Formation of secondary organic aerosols from the ozonolysis of dihydrofurans

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Abstract. In this work we report the study of the ozonolysis of 2,5-dihydrofuran and 2,3-dihydrofuran and the reaction conditions leading to the formation of secondary organic aerosols. The reactions have been carried out in a Teflon chamber filled with synthetic air mixtures at atmospheric pressure and room temperature. The ozonolysis only produced particles in the presence of SO$_2$. Rising relative humidity from 0 to 40% had no effect on the production of secondary organic aerosol in the case of 2,5-dihydrofuran, while it reduced the particle number and particle mass concentrations from the 2,3-dihydrofuran ozonolysis. The water-to-SO$_2$ rate constant ratio for the 2,3-dihydrofuran Criegee intermediate was derived from the secondary organic aerosol (SOA) yields in experiments with different relative humidity values, $k_{H_2O}/k_{SO_2} = (9.8 \pm 3.7) \times 10^{-5}$.

The experimental results show that SO$_3$ may not be the only intermediate involved in the formation or growth of new particles in contrast to the data reported for other Criegee intermediate–SO$_2$ reactions. For the studied reactions, SO$_2$ concentrations remained constant during the experiments, behaving as a catalyst in the production of condensable products.

Computational calculations also show that the stabilised Criegee intermediates from the ozonolysis reaction of both 2,5-dihydrofuran and 2,3-dihydrofuran may react with SO$_2$, resulting in the regeneration of SO$_2$ and the formation of low-volatility organic acids.

1 Introduction

Recent years have seen a growing interest in the atmospheric mechanisms leading to the formation of secondary organic aerosols, SOAs (Chan et al., 2010). In this sense, ozonolysis of terpenes has been reported as a potential source of new particles under natural conditions (Saathoff et al., 2009; Sipilä et al., 2014; Newland et al., 2015a). The oxidation of terpenes leads to the formation of extremely low volatility organic compounds that can enhance or even dominate the formation and growth of organic aerosol particles in forested areas. Dimers of these species are considered large enough to act as nano-condensation nuclei (Ehn et al., 2014). Likewise, the fast reactions of other alkenes with ozone can contribute to the production of non-volatile species that could condense and contribute to the total mass of pre-existing particles or even induce nucleation events in both rural and urban atmospheres.

As is known (Johnson and Marston, 2008), ozone adds to double bonds, producing an energy-rich primary ozonide which promptly decomposes, giving off a carbonyl molecule and an excited carbonyl oxide reactive intermediate (Criegee intermediate, CI). For cyclic alkenes, the ozonolysis just opens the cycle producing larger Cls than the equivalent linear alkenes. Furthermore, the carbonyl functional group is included in the CI molecule. Thus, products with higher molecular weight, lower volatility and higher potential capacity to contribute to SOA formation are expected for chemicals with
endocyclic double bonds. The excited CI can be stabilised by collisions with gas molecules or undergo fragmentation or unimolecular rearrangement (Anglada et al., 2011). Then, the stabilised CI (sCI) can react with other molecules in the gas phase such as H₂O, HO₂, aldehydes, organic acids, RO₂ or NO₃ (Vereecken et al., 2012). The reaction with water molecules is expected to be one of the main fates of sCI, producing α-hydroxi-hydroperoxides (Ryzhkov and Ariya, 2004; Anglada et al., 2011).

On the other hand, experimental results show that SO₂–sCI reactions are a potential source of SOA. Very recently, it has been found that a significant fraction of ground level sulfuric acid originates from the oxidation of sulfur dioxide by sCI to SO₃ (Mauldin et al., 2012), and, thus, several studies have been carried out on the reactions of Criegee intermediates with SO₂ (Boy et al., 2013; Berndt et al., 2014a; Stone et al., 2014; Newland et al., 2015b; Liu et al., 2016). The relative contribution of SO₂ and water vapour to the CI removal in the atmosphere depends on the CI structure (Berndt et al., 2014a; Stone et al., 2014) and may have an important effect on the SOA formation yields.

In this work we report the study of the ozonolysis of 2,5-dihydrofuran (2,5-DHF) and 2,3-dihydrofuran (2,3-DHF) under variable concentrations of water vapour and SO₂.

\[ 2,5\text{-DHF} + O_3 \rightarrow \ldots \text{SOA} \]  \hspace{1cm} (R1)
\[ 2,3\text{-DHF} + O_3 \rightarrow \ldots \text{SOA} \]  \hspace{1cm} (R2)

Very recently, it has been found that different dihydrofurans may be involved in the SOA formation from alkane photooxidation (Loza et al., 2014; Zhang et al., 2014). Alkoxy radicals are initially generated from the reaction with OH. They can subsequently isomerise into δ-hydrocarbonyl intermediates which undergo cyclisation and dehydration, producing dihydrofurans (Martín et al., 2002).

2,3-Dihydrofuran is also found in the atmosphere due to the emissions from biomass burning (Lemieux et al., 2004) and is included in atmospheric chemistry models aimed at the emissions of aerosols (Freitas et al., 2011). Furthermore, during the last few years, different oxygenate chemicals have been tested as fuel additives or components of biofuels. Thus, furans and derivatives are potential second-generation biofuels since they could be produced from fructose and glucose (Román-Leshkov et al., 2007). In this sense, the ignition characteristics of 2,5-DHF have been reported and compared to those of other heterocyclic compounds (Fan et al., 2016).

A previous study has reported the tropospheric oxidation study of 2,5-DHF and 2,3-DHF (Alwe et al., 2014). The measured rate constants with ozone are 1.65 and 443.2 × 10⁻¹² cm³ molecule⁻¹ s⁻¹ for 2,5-DHF and 2,3-DHF, respectively. Alwe et al. (2014) show that the dominant pathway of tropospheric degradation of 2,5-DHF is the reaction with OH, whereas for 2,3-DHF it is the reaction with O₃. So, ozonolysis of 2,3-DHF is a potential source of CI in the troposphere. Although the reaction of 2,5 DHF with ozone is slower, the result for this unsaturated cyclic ether may serve as a reference for other substituted dihydrofurans.

Up to now, no studies have been carried out to assess the potential capacity of the title compounds to generate condensable matter and SOA. Thus, in this work we study the ozonolysis reactions of 2,5-DHF and 2,3-DHF following the conditions that lead to the formation and growth of new particles. The effect of water vapour and SO₂ concentrations during the process are also studied and discussed. The experimental work is supported by theoretical calculations to explore the key steps involved within the reaction mechanism leading to SOA.

2 Methods and instrumentation

2.1 Experimental set-up and methods

A schematic diagram of the experimental system is shown in Fig. 1. A 200 L capacity FEP collapsible chamber was used to carry out most of the experiments under atmospheric pressure at 297 ± 1 K. The reactants were sequentially sampled in a volume-calibrated glass bulb (1059 L) and then flushed to the reactor using synthetic air through a mass flow controller (MFC 1179BX, MKS) and then flushed to the reactor using synthetic air through a mass flow controller (MFC 1179BX, MKS). The concentrations of each substance were calculated from their partial pressure in the bulb and the dilution factor considering the glass bulb volume and the final volume of the Teflon reactor. Ozone was produced by an ozone generator (BMT Messtechnik 802N) fed with pure oxygen. The ozone–oxygen mixture was introduced simultaneously to the sampling bag and to a 19.8 cm long quartz cell to measure the absorption of radiation at 255 nm using a UV–vis Hamamatsu spectrometer (C10082CAH) with a 1 nm resolution.

Figure 1. Schematic set-up of the experimental system.
The ozone concentration in the bulb was then calculated from the known value of the ozone absorption cross section. Further dilution of ozone enabled the required range of ozone concentrations in the reactor.

Water vapour was generated using a glass bubbler placed just before the reactor inlet. The amount of water required for a given relative humidity (RH) was calculated and injected into the bubbler. All the sampled water was then evaporated and flushed inside the reactor through the flow of synthetic air.

The certified water impurity content in the synthetic air used was below 2 ppm. Although it is generally negligible, it could be significant if water molecules were involved in fast reactions. To properly assess the effect of water in the studied reactions, for the experiments at RH = 0 %, the flow from the air cylinder was passed through a trap containing molecular sieve 5A (Supelco) cooled down to 157 K with an ethanol–liquid nitrogen slush bath. Some experiments were also carried out using a liquid nitrogen trap and checking that no condensed oxygen was visible in the trap. For such experiments water concentration in the reactor was estimated as low as 20 ± 10 ppb by introducing the dried air and known concentrations of SO$_2$ and monitoring the time for new particle formation (see Supplement, Fig. S1 and related discussion).

The sequence of reactants entering the Teflon bag was as follows. First, 2,5-DHF (or 2,3-DHF) was introduced, then the OH scavenger (cyclohexane), then SO$_2$ and finally ozone. In the experiments carried out with water vapour, water was introduced in the bubbler at the beginning, being evaporated by the air carrying the DHF, the scavenger and SO$_2$.

The injection of ozone constitutes the ignition of the 2,5-DHF (or 2,3-DHF)–ozone reaction. To completely wash away the ozone from the bulb and transport it to the reactor, a flow of synthetic air was required for 45 s. During this time period the Teflon reactor was shaken to accelerate the mixing of reactants.

The formation of particles was followed continuously by a TSI condensation particle counter (CPC) 3775, and, at given times, samples were derived to a fast mobility particle sizer (FMPS 3091, TSI) to obtain the particle size distribution and total mass of particles with diameters within the range 5.6–560 nm (Aranda et al., 2015). The total aerosol mass concentration was calculated from the measured particle size distribution assuming unit density and spherical particles.

Prior to each experiment the Teflon bag was repetitively filled and emptied with clean synthetic air to remove particles remaining from previous experiments. The process was continued until the level of particles was below 1 cm$^{-3}$.

For some experiments, a fluorescence SO$_2$ analyser (Teledyne Instruments 101-E) was coupled to the reactor to measure the SO$_2$ concentration profiles during the experiments. Previous runs with only SO$_2$/air samples in the reactor showed that SO$_2$ wall losses were negligible. Additionally, no interference in the SO$_2$ fluorescence signal from the rest of co-reactants was observed in the range of concentrations used in this study.

A series of experiments was also carried out monitoring the O$_3$ concentration using an ozone analyser (Environment O342M) to follow the decay of O$_3$ and validate the simulated decays of reactants due to the ozonolysis reactions.

### 2.2 Computational methods

All the calculations were performed with the Gaussian09 program package (Frisch et al., 2009). The BMK formulations (Boese and Martin, 2004) of the density functional theory (DFT) combined with the Pople triple split-valence basis set 6-311++G(3df,3pd) were employed. In all cases, the structural parameters were fully optimised via analytic gradient methods. The synchronous transit-guided quasi-Newton (STQN) method was employed to locate transition structures. For a better estimation of energies, single-point calculations at ab initio level CCSD(T) were performed using the 6-311G(d,p) basis set (Bartlett, 1989; Cizek, 2007).

### 2.3 Reagents

All the substances used were of the highest commercially available purity: 2,5-DHF 97 %, Aldrich; 2,3-DHF 99 %, Aldrich; SO$_2$ 99.9 %, Fluka; cyclohexane 99.5 %, Sigma-Aldrich; synthetic air 3X, Praxair; O$_2$ 4X, Praxair; SO$_3$, Sigma-Aldrich >99 %. Liquid reagents were purified by successive trap-to-trap distillation.

### 3 Results and discussion

#### 3.1 2,5-Dihydrofuran

##### 3.1.1 Conditions for SOA formation

Cyclohexane in excess was used as an OH radical scavenger in concentration ratios so that > 95 % of OH formed in the reactions was removed (Ma et al., 2009). Different series of experiments were carried out to characterise the formation and growth of particles that originated from the reaction of ozone with 2,5-DHF.

In Fig. 2 we show a typical experiment carried out with 0.5 ppm of 2,5-DHF, 1 ppm of O$_3$ and 0.5 ppm of SO$_2$ with zero RH initial concentrations. Since new particles are readily formed due to this reaction, low-volatility substances must be produced and exceed their saturation vapour pressure several times over, initiating homogeneous nucleation (Kelving effect; Pruppacher et al., 1998). The experimental data obtained for the particle number concentration are presented together with the simulated profile of 2,5-DHF (from the known initial concentrations and the gas-phase rate constant; Alwe et al., 2014), the experimental and simulated ozone profiles, and the total concentration of consumed 2,5-DHF (Fig. 2). Small particles with diameters above 4 nm
were quickly detected by the CPC. A maximum in the particle number concentration (PNC) was observed around 8 min, and then PNC progressively decreased with time mainly due to coagulation of particles and wall losses. For reaction times longer than 2 h and PNC below $1 \times 10^6$ molecule cm$^{-3}$ its decrease may be attributed solely to wall losses. The plot of Ln(PNC) against time for different experiments provided linear fittings and an average $K_w$ of $(5 \pm 2) \times 10^{-5}$ s$^{-1}$.

The total mass concentration (or particle mass concentration, PMC) of measured aerosol is also shown in Fig. 2 multiplied by a factor scale since the units are different to the rest of the magnitudes. The PMC profile arises after the nucleation event and continues growing until approximately 30 min. Then a low decrease of the mass concentration is observed with time. The profile of total concentration of consumed 2,5-DHF is very similar to that of the mass concentration. This fact suggests that Reaction (R1) is the limiting step in the production of condensable matter. Beyond 30 min the mass concentration decreases, mainly due to wall losses, although 2,5-DHF is still reacting. Better fits of these two profiles are obtained when the wall rate constant is considered.

A series of experiments with lower concentrations of reactants was conducted to characterise the nucleation conditions. Thus, for example, in Fig. 2 we can also see the profile of the particle number concentration for initial concentrations of 2,5-DHF, ozone and SO$_2$ of 0.1, 0.2 and 0.1 ppm, respectively. In such experiment no particles were detected before 3 min total time. For such reaction time and conditions, the reacted concentration of 2,5-DHF amounts to $3.5 \times 10^{10}$ molecule cm$^{-3}$. From the average of the different experiments, we estimate that an upper-limit concentration of $3.5 \pm 1 \times 10^{10}$ molecule cm$^{-3}$ is required for the direct gas-phase product from Reaction (R1) to initiate the nucleation event.

3.1.2 Effect of SO$_2$ and water

When the experiments were carried out in the absence of SO$_2$, no particles were observed. On the other hand, when SO$_2$ was added, an increase in PNC and in the total condensed mass was observed for increasing concentrations of SO$_2$ (Fig. S2). Even for relatively low SO$_2$ concentrations high concentrations of particles (above $10^5$ cm$^{-3}$) were measured. This series of experiments was conducted with dried synthetic air with water concentration around 20 ppb.

A series of experiments was conducted also following the temporal profile of SO$_2$ for initial concentrations in the range of 0.5 ppm down to 10 ppb and for higher 2,5-DHF and ozone concentrations in the range of 0.5 to 1 ppm. In all cases the SO$_2$ concentration remained nearly constant during these experiments (Fig. S3), showing that, although sulfur dioxide participates in the mechanism leading to particles, it is released again as free gas-phase SO$_2$.

From the experimental SO$_2$ profiles a first-order loss rate constant may be inferred – for example from the experiments with 10 ppb of SO$_2$ $k = 8.5 \times 10^{-6}$ s$^{-1}$. To check the possibility of SO$_3$ production, we can assume a simple mechanism where any lost SO$_2$ molecule would be converted exclusively into SO$_3$:

$$\text{SO}_2 \rightarrow \text{SO}_3 \ k = 8.5 \times 10^{-6} \text{ s}^{-1}.$$  

SO$_3$ would then exclusively react with water to produce H$_2$SO$_4$ (Jayne et al., 1997):

$$\text{H}_2\text{O} + \text{SO}_3 \rightarrow \text{H}_2\text{SO}_4$$

$$k = 3.90 \times 10^{-41} \exp(6830.6/T)[\text{H}_2\text{O}]^2.$$  

When simulating the SO$_2$, SO$_3$ and H$_2$O profiles for a 20 ppb water concentration and for 10 ppb initial SO$_2$ concentration, it would require more than 1 h to generate $5 \times 10^6$ molecule cm$^{-3}$ of H$_2$SO$_4$, which is the approximate concentration able to nucleate (Metzger et al., 2010). For 20 ppb initial SO$_2$ concentration it would require 28 min. Nevertheless, for these experiment nucleation was almost instantaneous if we take away the mixing time of reactants. So, for the experiments with the lower concentrations of SO$_2$, the results suggest that the reaction of SO$_3$ with water cannot be responsible for the formation of particles and that an alternative “dry channel” is able to produce organic non-volatile species able to condense. For higher SO$_2$ concentrations (in the range of 0.5 ppm) small changes at the level of the uncertainty of the SO$_2$ measurements cannot be completely excluded as a possible source of SO$_3$.

Under atmospheric conditions, reaction with water vapour is expected to be one of the main fates of Cl intermediates (Ryzhkov and Ariya, 2004). For 2,5-DHF, Fig. S4 shows that increasing the RH within the range 0 to 40% had no significant effect on the measured values of both PNC and PMC. Thus, concerning the potential competing reactions of the sCI with water vapour and SO$_2$, the results suggest that water reaction contribution is negligible.

Concerning the effect of ozone and 2,5-DHF initial concentrations, different series of experiments were carried out. As discussed above, the ozone reaction with 2,5-DHF was the rate-limiting step in the production of SOA. Thus, the increase of [O$_3$] or [2,5-DHF] led to the acceleration of the process and the increase of the measured PNC and PMC. See Figs. S5–S7 for further details.

3.2 2,3-Dihydrofuran

3.2.1 Effect of SO$_2$

In Figs. 3 and S8 we show the results for typical experiments carried out with 1 ppm of ozone and 0.5 ppm of 2,3-DHF with dried synthetic air. When the experiments were carried out in the absence of SO$_2$, no particles were observed. On
the other hand, when SO$_2$ was added as a co-reactant (see for example the profile of the experiment with 0.5 ppm initial concentrations of SO$_2$ and 0 % RH), particles suddenly originated approximately 1 min after the introduction of ozone in the reactor. The number of particles still grew until approximately 5 min and then decreased during the rest of the experiment.

In Fig. 3 we can also see the experimental O$_3$ profile and the simulated profile for [2,3-DHF]. The ozone–2,3-DHF reaction is very fast, and 2,3-DHF is consumed within the first minute of the experiment while the mass of condensed matter starts growing after nucleation and continues beyond 20 min. These results show that the initial ozone–2,3-DHF reaction itself is not the rate-limiting step in the mechanism leading to new particles, in contrast to the results for 2,5-DHF.

For experiments with increasing concentrations of SO$_2$, nucleation was found after less time, and higher PNC values were obtained. The total mass and diameter of particles also increased with SO$_2$ (Figs. 3 and S9). For the smaller SO$_2$ concentration (0.05 ppm), a clear delay of the burst of nucleation is observed (Fig. 3). These results suggest that SO$_2$ is involved within the first steps of the mechanism driving the nucleation event. For this reason the potential secondary ozonide formed from the sCI reaction with SO$_2$ has been characterised; see below.

Table 1. Initial concentrations of reactants and SOA data for the ozonolysis reaction of 2,3-DHF. PNC, PMC and diameter reported values are the maximum data registered for each magnitude during the experiment. All the experiments reported in this table were carried out under RH = 0.

<table>
<thead>
<tr>
<th>2,3-DHF (ppm)</th>
<th>O$_3$ (ppm)</th>
<th>SO$_2$ (ppm)</th>
<th>PNC (cm$^{-3}$)</th>
<th>PMC (µg m$^{-3}$)</th>
<th>Diameter (nm)</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.2</td>
<td>0.5</td>
<td>0.5</td>
<td>$5.7 \times 10^6$</td>
<td>2</td>
<td>25</td>
</tr>
<tr>
<td>0.5</td>
<td>0.5</td>
<td>0.5</td>
<td>$5.8 \times 10^5$</td>
<td>1.9</td>
<td>30</td>
</tr>
<tr>
<td>1</td>
<td>0.5</td>
<td>0.5</td>
<td>$3.0 \times 10^4$</td>
<td>0</td>
<td>–</td>
</tr>
<tr>
<td>2</td>
<td>0.5</td>
<td>0.5</td>
<td>$1.0 \times 10^3$</td>
<td>0</td>
<td>–</td>
</tr>
<tr>
<td>3</td>
<td>0.2</td>
<td>0.5</td>
<td>27</td>
<td>0</td>
<td>–</td>
</tr>
<tr>
<td>3</td>
<td>0.5</td>
<td>0.5</td>
<td>47</td>
<td>0</td>
<td>–</td>
</tr>
<tr>
<td>3</td>
<td>1</td>
<td>0.5</td>
<td>$5.3 \times 10^3$</td>
<td>0.12</td>
<td>80</td>
</tr>
<tr>
<td>0.5</td>
<td>1</td>
<td>0.5</td>
<td>$1.70 \times 10^6$</td>
<td>10.1</td>
<td>40</td>
</tr>
<tr>
<td>0.5</td>
<td>2</td>
<td>0.5</td>
<td>$2.10 \times 10^6$</td>
<td>18.9</td>
<td>50</td>
</tr>
<tr>
<td>0.5</td>
<td>3</td>
<td>0.5</td>
<td>$3.30 \times 10^6$</td>
<td>33.5</td>
<td>50</td>
</tr>
<tr>
<td>0.5</td>
<td>4</td>
<td>0.5</td>
<td>$3.40 \times 10^6$</td>
<td>38</td>
<td>60</td>
</tr>
</tbody>
</table>

A series of experiments was conducted following the temporal profile of SO$_2$ for initial concentrations in the range 0.01 to 0.5 ppm and for higher 2,3-DHF and ozone concentrations (0.5 to 1 ppm, respectively). As in the case of Reaction (R1), for Reaction (R2) the SO$_2$ concentration remained constant during these experiments. Likewise, SO$_3$–water reaction is not expected to contribute to nucleation, at least
in the experiments with SO$_2$ below 0.02 ppm. The facts that new particles are generated only in the presence of SO$_2$ and that SO$_2$ does not decrease during the experiments suggest that sulfur dioxide can behave as a catalyst in the production of condensable products leading to particles.

3.2.2 Effect of 2,3-DHF and ozone initial concentrations

Two series of experiments were carried out changing the ratio of 2,3-DHF over ozone for a fixed concentration of SO$_2$ and in the absence of water vapour. The results are summarised in Table 1. When 2,3-DHF was in excess over ozone, it led to a fast consumption of ozone (during the first minute). As shown in the table, for a fixed low initial ozone concentration, the higher the concentration of 2,3-DHF, the lower the number concentration. Nucleation in these experiments (hardly observed) was also almost instantaneous, but the PNC significantly decreased (at least 2 orders of magnitude) compared to the reference experiments (stoichiometric conditions), and the total mass fell to virtually zero. For those experiments, a significant difference was observed in the number concentration data from the FMPS and the CPC. The FMPS hardly detected particles (they must have diameters above 5.6 nm to be detectable), while the CPC can detect smaller particles (down to 4 nm). The results showed that the particles did not grow when ozone was the stoichiometry-limiting reactant. Thus, further ozone may be involved after the initial O$_3$–2,3-DHF reaction to produce SOA. These results are also consistent with the series of experiments with ozone in excess over 2,3-

Table 2. Relative energies to reactants sCI and SO$_2$ ($\Delta E + ZPE$) in kcal mol$^{-1}$ for stationary points of the all reaction. Energies were obtained at CCSD(T)/6-311G(d,p) level of theory and zero point energies were obtained at the BMK/6-311++G(3df,3pd) level of theory.

<table>
<thead>
<tr>
<th></th>
<th>A</th>
<th>B</th>
<th>C</th>
<th>D</th>
<th>D’</th>
<th>E</th>
<th>E’</th>
</tr>
</thead>
<tbody>
<tr>
<td>sCI1</td>
<td>72.21</td>
<td>2.57</td>
<td>30.39</td>
<td>12.58</td>
<td>–8.42</td>
<td>–59.58</td>
<td>–117.58</td>
</tr>
<tr>
<td>sCI2</td>
<td>93.45</td>
<td>2.01</td>
<td>28.01</td>
<td>11.32</td>
<td>–9.40</td>
<td>–55.87</td>
<td>–116.39</td>
</tr>
<tr>
<td>sCI3</td>
<td>93.45</td>
<td>2.01</td>
<td>26.61</td>
<td>10.32</td>
<td>–6.07</td>
<td>–69.28</td>
<td>–115.45</td>
</tr>
</tbody>
</table>

DHF. With ozone in excess, the particle number concentration and the total mass of particles increased with the ozone concentration. As found in Table 1 or Fig. S10, the size of the particles also grew as the initial ozone concentration was increased.

3.2.3 Effect of water vapour

Two series of experiments have been carried out in this work to study the effect of water. First, for the experiments conducted in the presence of water (RH from 0 to 50 %) and in the absence of SO$_2$, no particle formation was observed. So, if water reacts with the 2,3-DHF CI, this reaction does not produce particles in the absence of SO$_2$ (Table S1 in the Supplement).

In the second series of experiments SO$_2$ was also present, with all the experiments being carried out under the same initial concentrations of 2,3-DHF, ozone and SO$_2$ with RH ranging from 0 to 50 %. As shown in Figs. 4 and S11, the in-
crease in RH reduced the particle number concentration and the mass of formed SOA. Figure 4 shows the results for two experiments, under dried air conditions and under 50 % RH. In the presence of 50 % RH, the particle number concentration was significantly lower, and the measured mass concentration fell from 13 to approximately 3 µg cm$^{-3}$. Concerning the growth of particles, their final diameters were also smaller in the presence of water.

These effects may be due to the reaction of water with the Criegee intermediate which would compete with the sCI reaction towards SO$_2$. From previous studies (e.g. Anglada et al., 2011), this reaction is expected to proceed through the addition of the water oxygen atom to the carbon atom in the carbonyl oxide and the simultaneous transfer of one hydrogen atom from the water molecule to the terminal O atom in the CI. The expected products are α-hydroxi-hydroperoxides that can subsequently decompose or react with other atmospheric species.

If we take the dry experiments (0 % RH) as a reference, then we can assume that for the experiments carried out in the presence of water the decrease in mass concentration, ΔPMC, is due exclusively to the reaction of water molecules with the sCI. Water would competitively consume sCI, with a kinetic rate constant $k_{\text{H}_2\text{O}}$, against the reaction with SO$_2$, with a kinetic rate constant $k_{\text{SO}_2}$. Thus, the ratio $(k_{\text{H}_2\text{O}}/[\text{H}_2\text{O}])/(k_{\text{SO}_2}/[\text{SO}_2])$ can be obtained from the ratio ΔPMC / PMC, that is, from the drop in the mass concentration values measured at a given relative humidity (ΔPMC drop is attributed solely to the reaction with H$_2$O) and the actual mass concentration obtained in such conditions (PMC, attributed to the reaction with SO$_2$). Thus, the ratio for the rate constants of the 2,3-DHF Criegee intermediate with water vapour and SO$_2$ was obtained plotting ΔPMC/PMC versus [H$_2$O] for constant and known SO$_2$ concentration (Fig. S12), $k_{\text{H}_2\text{O}} / k_{\text{SO}_2} = (9.8 \pm 3.7) \times 10^{-5}$. This ratio is similar to other reported values for different CIs (Berndt et al., 2014a). This result may be used to assess different atmospheric conditions. For example, for relatively dry conditions (20 % RH) in a polluted atmosphere with 75 ppb of SO$_2$ (the current 1 h NAAQS standard) at 25°C, approximately 11 % of the sCI produced in the ozonolysis of 2,3-DHF would react with SO$_2$, with potential to yield new particles and nucleation events. In the lower troposphere this source is not unique in the sense that other pollutants would be simultaneously producing condensable products. Nucleation under real atmospheric conditions is due to the cumulative sources of non-volatile species, and so these events are expected to generate under lower concentrations than those found in the laboratory for the individual sources.

3.3 Theoretical insights

Recent studies have revealed that sCI may react with SO$_2$ and other trace gases several orders of magnitude faster than assumed so far (Welz et al., 2012). Therefore sCI reactions have emerged as a potential source of tropospheric sulfate to be assessed in the predictions of tropospheric aerosol formation. Even though the atmospheric fate of the smaller carbonyl oxides is mainly dominated by the reaction with water molecules or water dimers (Berndt et al., 2014a; Chao et al., 2015), the reactivity of sCIs is strongly dependent on the structure.

Previous theoretical studies have shown that the first step of the sCI with SO$_2$ is the formation of a cyclic secondary ozonide (SOZ) that can undergo decomposition to the corresponding carbonyl and SO$_3$ or isomerisation involving a 1,2-H-shift leading to an organic acid and SO$_2$ (Jiang et al., 2010; Kurten et al., 2011). The release of SO$_3$ would produce H$_2$SO$_4$ in the presence of water molecules. Vereeken
Figure 5. (a) General schematic potential energy surface for the reactions sCl + SO₂ → products. (b) Geometries of stationary points involved in the sCl + SO₂ reaction at the BMK/6-311++G(3df,3pd) level of theory.
et al. (2012) also described the formation of a stable ester sulfinic acid from the opening of the SOZ.

\[ R_1 R_2 COO + SO_2 \rightarrow R_1 R_2 CO + SO_3 \]  
\[ \rightarrow R_1 COOH + SO_2 \text{ (only if } R_2 = H) \]  
\[ \rightarrow R_1 C(O)OS(O)OH \text{ (only if } R_2 = H) \]  
(R3)  
(R4)  
(R5)

In this sense we have studied the transition states for both 2,5-DHF and 2,3-DHF Criegee intermediate reactions with SO\(_2\). Figure 5a shows a schematic representation of the general potential energy surface for the studied reactions. Figure 5b displays the optimised geometries of the stationary points for all reactants, products and stationary states from the sCI obtained at the B3LYP/6-311++G(3df,3pd) level of theory. The sCI +SO\(_2\) system has been taken as a zero-energy reference. As shown in Table 2, the first step of the ozonolysis is highly exothermic, so unimolecular decomposition and stabilisation through collision would compete in the formation of both sCIs. Concerning the reaction mechanism, in the case of 2,5-DHF reaction with O\(_3\), only one Criegee intermediate (sCI1) may be formed. On the other hand, due to the asymmetry of 2,3-DHF, two possible Criegee intermediates can be obtained (sCl2 and sCl3) from its reaction with O\(_3\). For all these intermediates, there are two possible configurations: syn and anti. The latter, anti, was used in all cases to perform the potential energy surface calculations since they were more stable than the syn isomers at 1.08, 3.67 and 3.65 kcal mol\(^{-1}\).

The reaction of sCl1 with SO\(_2\) first evolves to the formation of an intermediate adduct M1, which then may react through two channels. On the one hand, through the transition state TS1.1, SO\(_3\) and an aldehyde are formed. If the adduct M1 evolves via the transition state TS1.2, SO\(_2\) is regenerated and an organic acid is produced. Due to the fact that both transition states lie below the energy of reactants, the most favourable reaction results in the regeneration of SO\(_2\) and the organic acid with reaction energy of \(-117.58\) kcal mol\(^{-1}\) (Table 2).

For the case of 2,3-DHF, the same mechanism is observed in the reactions of sCl2 and sCl3 with SO\(_2\). First the intermediate adducts, M2 and M3, are generated, and then they evolve through two possible reaction pathways: through the transition state TS2.1 (or TS3.1) or transition state TS2.2 (or TS3.2), resulting in production of SO\(_3\) and an aldehyde or SO\(_2\) and an organic acid, respectively. In both cases, similarly to what has been described previously for sCl1, the regeneration of SO\(_2\) and an organic acid is the more favourable pathway since the intermediate states lie below the energy of reactants. The reaction energies are \(-116.39\) and \(-115.45\) kcal mol\(^{-1}\) for the organic acids 2 and 3, respectively (Table 2).

These theoretical results are consistent with the experimental findings described previously: no evidence of SO\(_3\) release was observed, and SO\(_2\) concentrations remained unchanged during the laboratory experiments.

Thus, both computational and experimental results suggest that SOA formation may generate from Reaction (R4) for both 2,5-DHF and 2,3-DHF ozonolysis reactions. Concerning the organic acids from Reaction (4) – organic acids “1”, “2” and “3”: HC(O)CH\(_2\)OCH\(_2\)C(O)OH (formymethoxy-acetic acid), HC(O)OCH\(_2\)CH\(_2\)COOH (3-formyloxy-propanoic acid) and HC(O)CH\(_2\)CH\(_2\)OCOOH (2-formyl-etoxy-formic acid), respectively (Fig. 5) – there are no vapour pressure data available in the literature. Similar chemicals like HC(O)OCH\(_2\)CH\(_2\)COOH (2-formyloxypropionic acid) and CH\(_2\)(O)OCH\(_3\)COOH (acetoxyacetic acid) are solids at room temperature and have high melting and boiling points (Zanesco, 1966; Buckingham and Donaghy, 1982). Furthermore, previous studies (e.g. Donahue et al., 2011) provide correlations to estimate vapour pressures based on group contribution factors and the oxygenation ratio O : C. For the involved acids, with four carbon atoms and four oxygen atoms (1 : 1 O : C ratio), saturation concentrations as low as \(5 \times 10^{11}\) molecule cm\(^{-3}\) are predicted. Thus, the organic acids expected from the SO\(_2\) catalytic pathway could be the species responsible for the nucleation events observed under laboratory conditions.

4 Conclusions

2,5-DHF and 2,3-DHF show a different behaviour during the ozonolysis, showing that the reactivity of the CI is clearly dependent on the structure. For 2,5-DHF the mass increase of particulate matter correlates in time with the total consumption of reacted alkene. On the other hand, for 2,3-DHF, the particle mass concentration rises well after the total consumption of this cyclic alkene. Furthermore, no effect of RH on the production of SOA was found for the ozonolysis of 2,5-DHF, while the increase of RH inhibits the SOA production from to the ozonolysis of 2,3-DHF.

As found in this work, the experimental results for the reaction of ozone with 2,5-DHF show that the presence of small amounts of SO\(_2\) is required to generate SOA. Nevertheless, the main atmospheric fate of 2,5-DHF is the reaction with OH radicals, and so a small contribution to new particle formation in the atmosphere is expected from Reaction (R1). Nevertheless, the information reported in this work contributes to the sparse current knowledge of the reactivity of CI intermediates with SO\(_2\) and H\(_2\)O, and so it may be helpful in the understanding of the behaviour of other cyclic alkenes.

On the other hand, Reaction (R2) is dominant over the OH reaction in the troposphere, and, as has been found in this work, the ozonolysis of 2,3-DHF leads to the formation of SOA in the presence of SO\(_2\). The results show that under increasing amounts of water vapour the yields of SOA decrease, suggesting the competing reactions of the sCI with SO\(_2\) and water molecules.
The experimental findings reported in this work show that SO$_2$ participates as a catalyst in the production of new particles from the ozonolysis of both 2,5-DHF and 2,3-DHF. Under the experimental conditions in this study, at least for the experiments with low SO$_2$ concentrations, the oxidation of SO$_2$ to SO$_3$ through reaction with the corresponding sCI seems negligible, contrary to the results found for smaller carbonyl oxides (Berndt et al., 2014b). Likewise, the pathway producing ester sulfinic acids (Reaction 5) can be ruled out for 2,5-DHF and 2,3-DHF. Thus, the organic acids produced through Reaction (4) are expected to be the key species in the formation of SOA.

5 Data availability

Underlying research data are available in the Supplement and upon request to the contact author.

The Supplement related to this article is available online at doi:10.5194/acp-17-2347-2017-supplement.

Competing interests. The authors declare that they have no conflict of interest.

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