

# $\mathcal{N} = 2$ Chern-Simons-matter theories without vortices

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**ABSTRACT:** We study  $\mathcal{N} = 2$  Chern-Simons-matter theories with gauge group  $U_{k_1}(1) \times U_{k_2}(1)$ . We find that, when  $k_1 + k_2 = 0$ , the partition function computed by localization dramatically simplifies and collapses to a single term. We show that the same condition prevents the theory from having supersymmetric vortex configurations. The theories include mass-deformed ABJM theory with  $U(1)_k \times U_{-k}(1)$  gauge group as a particular case. Similar features are shared by a class of CS-matter theories with gauge group  $U_{k_1}(1) \times \cdots \times U_{k_N}(1)$ .

**KEYWORDS:** Chern-Simons Theories, Extended Supersymmetry, Matrix Models, Supersymmetric Gauge Theory

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**1 Introduction**

In the last few years, the use of the localization principle in three-dimensional supersymmetric gauge theories [1–4] led to a number of remarkable results which unveiled the role of non-perturbative effects in three dimensions and the precise way they contribute to supersymmetric observables. The expected non-perturbative contributions in three-dimensional supersymmetric gauge theories come from vortex and antivortex configurations. In particular, the partition function on the squashed sphere  $S_b^3$ , computed in [5], can be expressed as infinite sums where each term contains the product of the vortex times the antivortex partition function [6]. This structure also appeared in  $\mathcal{N} = 2$  superconformal indices [7, 8] and general properties underlying this decomposition in “holomorphic blocks” were further explored in [9–11].

The physical origin of the non-perturbative terms, and its connection to vortices, can be understood in a more direct way if one implements the method of localization by adding a different deformation term to the path integral, in such a way that the classical supersymmetric configurations contributing to the partition function are precisely vortices at the north pole and antivortices at the south pole of  $S_b^3$  [12, 13]. This alternative localization, called “Higgs branch localization”, was first discovered in [14, 15] in the context of two-dimensional  $\mathcal{N} = (2, 2)$  gauge theories on  $S^2$ . Many other remarkable phenomena appeared in related works, in particular, mirror duality exchanging vortex loop and Wilson loop operators [16, 17] (see [18] for a recent review and a more complete list of references).

Supersymmetric localization reduces the problem of computing a highly complicated functional integral to a far much simpler finite-dimensional integral. The exact partition function in the different  $\mathcal{N} = 2$  theories has, nonetheless, an extremely rich and complicated structure, encapsulating interesting gauge-theory phenomena in an exact formula. The integrals can be computed by residues, leading to long expressions representing the sum over vortex and antivortex partition functions described above. A natural question is whether there are cases where this extremely complicated structure simplifies. In this note we

identify one example where a huge simplification occurs and disclose the physical origin of such simplification. We consider three-dimensional  $\mathcal{N} = 2$  supersymmetric Chern-Simons-matter gauge theories on the squashed sphere  $\mathbb{S}_b^3$  with gauge group  $U_{k_1}(1) \times U_{k_2}(1)$ , with matter charged under both gauge groups. We will find a peculiar phenomenon. For generic parameters, the theory contains vortices and antivortices associated with north and south poles of  $\mathbb{S}_b^3$ , with the expected partition function factorizing in terms of holomorphic blocks. However, when the couplings satisfy a certain condition, supersymmetric vortex configurations are no longer possible: the theory then contains a unique, topologically trivial vacuum and the partition function reduces to a single term (yet with highly non-trivial dependence on the couplings). We will also discuss this phenomenon in terms of the effective potential.

The paper is organized as follows. In section 2 we give a brief review of three-dimensional  $\mathcal{N} = 2$  gauge theories on  $\mathbb{S}_b^3$ , with a focus on theories with  $U(1) \times U(1)$  gauge group. In section 3 we consider  $U(1)_k \times U(1)_{-k}$  ABJM theory with mass and Fayet-Iliopoulos (FI) deformations and compute the partition function on the three-sphere. We show that the integrals can be carried out in a straightforward way, leading to a very simple compact formula for the partition function. In section 4 we consider a  $U(1) \times U(1)$  gauge theory with arbitrary Chern-Simons levels  $k_1, k_2$  and more general matter content. We show that a similar simplification takes place, both on the three-sphere  $\mathbb{S}^3$  and on the ellipsoid  $\mathbb{S}_b^3$ , provided the parameters satisfy a certain constraint. Finally, in section 5, we study supersymmetric vortex configurations in flat space for the general model of section 4 and show that all vortices disappear when the same condition on the parameters is imposed. We also show that, for any arbitrary parameters not satisfying this condition, the theory has vortices with an action compatible with the vortex counting parameter that one derives from the partition function on the ellipsoid.

## 2 $\mathcal{N} = 2$ supersymmetric gauge theories on the ellipsoid

We consider the three-ellipsoid with  $U(1) \times U(1)$  isometry as in [5]. The three-ellipsoid is defined by the hypersurface

$$x_0^2 + x_1^2 + x_2^2 + x_3^2 = 1, \tag{2.1}$$

with metric

$$ds^2 = \ell^2(dx_0^2 + dx_1^2) + \tilde{\ell}^2(dx_2^2 + dx_3^2). \tag{2.2}$$

Introducing coordinates

$$(x_0, x_1, x_2, x_3) = (\cos \theta \cos \varphi_2, \cos \theta \sin \varphi_2, \sin \theta \cos \varphi_1, \sin \theta \sin \varphi_1), \tag{2.3}$$

the metric takes the form

$$ds^2 = r^2 (f(\theta)^2 d\theta^2 + b^2 \sin^2 \theta d\varphi_1^2 + b^{-2} \cos^2 \theta d\varphi_2^2), \tag{2.4}$$

$$b \equiv \sqrt{\tilde{\ell}/\ell}, \quad r \equiv \sqrt{\tilde{\ell}\ell}, \quad f(\theta) \equiv \sqrt{b^{-2} \sin^2 \theta + b^2 \cos^2 \theta}.$$

Here we shall study  $\mathcal{N} = 2$  supersymmetric gauge theories on this space, with gauge group  $U(1) \times U(1)$  and chiral matter. The theories thus have two vector multiplets  $(A_1, \sigma_1, \lambda_1, \bar{\lambda}_1, D_1)$  and  $(A_2, \sigma_2, \lambda_2, \bar{\lambda}_2, D_2)$ .

The three-dimensional action contains Chern-Simons terms for each U(1) gauge group, i.e.

$$S_{\text{CS}}[k] = i \frac{k}{4\pi} \int A \wedge dA - i \frac{k}{4\pi} \int d^3x \sqrt{g} (-\bar{\lambda}\lambda + 2D\sigma), \quad (2.5)$$

with general Chern-Simons levels  $k_1, k_2$ .

The FI deformations can be constructed as usual by coupling the vector multiplets to  $\mathcal{N} = 2$  background vector multiplets  $((\hat{A}_a)_\mu, \tilde{\sigma}_a, \tilde{\lambda}_a, \tilde{\bar{\lambda}}_a, \tilde{D}_a)$ ,  $a = 1, 2$ . One gets

$$S_{\text{FI}} = \frac{i}{4\pi} \int d^3x \sqrt{g} (\tilde{D}_a \sigma_a + \tilde{\sigma}_a D_a), \quad a = 1, 2. \quad (2.6)$$

Chiral matter may couple to both vector multiplets  $V_1 = (A_1, \sigma_1, \lambda_1, \bar{\lambda}_1, D_1)$ ,  $V_2 = (A_2, \sigma_2, \lambda_2, \bar{\lambda}_2, D_2)$ , with some given charges  $q_1, q_2$ , so that the covariant derivative is  $D_\mu \phi = \partial_\mu \phi - iq_1(A_1)_\mu \phi - iq_2(A_2)_\mu \phi$ . Defining a vector multiplet  $\hat{V} = q_1 V_1 + q_2 V_2$ , with components  $\hat{V} = (\hat{A}, \hat{\sigma}, \hat{\lambda}, \hat{\bar{\lambda}}, \hat{D})$ , the action for a chiral multiplet of R-charge  $\Delta$  is then given by (we follow the conventions of [12])

$$S_{\text{matter}} = \int d^3x \sqrt{g} \left( D_\mu \bar{\phi} D^\mu \phi - i\bar{\psi} \gamma^\mu D_\mu \psi + \frac{\Delta(2-\Delta)}{r^2 f^2} \bar{\phi} \phi + i\bar{\phi} \hat{D} \phi - \frac{2\Delta-1}{2rf} \bar{\psi} \psi \right. \\ \left. + i\bar{\psi} \hat{\sigma} \psi + i\bar{\psi} \hat{\lambda} \phi - i\bar{\phi} \hat{\bar{\lambda}} \psi + \bar{\phi} \hat{\sigma}^2 \phi + \frac{i(2\Delta-1)}{rf} \bar{\phi} \hat{\sigma} \phi + \bar{F} F \right), \quad (2.7)$$

where  $\gamma^\mu$  are the Pauli matrices. An  $\mathcal{N} = 2$  preserving mass deformation can be added in the usual way by coupling the chiral fields to vector multiplets associated with the flavor symmetry. Real masses  $m_i$  then correspond to the expectation values of the scalar fields of these background vector multiplets.

We will consider models with  $N_f$  chiral multiplets having the same charges  $q_1, q_2$  and  $N_a$  chiral multiplets with charges  $-q_1, -q_2$ , with  $q_1 q_2 \neq 0$  (the case  $q_1 q_2 = 0$  leads to a decoupled U(1) Chern-Simons-matter theory, which has already been studied in the literature, see e.g. [33, 34]). For these models, it is convenient to normalize the gauge fields by setting e.g.  $q_1 = 1, q_2 = -1$ . This normalization rescales the CS levels  $k_1, k_2$  (which in the abelian case on  $\mathbb{S}_b^3$  do not need to be quantized).<sup>1</sup>

We will need the supersymmetric transformations for the fermions, which are as follows

$$\delta \lambda = \left( \frac{1}{2} \epsilon_{\mu\nu\rho} F^{\nu\rho} - \partial_\mu \sigma \right) \gamma^\mu \epsilon - iD\epsilon - \frac{i}{rf} \sigma \epsilon, \\ \delta \bar{\lambda} = \left( \frac{1}{2} \epsilon_{\mu\nu\rho} F^{\nu\rho} + \partial_\mu \sigma \right) \gamma^\mu \bar{\epsilon} + iD\bar{\epsilon} + \frac{i}{rf} \sigma \bar{\epsilon}, \quad (2.8)$$

and

$$\delta \psi = -\gamma^\mu \epsilon D_\mu \phi - \epsilon \hat{\sigma} \phi - \frac{i\Delta}{rf} \epsilon \phi + i\bar{\epsilon} F, \\ \delta \bar{\psi} = -\gamma^\mu \bar{\epsilon} D_\mu \bar{\phi} - \bar{\epsilon} \hat{\sigma} \bar{\phi} - \frac{i\Delta}{rf} \bar{\epsilon} \bar{\phi} + i\epsilon \bar{F}. \quad (2.9)$$

<sup>1</sup>In non-trivial three-dimensional manifolds with non-contractible one-cycles the normalization of the gauge fields must be such that the Chern-Simons levels  $k_1, k_2$  are quantized for the abelian theory to be invariant under large gauge transformations.

Introducing the localizing term for Coulomb branch localization as in [5], the fields localize to the configuration

$$D_1 = -\frac{\sigma_1}{rf}, \quad D_2 = -\frac{\sigma_2}{rf}, \quad (2.10)$$

with constant  $\sigma_1, \sigma_2$ , other fields localizing to vanishing values. Similarly, supersymmetry requires that the background fields appearing in the FI deformations also satisfy  $\hat{D}_a = -\frac{\sigma_a}{rf}$ . Integrating over  $\theta$ , the FI terms localize to  $2\pi i \eta_a \sigma_a$ , where  $\eta_a, a = 1, 2$  represent constant parameters related to the values of the background fields.

For the present theory, using the rules derived [5] (generalizing the formula for the partition function on the three-sphere [1]), the exact partition function has the form

$$Z = \int d\sigma_1 d\sigma_2 e^{-i\pi k_1 \sigma_1^2 - i\pi k_2 \sigma_2^2 + 2\pi i(\eta_1 \sigma_1 + \eta_2 \sigma_2)} Z_{1\text{-loop}}^{\text{chiral}}(\sigma_1, \sigma_2), \quad (2.11)$$

where  $Z_{1\text{-loop}}^{\text{chiral}}$  represents the one-loop determinant coming from the matter sector.

### 3 U(1) × U(1) ABJM theory with FI and mass deformations

The first model is inspired by ABJM theory [19]. Specifically, the U(1) × U(1) model contains CS actions with opposite levels. There are two chiral multiplets with  $\Delta = 1/2$ , gauge charges (1, -1) and mass parameters  $\pm m$  and two antichiral multiplets with the same masses and gauge charges (-1, 1). In addition, we shall also include a FI term for the diagonal U(1). One can anticipate that this theory will be particularly simple, since for the abelian U(1) × U(1) ABJM theory the sixth-order potential vanishes [19], leaving only the mass deformations and therefore a theory of two chiral and two antichiral *free* superfields.

For the theory on the three-dimensional ellipsoid (2.4), the action of the model is defined by

$$S = (S_{\text{CS}}[k] + S_{\text{FI}}[\eta])_1 + (S_{\text{CS}}[-k] + S_{\text{FI}}[\eta])_2 + S_{\text{matter}}, \quad (3.1)$$

where the different terms have been defined above.

We start with the simplest case where  $b = 1$ , corresponding to the sphere limit of the ellipsoid. In this case,  $f(\theta) = 1$ . In the next section we will generalize the formulas for a model with arbitrary  $\Delta$  and  $b$  parameters. The partition function is given by

$$Z = \int d\sigma_1 d\sigma_2 \frac{e^{-i\pi k(\sigma_1^2 - \sigma_2^2) + 2\pi i\eta(\sigma_1 + \sigma_2)}}{\cosh(\pi(\sigma_1 - \sigma_2 + m)) \cosh(\pi(\sigma_1 - \sigma_2 - m))}. \quad (3.2)$$

This is the same expression for the mass/FI deformed ABJM partition function given in [2] particularized to  $N = 1$ . Now we introduce new integration variables:

$$\sigma_+ = \frac{\sigma_1 + \sigma_2}{2}, \quad \sigma_- = \frac{\sigma_1 - \sigma_2}{2}. \quad (3.3)$$

The partition function becomes

$$Z = 2 \int d\sigma_+ d\sigma_- \frac{e^{-4\pi i k \sigma_+ \sigma_- + 4\pi i \eta \sigma_+}}{\cosh(\pi(2\sigma_- + m)) \cosh(\pi(2\sigma_- - m))}. \quad (3.4)$$

Integrating over  $\sigma_+$ , we get a Dirac  $\delta$ -function

$$Z = \int d\sigma_- \frac{\delta(k\sigma_- - \eta)}{\cosh(\pi(2\sigma_- + m)) \cosh(\pi(2\sigma_- - m))}. \quad (3.5)$$

Therefore, the partition function has the compact form

$$Z = \frac{1}{|k|} \frac{1}{\cosh(\pi(\frac{2\eta}{k} + m)) \cosh(\pi(\frac{2\eta}{k} - m))}. \quad (3.6)$$

Note that the expansion in powers of  $1/k$  corresponds to the perturbative expansion. It has a finite radius of convergence  $1/k_0$ , determined by the first zero of  $\cosh \pi(2\eta/k \pm m)$  in the complex  $1/k$ -plane, i.e.

$$\frac{1}{k_0} = \left| \frac{1}{2\eta} \left( m \pm \frac{i}{2} \right) \right|.$$

This is in contradistinction with the behavior of the weak coupling perturbation series in more general  $\mathcal{N} = 2$  supersymmetric gauge theories, which is asymptotic [20–22].

For integer  $k$ , in some cases the partition function on  $\mathbb{S}^3$  has a finite number of terms (see [23–28] for many examples). This can be illustrated by  $U(1)$   $\mathcal{N} = 2$  Chern-Simons theory with a FI deformation, coupled to a pair of massless chiral fields of  $\Delta = 1/2$  and opposite gauge charges. The partition function is given by

$$Z = \int d\sigma e^{-i\pi k\sigma^2} \frac{e^{2\pi i\eta\sigma}}{\cosh(\pi\sigma)}. \quad (3.7)$$

Integrating by residues, it might seem that we get an infinite sum coming from the poles of the  $\cosh(\pi\sigma)$  on the imaginary axes. However, some care is needed in order to choose the integration contour, since the integrand does not decay exponentially on a large semicircle. It is convenient to go to the “dual” representation by writing

$$\frac{1}{\cosh(\pi\sigma)} = \int d\tau \frac{e^{2\pi i\tau\sigma}}{\cosh(\pi\tau)}. \quad (3.8)$$

Computing the Gaussian integral over  $\sigma$ , and shifting  $\tau \rightarrow \tau - \eta$ , we find

$$Z = \frac{e^{-\frac{i\pi}{4}}}{\sqrt{k}} \int d\tau e^{\frac{i\pi\tau^2}{k}} \frac{1}{\cosh(\pi(\tau - \eta))}. \quad (3.9)$$

This is a Mordell integral [29] (see [26, 28] for explicit examples in the context of  $\mathcal{N} = 2$  CS theories). For integer  $k$ , the integral can be computed by choosing an appropriate rectangular contour, leading to a finite sum [26]

$$Z = -\frac{2e^{i\pi(x-k/4)}}{e^{2i\pi x} - 1} \left( \sqrt{\frac{-i}{k}} \sum_{n=0}^{k-1} e^{-\frac{i\pi}{k}(x-\frac{k}{2}-n)^2} + ie^{2i\pi x} \right), \quad (3.10)$$

with  $x \equiv -i\eta - 1/2$ . For non-integer  $k$ , the integral gives rise to an infinite sum which can be expressed in terms of  $\theta$  functions [29].

On the other hand, on the ellipsoid, the partition function with  $k_1 + k_2 \neq 0$  contains an infinite series of terms and they represent vortex contributions as in [6, 12, 13, 16, 17]. We discuss the ellipsoid partition function in the next section.

#### 4 More general $U(1) \times U(1)$ model

In this section we consider a more general model where the Chern-Simons levels for the  $U(1) \times U(1)$  gauge group are  $(k_1, k_2)$ , with general matter content.<sup>2</sup>

**Partition function on the three-sphere.** We first consider  $2N_f$  chiral fields with charges  $(1, -1)$  and  $2N_f$  chiral fields with charges  $(-1, 1)$ , all with the same R-charge  $\Delta = 1/2$  and masses  $\pm m$ . The partition function on the three-sphere is now given by

$$Z = \int d\sigma_1 d\sigma_2 \frac{e^{-i\pi k_1 \sigma_1^2 - i\pi k_2 \sigma_2^2 + 2\pi i(\eta_1 \sigma_1 + \eta_2 \sigma_2)}}{(\cosh \pi(\sigma_1 - \sigma_2 + m) \cosh \pi(\sigma_1 - \sigma_2 - m))^{N_f}}. \quad (4.1)$$

Introducing new integration variables as in (3.3), the partition function takes the form

$$Z = 2 \int d\sigma_+ d\sigma_- \frac{e^{-i\pi(k_1+k_2)\sigma_-^2 - i\pi(k_1+k_2)\sigma_+^2 - 2\pi i(k_1-k_2)\sigma_+\sigma_- + 2\pi i(\eta_+\sigma_+ + \eta_-\sigma_-)}}{(\cosh \pi(2\sigma_- + m) \cosh \pi(2\sigma_- - m))^{N_f}}, \quad (4.2)$$

$$\eta_- \equiv \eta_1 - \eta_2, \quad \eta_+ \equiv \eta_1 + \eta_2.$$

We now consider the specific model with parameters satisfying the relation

$$k_1 + k_2 = 0. \quad (4.3)$$

As a result, the  $\sigma_+^2$  term in the exponent of (4.2) cancels out and the integral over  $\sigma_+$  gives a Dirac delta function. If one considers chiral multiplets with generic gauge charges  $(q_1, q_2)$  and  $(-q_1, -q_2)$  –thus maintaining the original normalization for the gauge fields– the relation that eliminates the  $\sigma_+^2$  term from the exponent is

$$\frac{q_1^2}{k_1} + \frac{q_2^2}{k_2} = 0. \quad (4.4)$$

Note that this condition requires that the Chern-Simons levels have opposite signs, i.e.  $k_1 k_2 < 0$ .

Returning to the condition (4.3), this leads essentially to the mass-deformed ABJM case discussed earlier, where it has now been extended to more flavors and to the case  $\eta_1 \neq \eta_2$ . The final expression for the partition function on the three-sphere is

$$Z = \frac{1}{|k_1|} \frac{e^{i\pi \frac{\eta_+ \eta_-}{k_1}}}{\left( \cosh \pi \left( \frac{\eta_+}{k_1} + m \right) \cosh \pi \left( \frac{\eta_+}{k_1} - m \right) \right)^{N_f}}. \quad (4.5)$$

**Partition function on the ellipsoid.** The calculation is similar, but now the basic building block in the one-loop determinant is the double-sine function  $s_b$ . It is defined by

$$s_b(x) = \prod_{k,n=0}^{\infty} \frac{kb + nb^{-1} + Q/2 - ix}{kb + nb^{-1} + Q/2 + ix}, \quad Q = b + b^{-1}. \quad (4.6)$$

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<sup>2</sup>Deformations of ABJM theory to general Chern-Simons levels  $k_1, k_2$  have been proposed to have an holographic interpretation in terms of  $AdS_4$  backgrounds with non-zero Romans mass [30].

Then the one-loop determinant for a chiral field of R-charge  $\Delta$ , gauge charges  $(q_1, q_2)$  and mass  $m$  is given by

$$Z_{1\text{-loop}}^{\text{chiral}} = s_b \left( \frac{iQ}{2}(1 - \Delta) - q_1\sigma_1 - q_2\sigma_2 + m \right). \quad (4.7)$$

We consider  $N_f$  chiral multiplets  $\phi_r$  with R-charge  $\Delta$  and  $U(1) \times U(1)$  gauge charges  $(1, -1)$  and  $N_a$  chiral multiplets  $\tilde{\phi}_s$  with the same R-charge  $\Delta$  and opposite gauge charges  $(-1, 1)$ . In addition, with add mass deformation parameters  $m_r, \tilde{m}_s$  satisfying  $\sum_{r=1}^{N_f} m_r = 0, \sum_{s=1}^{N_a} \tilde{m}_s = 0$ . Thus the total one-loop factor is given by

$$Z_{1\text{-loop}}^{\text{matter}}(\sigma_-, \Delta; m_i) = \prod_{r=1}^{N_f} s_b \left( \frac{iQ}{2}(1 - \Delta) - 2\sigma_- + m_r \right) \prod_{s=1}^{N_a} s_b \left( \frac{iQ}{2}(1 - \Delta) + 2\sigma_- + \tilde{m}_s \right) \quad (4.8)$$

For  $k_1 + k_2 = 0$ , the partition function on the ellipsoid is given by

$$Z = 2 \int d\sigma_+ d\sigma_- e^{-4\pi i k_1 \sigma_+ \sigma_- + 2\pi i (\eta_+ \sigma_+ + \eta_- \sigma_-)} Z_{1\text{-loop}}^{\text{matter}}(\sigma_-, \Delta; m_i), \quad (4.9)$$

Thus

$$Z = \frac{1}{|k|} e^{i\pi \frac{\eta_+ \eta_-}{k}} \prod_{r=1}^{N_f} s_b \left( \frac{iQ}{2}(1 - \Delta) - \frac{\eta_+}{k} + m_r \right) \prod_{s=1}^{N_a} s_b \left( \frac{iQ}{2}(1 - \Delta) + \frac{\eta_+}{k} + \tilde{m}_s \right), \quad (4.10)$$

with  $k \equiv k_1$ .

The double-sine function can be written in another form, which is useful to study the limit  $b \rightarrow 0$  (or, alternatively,  $b \rightarrow \infty$ ), where the ellipsoid degenerates to  $\mathbb{R}^2 \times \mathbb{S}^1$ :

$$s_b(x) = e^{-\frac{i\pi x^2}{2}} \prod_{k=1}^{\infty} \left( 1 - w_1^{-(2k+1)} e^{-2\pi b x} \right)^{-1} \left( 1 - w_2^{-(2k+1)} e^{-2\pi x/b} \right)^{-1}, \quad (4.11)$$

$$w_1 = e^{i\pi b^2}, \quad w_2 = e^{i\pi/b^2}.$$

In the present case, the partition function (4.10) contains contributions proportional to

$$e^{-2\pi b x} \sim e^{-\frac{2\pi b}{k}(\eta_+ \pm k m_i)}, \quad e^{-2\pi x/b} \sim e^{-\frac{2\pi}{bk}(\eta_+ \pm k m_i)} \quad (4.12)$$

It would be interesting to understand the physical origin of these contributions. As shown in the next section, these cannot be vortex contributions because the theory does not have supersymmetric vortices. Indeed, the theory only admits the trivial vacuum with all  $\phi_r = \tilde{\phi}_s = 0$  (see section 5).

The key point that allows one to explicitly carry out the two integrations in (4.2) is that, upon imposing (4.3), the integrand depends on one of the two integration variables,  $\sigma_+$ , only in the exponent, with linear dependence. The one-loop determinant does not depend on  $\sigma_+$ , since the chiral matter only couples to the vector multiplet  $V_1 - V_2$ . As discussed below, the underlying physical reason of the simplicity of these theories is that these are precisely the cases where the theory does not have vortex configurations associated with north and



south poles of the ellipsoid. It is worth noting that the simplicity of these theories is not related to possible enhancement of supersymmetries, that arises only for special matter content. In particular, if  $N_f \neq N_a$ , the theory always has  $\mathcal{N} = 2$  supersymmetry.

In more general 3d models where (4.3) is not satisfied, the partition function on the ellipsoid is given in terms of infinite sums where each term represents a contribution from supersymmetric vortex configurations [6]. This is evident in the ‘‘Higgs branch localization’’ [12, 13], where another deformation term is added. The localized field configuration is then given in terms of vortex numbers. In the Coulomb branch localization, the equivalent result is obtained by computing the integrals by residue integration [6, 10, 12, 13].

As an example, we may consider the case where  $q_2 = 0$ . In this case, one has  $U(1)_{k_1}$  Chern-Simons-matter plus a decoupled pure  $U(1)_{k_2}$  CS sector without matter. The non-trivial part in the partition function comes from the first sector. It is a particular case of the partition functions considered in [13] for  $U(N)$  CS theory coupled to  $N_f$  fundamentals and  $N_a$  antifundamentals. In our case,  $N_f, N_a$  correspond to the number of chiral multiplets with charge  $q_1$  and  $-q_1$ , respectively. The partition function is then given by particularizing (2.75) of [13] to  $N = 1$ . One obtains an expression for  $Z$  as a product of vortex and antivortex partition functions  $Z_v, Z_{av}$ . In particular, in the case with only FI mass deformation, one finds an expression of the form

$$Z_v = \sum_{n=0}^{\infty} e^{-2\pi b^{-1} \eta_1 n} z_v^{(n)}(b), \quad Z_{av} = \sum_{n=0}^{\infty} e^{-2\pi b \eta_1 n} z_{av}^{(n)}(b), \quad (4.13)$$

where  $n$  is identified with the absolute value of the vortex topological charge. We see that the vortex and antivortex actions have the expected linear dependence with the FI parameter and linear dependence with the topological charges [6].

## 5 Flat space analysis

Here we will show that vortex configurations disappear *precisely* in the case when the partition function reduces to a single term due to the condition (4.3),

$$k_1 + k_2 = 0.$$

In flat spacetime, the FI term is

$$S_{FI}[\eta_a] = -i \int d^3x (\eta_1 D_1 + \eta_2 D_2). \quad (5.1)$$

The part of the action involving  $D_1, D_2, \sigma_1, \sigma_2$  is

$$S' = \int d^3x \left( -2ik_1 D_1 \sigma_1 - 2ik_2 D_2 \sigma_2 - i(\eta_1 D_1 + \eta_2 D_2) \right. \\ \left. + i(D_1 - D_2) \bar{\phi} \phi + (\sigma_1 - \sigma_2)^2 \bar{\phi} \phi \right). \quad (5.2)$$

The equations for  $D_1, D_2$  give

$$-2k_1 \sigma_1 - \eta_1 + \bar{\phi} \phi = 0, \quad -2k_2 \sigma_2 - \eta_2 - \bar{\phi} \phi = 0. \quad (5.3)$$

It follows that  $2k_1 \sigma_1 + 2k_2 \sigma_2 = -\eta_1 - \eta_2 = -\eta_+ = \text{const.}$

The equations of motion for  $\sigma_1, \sigma_2$  give

$$ik_1 D_1 - \bar{\phi}\phi(\sigma_1 - \sigma_2) = 0, \quad ik_2 D_2 + \bar{\phi}\phi(\sigma_1 - \sigma_2) = 0. \quad (5.4)$$

Therefore,  $k_1 D_1 = -k_2 D_2$ . When there are several copies of scalar fields  $\phi_r, r = 1, \dots, N_f$ , with charges  $(1, -1)$ , and  $\tilde{\phi}_s, s = 1, \dots, N_a$ , with charges  $(-1, 1)$ , then the above equations generalize as follows

$$\begin{aligned} -2k_1 \sigma_1 - \eta_1 + \sum_r |\phi_r|^2 - \sum_s |\tilde{\phi}_s|^2 &= 0, \\ -2k_2 \sigma_2 - \eta_2 - \sum_r |\phi_r|^2 + \sum_s |\tilde{\phi}_s|^2 &= 0, \\ ik_1 D_1 - \left( \sum_r |\phi_r|^2 + \sum_s |\tilde{\phi}_s|^2 \right) (\sigma_1 - \sigma_2) &= 0, \\ ik_2 D_2 + \left( \sum_r |\phi_r|^2 + \sum_s |\tilde{\phi}_s|^2 \right) (\sigma_1 - \sigma_2) &= 0. \end{aligned}$$

We look for supersymmetric configurations. In the flat limit, the supersymmetric transformations (2.8), (2.9) become

$$\begin{aligned} \delta\lambda_1 &= \left( \frac{1}{2} \epsilon_{\mu\nu\rho} F^{\nu\rho} [A_1] - \partial_\mu \sigma_1 \right) \gamma^\mu \epsilon - i D_1 \epsilon, \\ \delta\lambda_2 &= \left( \frac{1}{2} \epsilon_{\mu\nu\rho} F^{\nu\rho} [A_2] - \partial_\mu \sigma_2 \right) \gamma^\mu \epsilon - i D_2 \epsilon, \\ \delta\psi &= -\gamma^\mu \epsilon D_\mu \phi - \epsilon (\sigma_1 - \sigma_2) \phi + i \bar{\epsilon} F. \end{aligned} \quad (5.5)$$

Recall  $D_\mu \phi = (\partial_\mu - i(A_1)_\mu + i(A_2)_\mu) \phi$ . We must impose  $\delta\lambda_1 = \delta\lambda_2 = \delta\psi_r = \delta\tilde{\psi}_s = 0$ . Considering the equation  $k_1 \delta\lambda_1 + k_2 \delta\lambda_2 = 0$ , we deduce that

$$k_1 F^{\nu\rho} [A_1] = -k_2 F^{\nu\rho} [A_2], \quad (5.6)$$

i.e.

$$F^{\nu\rho} [\tilde{A}] \equiv 0, \quad \tilde{A} \equiv k_1 A_1 + k_2 A_2. \quad (5.7)$$

The scalar field  $\phi$  couples to the gauge field

$$\hat{A} = A_1 - A_2.$$

A vortex solution  $\phi = f(r) e^{in\varphi}$  implies a circulation for  $\hat{A}$  and, by Stokes theorem, a flux  $F_{12}[\hat{A}] \propto n \neq 0$ . However, this is impossible if  $\hat{A}$  is proportional to  $\tilde{A}$ , since  $F_{12}[\tilde{A}] = 0$ . These gauge fields are proportional to each other when

$$k_1 + k_2 = 0,$$

which is nothing but the same condition (4.3) that leads to a simple partition function with a single term. In the next subsection we will re-derive this condition from the effective potential.

The resulting theory with  $k_1 + k_2 = 0$  can be cast in a familiar form. Introducing new vector multiplets  $V_A = V_1 - V_2 \equiv (A_\mu, \lambda_A, \bar{\lambda}_A, \sigma_A, D_A)$  and  $V_B = V_1 + V_2 \equiv (B_\mu, \lambda_B, \bar{\lambda}_B, \sigma_B, D_B)$  the action becomes

$$S = ik \int B \wedge dA - ik \int d^3x \sqrt{g} (-\bar{\lambda}_B \lambda_A - \bar{\lambda}_A \lambda_B + D_B \sigma_A + D_A \sigma_B) + S_{\text{matter}}[V_A], \quad (5.8)$$

where the matter action is given by (2.7) by replacing  $\hat{V}$  by  $V_A$ . This is nothing but a BF Chern-Simons model with matter coupled to only one of the two gauge fields. One can directly see that there are no supersymmetric vortex solutions. The equations of motion of  $B_\mu$  set

$$F_{\mu\nu}[A] = 0. \quad (5.9)$$

Considering now the supersymmetric variation  $\delta\psi = 0$ , one finds that preserving 1/2 of the supersymmetries requires

$$(D_1 + iD_2)\phi = 0 \quad \text{or} \quad (D_1 - iD_2)\phi = 0. \quad (5.10)$$

In either case, by (5.10), a solution with non-trivial topological phase,  $\phi = f(r)e^{in\varphi}$ , implies  $\oint dx^i A_i = 2\pi n$ , in contradiction with (5.9). Thus the topological charge must be zero.

**Standard vortex solutions in the general case.** Let us consider the general model with arbitrary Chern-Simons levels  $k_1, k_2$ . From (5.3), (5.4) one can express  $D_1, D_2, \sigma_1, \sigma_2$  in terms of  $\bar{\phi}\phi$ . Substituting the solution into the action, the bosonic part of the Euclidean action takes the form (recall that normalization of gauge fields is conventional)

$$S_{\text{bos}} = ik_1 \int A_1 \wedge dA_1 + ik_2 \int A_2 \wedge dA_2 + \int d^3x \left( D_\mu[\hat{A}]\bar{\phi}D_\mu[\hat{A}]\phi + V_{\text{eff}}(\phi) \right), \quad (5.11)$$

$$D_\mu[\hat{A}]\phi = \partial_\mu\phi - i\hat{A}_\mu\phi, \quad \hat{A} = A_1 - A_2,$$

where

$$V_{\text{eff}} = \frac{1}{4k_1^2 k_2^2} \bar{\phi}\phi \left( -\eta_0 + (k_1 + k_2) \bar{\phi}\phi \right)^2, \quad (5.12)$$

$$\eta_0 \equiv k_2\eta_1 - k_1\eta_2. \quad (5.13)$$

When there are several copies of scalar fields  $\phi_r, \tilde{\phi}_s$  with charges  $(1, -1)$  and  $(-1, 1)$ ,  $r = 1, \dots, N_f, s = 1, \dots, N_a$ , the potential becomes

$$V_{\text{eff}} = \frac{1}{4k_1^2 k_2^2} \left( |\phi_r|^2 + |\tilde{\phi}_s|^2 \right) \left( -\eta_0 + (k_1 + k_2) \left( |\phi_r|^2 - |\tilde{\phi}_s|^2 \right) \right)^2, \quad (5.14)$$

where sums over  $r$  and  $s$  are understood (i.e.  $|\phi_r|^2 = \sum_{r=1}^{N_f} \bar{\phi}_r \phi_r, |\tilde{\phi}_s|^2 = \sum_{s=1}^{N_a} \tilde{\phi}_s \bar{\tilde{\phi}}_s$ ).

We now see the physical origin of the absence of vortices when  $k_1 + k_2 = 0$ : the potential becomes

$$V_{\text{eff}} \rightarrow \frac{\eta_0^2}{4k_1^2 k_2^2} \left( |\phi_r|^2 + |\tilde{\phi}_s|^2 \right).$$

This potential has only the trivial vacuum  $\phi_r = \tilde{\phi}_s = 0$ . In the particular case of ABJM theory [19], this just reflects the familiar feature that in the abelian  $U(1) \times U(1)$  case the sixth-order potential vanishes, leaving only the mass deformations.<sup>3</sup>

Let us introduce the gauge field

$$B = A_1 + A_2.$$

The part of the action containing the vector bosons takes the form

$$S = \frac{i}{4} \int \left( (k_1 + k_2) \hat{A} \wedge d\hat{A} + (k_1 + k_2) B \wedge dB + 2(k_1 - k_2) B \wedge d\hat{A} \right) + \int d^3x D_\mu[\hat{A}] \bar{\phi} D_\mu[\hat{A}] \phi. \tag{5.15}$$

Now  $B_\mu$  can be integrated out by its equation of motion. One obtains

$$(k_1 + k_2) dB + (k_1 - k_2) d\hat{A} = 0. \tag{5.16}$$

In the special case when  $k_1 + k_2 = 0$ , we recover the condition found above that the flux  $d\hat{A} = 0$ . This is the theory with no vortex configurations. For  $k_1 + k_2 \neq 0$ , we can solve the above equation for  $B_\mu$  and find the bosonic (Euclidean) action

$$S = i\tilde{k} \int \hat{A} \wedge d\hat{A} + \int d^3x \left( D_\mu[\hat{A}] \bar{\phi} D_\mu[\hat{A}] \phi + \frac{1}{4\tilde{k}^2} \bar{\phi} \phi \left( \bar{\phi} \phi - \frac{\eta_0}{k_1 + k_2} \right)^2 \right), \tag{5.17}$$

where

$$\tilde{k} = \frac{k_1 k_2}{(k_1 + k_2)}, \quad k_1 + k_2 \neq 0. \tag{5.18}$$

We recognize the Chern-Simons-Higgs action for a  $U(1)$  gauge group with a sixth-order Higgs potential. This theory has been studied extensively in the literature [33, 34]. Indeed, the potential is the same effective potential that arises from  $\mathcal{N} = 2$  supersymmetric  $U(1)$  CS theory coupled to chiral matter –with the precise overall coefficient required by supersymmetry [35] (for recent discussions, see [36, 37] and references therein). The theory has well-known vortex configurations due to the existence of a non-trivial  $U(1)$  symmetry-breaking vacuum  $\bar{\phi} \phi = \eta_0 / (k_1 + k_2)$ , provided  $\eta_0 / (k_1 + k_2) > 0$ . More generally, in the presence of scalar fields  $\phi_r, \tilde{\phi}_s$ , there are  $U(1)$  symmetry-breaking vacua for any sign of  $\eta_0 / (k_1 + k_2)$ , satisfying  $\langle \sum_r |\phi_r|^2 - \sum_s |\tilde{\phi}_s|^2 \rangle = \eta_0 / (k_1 + k_2)$ .

On  $\mathbb{R}^2 \times \mathbb{S}_\beta^1$ , the Euclidean action for a vortex configuration of vortex number  $n$  is given by [33, 34]

$$S = 2\pi\beta \frac{\eta_0}{k_1 + k_2} n. \tag{5.19}$$

From a more physical perspective, one can see why vortices are forbidden in the theory with  $k_1 + k_2 = 0$ . In the limit  $k_1 + k_2 \rightarrow 0$ , the action of a vortex is infinity. The result (5.19) may be compared with the vortex action obtained from the ellipsoid partition function of the  $U(1)$  CS model discussed above,  $S = 2\pi\eta_1 n / b$  or  $S = 2\pi b \eta_1 n$  for  $b \rightarrow 0, \infty$ . The

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<sup>3</sup>Studies of vortex configurations in non-abelian  $U(N) \times U(N)$  ABJM theory can be found in [31, 32].

effective potentials are the same, with the parameter  $\eta_1$  identified with  $\eta_0/(k_1 + k_2)$ .<sup>4</sup> Thus the vortex actions agree with the identification  $\beta \rightarrow b$  or  $\beta \rightarrow 1/b$  (this is of course the observation in [6, 12, 13], now adapted to our context).

In conclusion, the theory with  $k_1 + k_2 \neq 0$  is essentially equivalent to a U(1) Chern-Simons-matter theory plus a decoupled U(1) pure CS sector. This theory has vortices. The theory with  $k_1 + k_2 = 0$  is special: it does not have vortices, nonetheless it has a non-trivial partition function (4.10), containing non-perturbative contributions in the FI coupling. It would be very interesting to clarify the origin of such contributions and to have a physical understanding of the structure of (4.10).

Finally, let us consider CS-matter theories with gauge group  $U_{k_1}(1) \times \dots \times U_{k_N}(1)$  and  $N_f$  chiral multiplets with the same charges  $(q_1, \dots, q_N)$ . Then a straightforward generalization of the above discussion gives the potential

$$V_{\text{eff}} = \frac{1}{4} \left( \sum_{r=1}^{N_f} |\phi_r|^2 \right) \left( -\eta_0 + c \sum_{r=1}^{N_f} |\phi_r|^2 \right)^2, \quad (5.20)$$

where

$$\eta_0 \equiv \sum_{a=1}^N \frac{q_a \eta_a}{k_a}, \quad c \equiv \sum_{a=1}^N \frac{q_a^2}{k_a}. \quad (5.21)$$

It follows that the potential simplifies when  $c = 0$ . In this case the potential becomes quadratic and the theory does not have vortices. In particular, if all charges  $q_a$  are different from zero we can normalize the vector fields by setting  $q_a = \pm 1$ . Then the no-vortex condition becomes

$$\sum_{a=1}^N \frac{1}{k_a} = 0. \quad (5.22)$$

Clearly, the same properties hold if supersymmetric mass deformations are added (contributing as  $m_r^2 \bar{\phi}_r \phi_r$  to the bosonic potential (5.20)).

Like in the U(1)  $\times$  U(1) case, when  $c = 0$  the partition function  $Z$  dramatically simplifies. The partition function on  $\mathbb{S}_b^3$  is given by

$$Z = \int d^N \sigma e^{-i\pi \sum_a k_a \sigma_a^2 + 2\pi i \sum_a \eta_a \sigma_a} Z_{1\text{-loop}}(\hat{\sigma}; \Delta, b, m_r), \quad (5.23)$$

$$\hat{\sigma} \equiv \sum_{a=1}^N q_a \sigma_a.$$

Since the one-loop determinant depends on  $\sigma_a$  only through the combination  $\hat{\sigma}$ , it is convenient to introduce new integration variables  $\sigma_1, \dots, \sigma_{N-1}, \hat{\sigma}$ . Then the integrations over  $\vec{\sigma} \equiv (\sigma_1, \dots, \sigma_{N-1})$  only involve a Gaussian factor  $\exp(\vec{\sigma}^T \cdot M \cdot \vec{\sigma} + \vec{V} \cdot \vec{\sigma})$ , where  $M$  is an  $(N-1) \times (N-1)$  matrix. However, when  $c = 0$ , the determinant of  $M$  vanishes. Therefore

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<sup>4</sup>Another way to see this is by restoring the dependence on the original gauge charges  $q_1, q_2$ , by rescaling  $k_1 \rightarrow k_1/q_1^2, k_2 \rightarrow k_2/q_2^2, \eta_1 \rightarrow \eta_1/q_1, \eta_2 \rightarrow -\eta_2/q_2$ . Then  $S = 2\pi\beta \frac{k_2 q_1 \eta_1 + k_1 q_2 \eta_2}{k_1 q_2^2 + k_2 q_1^2} n$ . The U(1) CS-matter theory is then obtained for  $(q_1, q_2) = (1, 0)$ , again giving  $S = 2\pi\beta \eta_1 n$ .

$M$  has (at least) one zero eigenvalue. As a result, one can perform  $N - 2$  Gaussian integrations and the remaining integration over the eigenvector  $\tilde{\sigma}$  with vanishing eigenvalue yields a delta function, of the type  $\delta(\hat{\sigma} - \hat{\sigma}_0(\eta_a, k_a, q_a))$ . Thus the complete integral can be carried out explicitly, just as in the  $U(1) \times U(1)$  case, giving rise to a compact expression. For example, setting all  $q_a = 1$ , under the condition (5.22), one finds a delta function setting

$$\hat{\sigma} \rightarrow \hat{\sigma}_0 \equiv \sum_{a=1}^N \frac{\eta_a}{k_a}.$$

The final result is

$$Z = \frac{e^{i\pi \sum_a \frac{\eta_a^2}{k_a}}}{\sqrt{\prod_{a=1}^N |k_a|}} Z_{1\text{-loop}}(\hat{\sigma}_0; \Delta, b, m_r). \quad (5.24)$$

One can also extend the model by adding  $N_a$  chiral multiplets with opposite charges  $(-q_1, \dots, -q_N)$  with similar results.

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