt{P_{\mathcal{B};\Psi}} \geq \arccos c$$

maxima probabilities

H.J. Landau and H.O. Pollak, Bell Syst. Tech. J. **40**, 65 (1961)  
 J. Uffink, Ph. D. thesis, University of Utrecht (1990)

$$P_{\mathcal{A};\Psi} = \max_i |\langle a_i | \Psi \rangle|^2$$

$$P_{\mathcal{B};\Psi} = \max_j |\langle b_j | \Psi \rangle|^2$$

◇ if  $c < 1$  then  $P_{\mathcal{A};\Psi}$  and  $P_{\mathcal{B};\Psi}$  cannot both be equal to one

◇ if  $c = \frac{1}{\sqrt{N}}$  and  $P_{\mathcal{A};\Psi} = 1$  then  $P_{\mathcal{B};\Psi} = \frac{1}{N}$

## Sketch of the proof:

Step 1: consider Wootters metric between state  $|\Psi\rangle$  and  $|a_i\rangle, |b_j\rangle$  eigenstates of  $\mathcal{A}$  and  $\mathcal{B}$

$$\text{Wootters metric: } d_W(\varphi, \psi) = \arccos |\langle \varphi | \psi \rangle|$$

Step 2: apply the triangle inequality probabilities of the  $i$ -th and  $j$ -th outcomes of  $\mathcal{A}$  and  $\mathcal{B}$ , resp.  $T_{ij}$

$$\arccos |\langle a_i | \Psi \rangle| + \arccos |\langle b_j | \Psi \rangle| \geq \arccos |\langle a_i | b_j \rangle|$$

Step 3: choose the eigenstates that maximize the probabilities  $\arccos \sqrt{P_{\mathcal{A};\Psi}} + \arccos \sqrt{P_{\mathcal{B};\Psi}} \geq \arccos |\langle a_{i'} | b_{j'} \rangle|$

Step 4: use decreasing property of arccos  $\arccos |\langle a_{i'} | b_{j'} \rangle| \geq \arccos \max_{ij} |\langle a_i | b_j \rangle|$

# FIDELITY & PURIFICATION

## FIDELITY

measure of similarity of quantum states

$$F(\rho, \sigma) = \left( \text{Tr} \sqrt{\sqrt{\rho} \sigma \sqrt{\rho}} \right)^2$$

- normalization  $0 \leq F(\rho, \sigma) \leq 1$
- identity of indiscernibles  $F(\rho, \sigma) = 1$  iff  $\rho = \sigma$
- symmetry  $F(\rho, \sigma) = F(\sigma, \rho)$
- both pure states  $F(|\psi\rangle\langle\psi|, |\varphi\rangle\langle\varphi|) = |\langle\psi|\varphi\rangle|^2$
- one pure state  $F(|\psi\rangle\langle\psi|, \sigma) = \langle\psi|\sigma|\psi\rangle$

## PURIFICATION

mixed quantum states  $\rightarrow$  pure quantum states

$$\rho \in B(\mathcal{H}) \rightarrow |\Psi\rangle \in \mathcal{H} \otimes \mathcal{H}_{\text{aux}} : \text{Tr}_{\text{aux}}(|\Psi\rangle\langle\Psi|) = \rho$$

Link between fidelity and purifications

$$F(\rho, \sigma) = \max_{|\varphi\rangle} |\langle\psi|\varphi\rangle|^2$$

all purifications of  $\sigma$

fixed purification  $\rho$

# LANDAU-POLLAK INEQUALITY FOR MIXED STATES

We prove:

$$\arccos \sqrt{P_{\mathcal{A};\rho}} + \arccos \sqrt{P_{\mathcal{B};\rho}} \geq \arccos c$$

maxima probabilities

$$P_{\mathcal{A};\rho} = \max_i \langle a_i | \rho | a_i \rangle$$

$$P_{\mathcal{B};\rho} = \max_j \langle b_j | \rho | b_j \rangle$$

Sketch of the proof:

Step 1: consider Wootters metric between  $|s\rangle, |r\rangle$  and  $|t\rangle$  purifications of  $\sigma, \rho$  and  $\tau$ , resp.

Step 2: use triangle inequality  $\arccos |\langle s|r\rangle| + \arccos |\langle t|r\rangle| \geq \arccos |\langle s|t\rangle|$

Step 3: choose the purifications that maximize the overlaps with  $\rho$ :  $F(\sigma, \rho) = \max_{|s\rangle} |\langle s|r\rangle|^2 = |\langle \tilde{s}|r\rangle|^2$  and  $F(\tau, \rho) = \max_{|t\rangle} |\langle t|r\rangle|^2 = |\langle \tilde{t}|r\rangle|^2$

$$\arccos \sqrt{F(\sigma, \rho)} + \arccos \sqrt{F(\tau, \rho)} \geq \arccos |\langle \tilde{s}|\tilde{t}\rangle|$$

Step 4: use decreasing property of arccos  $\arccos |\langle \tilde{s}|\tilde{t}\rangle| \geq \arccos \sqrt{F(\sigma, \tau)}$

**we proof triangle inequality for the angle!!!**

Step 5: use that  $\sigma = |a_i\rangle\langle a_i|$   $\Rightarrow$   $F(\sigma, \rho) = \langle a_i | \rho | a_i \rangle = p_i(\mathcal{A}; \rho)$   $\Rightarrow$   $\arccos \sqrt{p_i(\mathcal{A}; \rho)} + \arccos \sqrt{p_j(\mathcal{B}; \rho)} \geq \arccos |\langle a_i | b_j \rangle|$

$\tau = |b_j\rangle\langle b_j|$   $\Rightarrow$   $F(\tau, \rho) = \langle b_j | \rho | b_j \rangle = p_j(\mathcal{B}; \rho)$   $\Rightarrow$

Step 6 and 7: similar to LPI for pure states, i.e, choose max probabilities and use that arccos decreases

# FIDELITY-BASED UNCERTAINTY RELATIONS

## Metric between quantum states

- non-negativity  $d(\rho, \sigma) \geq 0$  and  $d(\rho, \sigma) = 0$  iff  $\rho = \sigma$
- symmetry  $d(\rho, \sigma) = d(\sigma, \rho)$
- triangle inequality  $d(\sigma, \rho) + d(\tau, \rho) \geq d(\sigma, \tau)$

## Fidelity-Based metrics

- $d(\rho, \sigma) = f(F(\rho, \sigma))$       Examples:
- Angle  $d_A(\rho, \sigma) = \arccos \sqrt{F(\rho, \sigma)}$
  - with  $f \downarrow$  and  $f(x) = 0$  iff  $x = 1$       ▪ Bures  $d_B(\rho, \sigma) = \sqrt{2 - 2\sqrt{F(\rho, \sigma)}}$
  - Root-infidelity  $d_{RI}(\rho, \sigma) = \sqrt{1 - F(\rho, \sigma)}$

## Uncertainty measure

$$\mathcal{U}(\mathcal{A}; \rho) = f(P_{\mathcal{A}; \rho})$$

- ◇  $\mathcal{U}(\mathcal{A}; \rho) \geq 0$
- ◇  $\mathcal{U}(\mathcal{A}; \rho)$  is decreasing in terms of  $P_{\mathcal{A}; \rho}$
- ◇  $\mathcal{U}(\mathcal{A}; \rho) = 0$  if and only if  $P_{\mathcal{A}; \rho} = 1$
- ◇  $\arg \max_{P_{\mathcal{A}; \rho}} \mathcal{U}(\mathcal{A}; \rho) = \frac{1}{N}$

## MAIN RESULT

$\mathcal{A}$  and  $\mathcal{B}$  observables, and  $\rho$  a density operator:

$$\mathcal{U}(\mathcal{A}; \rho) + \mathcal{U}(\mathcal{B}; \rho) \geq f(c^2) \quad \text{where } c = \max_{ij} |\langle b_j | a_i \rangle| \in \left[ \frac{1}{\sqrt{N}}, 1 \right]$$

- ◇ family of uncertainty relations
- ◇ universal (state-independent) lower bound
- ◇ for  $c < 1$ : uncertainty-sum is strictly greater than zero (non-trivial)
- ◇ for  $c = \frac{1}{\sqrt{N}}$  (complementary observables): certainty on one implies maximum ignorance on the other

# COMPARISON FOR KNOWN METRICS

Angle (A):  $\arccos \sqrt{P_{A;\rho}} + \arccos \sqrt{P_{B;\rho}} \geq \arccos c$

Bures (B):  $\sqrt{1 - 1\sqrt{P_{A;\rho}}} + \sqrt{1 - 1\sqrt{P_{B;\rho}}} \geq \sqrt{1 - c}$

Root-infidelity (RI):  $\sqrt{1 - P_{A;\rho}} + \sqrt{1 - P_{B;\rho}} \geq \sqrt{1 - c^2}$

Order relation:  $\mathcal{D}_{A,c} \subseteq \mathcal{D}_{B,c} \subseteq \mathcal{D}_{RI,c}$

**LPI is the tightest UR!!!**

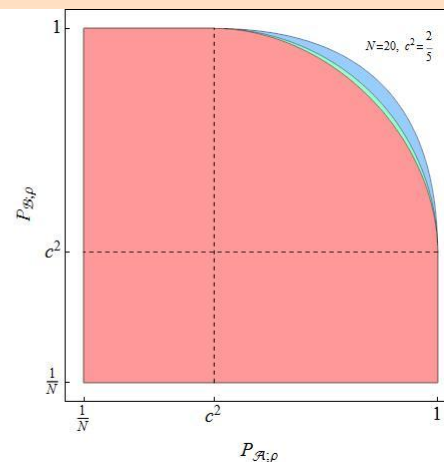
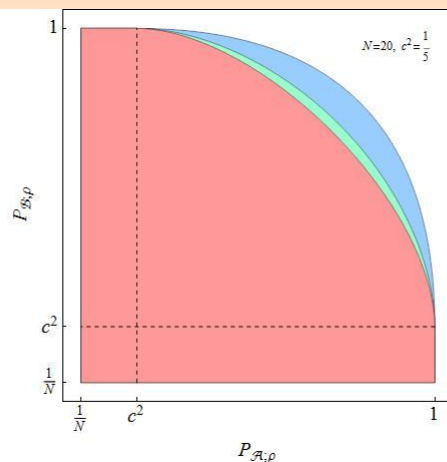
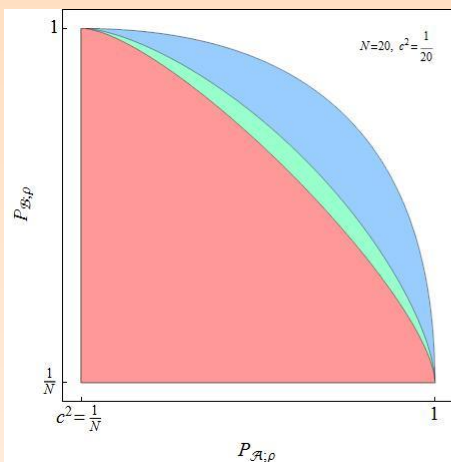
- ◇ if  $P_{A;\rho} = 1$  (certainty), then  $P_{B;\rho} \leq g_{\lambda,c}(1) = c^2$  for any metric
- ◇ when  $c = 1$  trivial case,  $g_1(P) = 1$  for each metric (there is no restriction)

Set of values of  $P_{A;\rho}$  and  $P_{B;\rho}$  allowed by each UR:

$$\mathcal{D}_c = \left\{ (P_{A;\rho}, P_{B;\rho}) \in \left[ \frac{1}{N}, 1 \right]^2 : P_{B;\rho} \leq g_c(P_{A;\rho}) \right\}$$

$$g_c(P) = \begin{cases} 1 & \text{if } \frac{1}{N} \leq P \leq c^2 \\ h_c(P) & \text{if } c^2 \leq P \leq 1 \end{cases} \text{ for each metric}$$

Metric	$h_c(P)$
Angle (A)	$(\sqrt{1 - P}\sqrt{1 - c^2} + c\sqrt{P})^2$
Bures (B)	$(\sqrt{P} + 2\sqrt{1 - \sqrt{P}}\sqrt{1 - c} + c - 1)^2$
Root-infidelity (RI)	$P + 2\sqrt{1 - P}\sqrt{1 - c^2} + c^2 - 1$



**A**  
**B**  
**RI**

# SUMMARY

- ❑ We revisit the formulation of Uncertainty Principle:
  - ❑ going beyond Heisenberg-like uncertainty relations
  
- ❑ General Entropic Uncertainty Relations for N-level systems
  - ✓ lower bound for the sum of Rényi entropies of arbitrary entropic indices
  - ✓ c-optimal bound for overlap  $> 1/\sqrt{2}$  (improves all c-dependent bounds, e.g. Deutsch, MU, etc)
  - ✓ improves PRZ bound in several situations
  - ✓ easy to calculate (solve a one-dimensional minimization problem)
  - x open: extension to POVM measurements (LPI to POVM?)
  
- ❑ Geometric formulation of Uncertainty Principle
  - ✓ extension of LPI to mixed states
  - ✓ family of fidelity-based uncertainty relations
  - x conjecture: angle metric gives the tightest uncertainty relation (LPI) within this framework
  - x open: extension to POVM measurement